



IAPD Report

ERNEST MARK CAPONEGRO

CRD# 1025695

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERNEST MARK CAPONEGRO (CRD# 1025695)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/02/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	10/11/2024
IA	OSAIC ADVISORY SERVICES, LLC	CRD# 171070	10/15/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	OSAIC WEALTH, INC.	23131	SCOTTSDALE, AZ	10/11/2024 - 10/11/2024
IA	AMERICAN PORTFOLIOS ADVISORS, INC	112697	HOLBROOK, NY	05/10/2021 - 10/11/2024
B	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	18487	Manasquan, NJ	09/14/2020 - 10/11/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC ADVISORY SERVICES, LLC**
Main Address: 2300 WINDY RIDGE PARKWAY
SUITE 750
ATLANTA, GA 30339
Firm ID#: 171070

Regulator	Registration	Status	Date
IA New Jersey	Investment Adviser Representative	Approved	10/15/2024

Branch Office Locations

OSAIC ADVISORY SERVICES, LLC
2329 Hwy 34
Suite 303
Manasquan, NJ 08736

Employment 2 of 2

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	10/11/2024
B FINRA	General Securities Representative	Approved	10/11/2024
B FINRA	General Securities Sales Supervisor	Approved	10/11/2024
B FINRA	Municipal Securities Principal	Approved	10/11/2024
B FINRA	Municipal Securities Representative	Approved	10/11/2024



Qualifications

Regulator	Registration	Status	Date
B FINRA	Registered Options Principal	Approved	10/11/2024
B New Jersey	Agent	Approved	10/11/2024

Branch Office Locations

OSAIC WEALTH, INC.
2329 Hwy 34
Suite 303
Manasquan, NJ 08736









Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 6 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Principal Examination (S24)	Series 24	05/25/1994
 Municipal Securities Principal Examination (S53)	Series 53	02/10/1994
 Registered Options Principal Examination (S4)	Series 4	08/28/1993
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	09/20/1989

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Foreign Currency Options Examination (S15)	Series 15	05/22/1986
 National Commodity Futures Examination (S3)	Series 3	01/04/1983
 General Securities Representative Examination (S7)	Series 7	02/20/1982



Qualifications

PASSED INDUSTRY EXAMS

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	07/31/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	11/16/1982

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/11/2024 - 10/11/2024	OSAIC WEALTH, INC.	CRD# 23131	SCOTTSDALE, AZ
IA	05/10/2021 - 10/11/2024	AMERICAN PORTFOLIOS ADVISORS, INC	CRD# 112697	HOLBROOK, NY
B	09/14/2020 - 10/11/2024	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	Manasquan, NJ
B	04/12/2016 - 07/02/2020	NATIONAL SECURITIES CORPORATION	CRD# 7569	POINT PLEASANT, NJ
B	12/10/2013 - 04/05/2016	SOUTHEAST INVESTMENTS, N.C., INC.	CRD# 43035	POINT PLEASANT, NJ
B	02/09/2012 - 11/27/2013	SOUTHEAST INVESTMENTS, N.C., INC.	CRD# 43035	POINT PLEASANT, NJ
IA	01/05/2009 - 04/30/2012	GARDEN STATE INVESTMENT ADVISORY SERVICES, LLC	CRD# 133088	RED BANK, NJ
B	11/17/2008 - 02/06/2012	GARDEN STATE SECURITIES, INC.	CRD# 10083	POINT PLEASANT, NJ
IA	11/13/2006 - 11/19/2008	FIRST MONTAUK SECURITIES CORP.	CRD# 13755	PT PLEASANT, NJ
B	01/14/1999 - 11/19/2008	FIRST MONTAUK SECURITIES CORP.	CRD# 13755	PT PLEASANT, NJ
B	04/28/1998 - 12/31/1998	ROBERT THOMAS SECURITIES, INC	CRD# 10147	ST. PETERSBURG, FL
B	02/11/1995 - 05/12/1998	GILFORD SECURITIES INCORPORATED	CRD# 8076	NEW YORK, NY
B	08/25/1994 - 01/27/1995	FIRST HANOVER SECURITIES, INC.	CRD# 14469	STATEN ISLAND, NY
B	01/02/1992 - 08/02/1994	EMANUEL AND COMPANY	CRD# 7309	NEW YORK, NY
B	05/07/1990 - 01/07/1992	LEHMAN BROTHERS INC.	CRD# 7506	NEW YORK, NY
B	05/07/1982 - 05/23/1990	PRUDENTIAL-BACHE SECURITIES INC.	CRD# 7471	NEW YORK, NY



