



IAPD Report

JEFFREY WILLIAM SENGLAUB

CRD# 1004471

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEFFREY WILLIAM SENGLAUB (CRD# 1004471)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	APOLLON WEALTH MANAGEMENT, LLC	CRD# 291902	04/20/2026
B	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	04/29/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **13** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	INDEPENDENT FINANCIAL GROUP, LLC	7717	DELAFIELD, WI	05/19/2020 - 04/20/2026
B	INDEPENDENT FINANCIAL GROUP, LLC	7717	DELAFIELD, WI	01/08/2020 - 04/20/2026
B	SAGEPOINT FINANCIAL, INC.	133763	DELAFIELD, WI	10/31/2008 - 01/13/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **13** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **PURSHE KAPLAN STERLING INVESTMENTS**
Main Address: 80 STATE STREET
ALBANY, NY 12207
Firm ID#: 35747

	Regulator	Registration	Status	Date
B	FINRA	Corporate Securities Represent	Approved	04/29/2026
B	FINRA	Direct Participation Programs	Approved	04/29/2026
B	FINRA	Invest. Co and Variable Contracts	Approved	04/29/2026
B	Arizona	Agent	Approved	05/15/2026
B	Florida	Agent	Approved	04/30/2026
B	Georgia	Agent	Approved	04/30/2026
B	Illinois	Agent	Approved	05/07/2026
B	Indiana	Agent	Approved	05/06/2026
B	Iowa	Agent	Approved	05/05/2026
B	Kansas	Agent	Approved	05/04/2026
B	Minnesota	Agent	Approved	05/04/2026
B	Mississippi	Agent	Approved	05/08/2026
B	Ohio	Agent	Approved	05/27/2026



Qualifications

Regulator	Registration	Status	Date
B Texas	Agent	Approved	05/06/2026
B Washington	Agent	Approved	05/01/2026
B Wisconsin	Agent	Approved	05/04/2026

Branch Office Locations

80 State Street
ALBANY, NY 12207

3960 Hillside Drive
Suite 201
Delafield, WI 53018

Employment 2 of 2

Firm Name: **APOLLON WEALTH MANAGEMENT, LLC**
 Main Address: 111 COLEMAN BLVD
 SUITE 402
 MOUNT PLEASANT, SC 29464
 Firm ID#: 291902

Regulator	Registration	Status	Date
IA Wisconsin	Investment Adviser Representative	Approved	04/20/2026

Branch Office Locations

APOLLON WEALTH MANAGEMENT, LLC
 3960 Hillside Drive #201
 Delafield, WI 53018



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Corporate Securities Limited Representative Examination (S62)	Series 62	06/16/1992
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/02/1983
Direct Participation Programs Representative Examination (S22)	Series 22	06/26/1981

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	05/19/2020
Uniform Securities Agent State Law Examination (S63)	Series 63	07/18/1981

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/19/2020 - 04/20/2026	INDEPENDENT FINANCIAL GROUP, LLC	CRD# 7717	DELAFIELD, WI
B	01/08/2020 - 04/20/2026	INDEPENDENT FINANCIAL GROUP, LLC	CRD# 7717	DELAFIELD, WI
B	10/31/2008 - 01/13/2020	SAGEPOINT FINANCIAL, INC.	CRD# 133763	DELAFIELD, WI
B	08/28/1998 - 10/31/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	DELAFIELD, WI
B	11/27/1992 - 08/13/1998	NEW ENGLAND SECURITIES	CRD# 615	NEW YORK, NY
B	01/28/1991 - 01/01/1993	CAPITAL FINANCIAL SERVICES, INC.	CRD# 8408	MINOT, ND
B	04/02/1986 - 12/08/1990	CAPITAL FINANCIAL SERVICES, INC.	CRD# 8408	MINOT, ND
B	07/01/1982 - 04/08/1986	CHARTER SECURITIES CORPORATION	CRD# 7062	
B	08/31/1981 - 02/26/1982	AFFILIATED INVESTMENT SECURITIES, INC.	CRD# 10039	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2026 - Present	Apollon Wealth Management d/b/a Senglaub Financial	Managing Director/Wealth Management Advisor	Y	Delafield, WI, United States
04/2026 - Present	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
04/1986 - Present	EXECUTIVE PENSION DESIGN, INC	AGENT/ OWNER	N	MEQUON, WI, United States
01/2020 - 03/2026	INDEPENDENT FINANCIAL GROUP	REGISTERED REPRESENTATIVE	Y	DELAFIELD, WI, United States
01/2009 - 01/2020	SAGEPOINT FINANCIAL, INC.	REGISTERED REP	Y	PHOENIX, AZ, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Apollon Wealth Management d/b/a Senglaub Financial. Investment-Related. At Registered Location. RIA. IAR. 03/2026. 40 hrs/month. 40 hrs during securities hrs. Sales.
2. Fixed Insurance. Investment-Related. At Registered Location. Fixed Insurance. Agent. 03/2026. 10 hrs/month. 10 hrs during securities hrs. Provide insurance advice based on the needs of clients.
3. Fox River Acres, LLC. Not Investment-Related. At Registered Location. Recreational Property. Owner. 03/2017. 1 hr/month. 0 hrs during securities hrs. Recreational property for our family.
4. Hillcrest of Wisconsin, LLC. Not Investment-Related. At Registered Location. Real Estate Rental. Owner. 05/2000. 1 hr/month. 1 hr during securities hrs. Silent partner.
5. Executive Pension Design, Inc. Not Investment-Related. At Registered Location. Operating LLC. Owner. 01/2002. 1 hr/month. 0 hrs during securities hrs. 80% owner of business entity for tax and investment purposes.
6. JJ Mason, LLC. Not Investment-Related. At Registered Location. Real Estate. Owner. 01/2010. 1 hr/month. 0 hrs during securities hrs. 50% owner hunting land.
7. McSeng LLC. Not Investment-Related. 275 Glen Court, Briggsville, WI 53920. Real Estate. Owner. 01/1998. 1 hr/month. 0 hrs during securities hrs. 50% owner hunting land and home.
8. Senglaub Family Limited Partnership. Not Investment-Related. At Registered Location. Real Estate. Owner. 01/2000. 1 hr/month. 0 hrs during securities hrs. 50% owner of the business entity for tax and investment purposes - office building.
9. Marco Way LLC. Not Investment-Related. 36751 Hollyhock Woods Dr, Oconomowoc, Wisconsin 53066. Holding Company. Owner. 03/2024. 1 hr/month. 0 hrs during securities hrs. Minimal - pay taxes, utilities, annuals and general upkeep of the condo it holds.
10. Mackie Ventures LLC. Investment-Related. 36751 Hollyhock Woods Dr, Oconomowoc, Wisconsin 53066. Operating LLC. Owner. 10/2018. 1 hr/month. 0 hrs during securities hrs. Managing Member of LLC that holds personal investment portfolio.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 7

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	SAGEPOINT FINANCIAL, INC.
Allegations:	Claimant alleges the representative improperly concentrated his investment accounts with unsuitable alternative investments.
Product Type:	Real Estate Security
Alleged Damages:	\$390,807.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01642
Filing date of arbitration/CFTC reparation or civil litigation:	06/28/2021

Customer Complaint Information

Date Complaint Received:	06/28/2021
Complaint Pending?	No



Status: Settled

Status Date: 07/26/2022

Settlement Amount: \$95,000.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SAGEPOINT FINANCIAL, INC.

Allegations: Claimant alleges the representative improperly concentrated his investment accounts with unsuitable alternative investments.

Product Type: Real Estate Security

Alleged Damages: \$390,807.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 21-01642

Filing date of arbitration/CFTC reparation or civil litigation: 06/28/2021

Customer Complaint Information

Date Complaint Received: 06/28/2021

Complaint Pending? No

Status: Settled

Status Date: 07/26/2022

Settlement Amount: \$95,000.00

Individual Contribution Amount: \$0.00

Disclosure 2 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: SAGEPOINT FINANCIAL, INC.

Allegations: Claimants allege that the representative recommended investments that were not suitable.

Product Type: Other: BDC

Alleged Damages: \$1,157,750.00



Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA ARBITRATION

Docket/Case #: 21-01142

Filing date of arbitration/CFTC reparation or civil litigation: 04/30/2021

Customer Complaint Information

Date Complaint Received: 05/03/2021

Complaint Pending? No

Status: Settled

Status Date: 06/30/2022

Settlement Amount: \$125,000.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SAGEPOINT FINANCIAL, INC.

Allegations: Claimants allege that the representative recommended investments that were not suitable.

Product Type: Other: BDC

Alleged Damages: \$1,157,750.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA ARBITRATION

Docket/Case #: 21-01142

Filing date of arbitration/CFTC reparation or civil litigation: 04/30/2021

Customer Complaint Information

Date Complaint Received: 05/03/2021

Complaint Pending? No

Status: Settled



Status Date: 06/30/2022
Settlement Amount: \$125,000.00
Individual Contribution Amount: \$0.00

Disclosure 3 of 7

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: SAGEPOINT FINANCIAL, INC.

Allegations: Claimants allege that the registered representative recommended alternative investments that were unsuitable.

Product Type: Real Estate Security

Alleged Damages: \$342,000.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA Arbitration

Docket/Case #: 21-01052

Filing date of arbitration/CFTC reparation or civil litigation: 04/21/2021

Customer Complaint Information

Date Complaint Received: 04/22/2021

Complaint Pending? No

Status: Settled

Status Date: 08/18/2022

Settlement Amount: \$75,000.00

Individual Contribution Amount: \$0.00

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: SAGEPOINT FINANCIAL, INC.

Allegations: Claimants allege that the registered representative recommended alternative investments that were unsuitable.

Product Type: Real Estate Security

Alleged Damages: \$342,000.00

Is this an oral complaint? No



Is this a written complaint? No
**Is this an arbitration/CFTC
reparation or civil litigation?** Yes
**Arbitration/Reparation forum
or court name and location:** FINRA Arbitration
Docket/Case #: 21-01052
**Filing date of
arbitration/CFTC reparation
or civil litigation:** 04/21/2021

Customer Complaint Information

Date Complaint Received: 04/22/2021
Complaint Pending? No
Status: Settled
Status Date: 08/18/2022
Settlement Amount: \$75,000.00
**Individual Contribution
Amount:** \$0.00

Disclosure 4 of 7

Reporting Source: Individual
**Employing firm when
activities occurred which led
to the complaint:** AMERICAN GENERAL SECURITIES INCORPORATED
Allegations: UNSUITABILITY AND MISREPRESENTATION OF INVESTMENTS MADE TO
FUND 412(I) PLAN.
Product Type: Insurance
Alleged Damages: \$1,000,000.00
Is this an oral complaint? No
Is this a written complaint? Yes
**Is this an arbitration/CFTC
reparation or civil litigation?** Yes
**Arbitration/Reparation forum
or court name and location:** STATE OF WISCONSIN, CIRCUIT COURT, WAUKESHA COUNTY
Docket/Case #: 09CVOI828
**Filing date of
arbitration/CFTC reparation
or civil litigation:** 05/08/2009

Customer Complaint Information

Date Complaint Received: 06/22/2009
Complaint Pending? No
Status: Settled



Status Date: 09/03/2010

Settlement Amount: \$130,000.00

Individual Contribution Amount: \$0.00

Civil Litigation Information

Type of Court: State Court

Name of Court: CIRCUIT COURT, STATE OF WISCONSIN

Location of Court: WAUKESHA COUNTY

Docket/Case #: 09CVOI828

Date Notice/Process Served: 06/22/2009

Litigation Pending? No

Disposition: Settled

Disposition Date: 09/03/2010

Monetary Compensation Amount: \$130,000.00

Individual Contribution Amount: \$0.00

Disclosure 5 of 7

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AGSI

Allegations: CUSTOMER ALLEGES THAT SHE WAS CHARGED \$7,000 FOR REDUCING THE FACE AMOUNT OF HER POLICY WHEN SHE WAS TOLD SHE WOULD NOT BE CHARGED; WAS INFORMED THAT CERTAIN POSITIONS HAD BEEN SOLD WHEN IN FACT THEY HAD NOT BEEN SOLD.

Product Type: Other

Other Product Type(s): VARIABLE UNIVERSAL LIFE POLICY - PLATINUM INVESTOR HEAP

Alleged Damages: \$7,000.00

Customer Complaint Information

Date Complaint Received: 04/22/2008

Complaint Pending? No

Status: Denied

Status Date: 05/07/2008

Settlement Amount:

Individual Contribution Amount:

Disclosure 6 of 7

Reporting Source: Firm

Employing firm when NEW ENGLAND FINANCIAL



activities occurred which led to the complaint:

Allegations: PLAINTIFFS ALLEGE VARIOUS CAUSES OF ACTION ARISING OUT OF THE SALE OF NEF VARIABLE POLICIES IN CONNECTION WITH A VOLUNTARY EMPLOYEE BENEFIT ASSOCIATION (VEBA)TRUST

Product Type: Insurance

Other Product Type(s): VARIABLE INSURANCE

Alleged Damages: \$260,000.00

Customer Complaint Information

Date Complaint Received: 12/30/2003

Complaint Pending? No

Status: Litigation

Status Date: 12/30/2003

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: CIRCUIT COURT, WAUKESHA COUNTY, WI, DOCKET 03-CV-1236

Date Notice/Process Served: 12/30/2003

Litigation Pending? No

Disposition: Settled

Disposition Date: 12/21/2006

Monetary Compensation Amount: \$350,000.00

Individual Contribution Amount: \$233,333.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: NEW ENGLAND FINANCIAL

Allegations: ALLEGATION THAT REGISTERED REPRESENTATIVE MISREPRESENTED THE TAX CONSEQUENCES OF VEBA TRUST CAUSING 1996 TAX LIABILITY.

Product Type: Insurance

Other Product Type(s): NEW ENGLAND VARIABLE INSURANCE POLICY

Alleged Damages: \$260,000.00

Customer Complaint Information

Date Complaint Received: 12/30/2003

Complaint Pending? No

Status: Litigation

Status Date: 12/30/2003



Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: CIRCUIT COURT, WAUKESHA COUNTRY, WI, DOCKET #03-CV-1236

Date Notice/Process Served: 12/30/2003

Litigation Pending? No

Disposition: Settled

Disposition Date: 02/22/2007

Monetary Compensation Amount: \$350,000.00

Individual Contribution Amount: \$233,333.00

Broker Statement THE MATTER WAS ORIGINALLY REPORTED AND FILED IN ERROR UNDER DISCLOSURE QUESTION 14(H).

Disclosure 7 of 7

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AMERICAN GENERAL SECURITIES INCORPORATED

Allegations: CUSTOMER ALLEGES MISREPRESENTATION WITH REGARD TO TWO VARIABLE UNIVERSAL LIFE INSURANCE POLICIES IN JANUARY 2001.

Product Type: Insurance

Other Product Type(s): AMERICAN GENERAL PLATINUM INVESTOR III VARIABLE UNIVERSAL LIFE INSURANCE

Alleged Damages: \$7,447.00

Customer Complaint Information

Date Complaint Received: 08/05/2002

Complaint Pending? No

Status: Denied

Status Date: 08/26/2002

Settlement Amount:

Individual Contribution Amount:



End of Report

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