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/05/1982 - 11/10/1987	PHILIPS, APPEL & WALDEN, INC.	CRD# 659	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	OSAIC ADVISORY SERVICES	INVESTMENT ADVISOR	Y	ATLANTA, GA, United States
10/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	SCOTTSDALE, AZ, United States
09/2020 - 10/2024	American Portfolios Financial Services, Inc.	Registered Representative	Y	Point Pleasant, NJ, United States
04/2016 - 07/2020	NATIONAL SECURITIES CORPORATION	REGISTERED REPRESENTATIVE	Y	POINT PLEASANT, NJ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) EMC INSURANCE AGENCY & FIN SVCS LLC DBA I+E INSURANCE AGENCY: 2900 ROUTE 88, POINT PLEASANT, NJ 08742. I AM THE OWNER OPERATOR OF THIS FULL Service Property Casualty Life Insurance AGENCY. THIS BUSINESS BEGAN IN 2006. I WILL DEVOTE 40 HOURS PER week TO THIS BUSINESS. I AM COMPENSATED BY COMMISSIONS...(2) I am a board member of a non profit organization the BRICK POLICE ATHLETIC LEAGUE 2 HRS PER MONTH ZERO DURING TRADING HRS...

2) EMC INSURANCE AGENCY DBA I & E INSURANCE
POSITION: agent NATURE: General Insurance Agency INVESTMENT RELATED: No NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 160 START DATE: 04/01/2006
ADDRESS: 2900 NJ 88, Point Pleasant NJ 08742, United States
DESCRIPTION: General Insurance



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Judgment/Lien	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	FIRST MONTAUK SECURITIES CORP., D/B/A FIRST MONTAUK FINANCIAL GROUP
Allegations:	BREACH OF FIDUCIARY DUTY, BREACH OF CONTRACT.
Product Type:	Mutual Fund(s)
Other Product Type(s):	STOCKS
Alleged Damages:	\$500,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD - CASE #04-05843
Date Notice/Process Served:	08/18/2004
Arbitration Pending?	No
Disposition:	Other
Disposition Date:	01/08/2008
Disposition Detail:	STIPULATED AWARD ISSUED: BY LETTER DATED SEPTEMBER 22, 2006, CLAIMANTS NOTIFIED NASD DISPUTE RESOLUTION THAT THEY HAD RESOLVED THEIR CLAIMS.



Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FIRST MONTAUK SECURITIES CORP.

Allegations: CLAIMANT ALLEGES FAILURE TO SUPERVISE IN THE HANDLING OF HER ACCOUNT.

Product Type: Equity - OTC

Alleged Damages: \$500,000.00

Customer Complaint Information

Date Complaint Received: 09/07/2004

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 09/07/2004

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD ARBITRTION #04-05843](#)

Date Notice/Process Served: 09/07/2004

Arbitration Pending? No

Disposition: Settled

Disposition Date: 10/23/2006

Monetary Compensation Amount: \$165,000.00

Individual Contribution Amount: \$25,000.00

Broker Statement THE FIRM AND THE BROKER DENY THE ALLEGATIONS. THE MATTER WAS SETTLED SO AS TO AVOID THE TIME AND EXPENSE OF LITIGATION, AND NOT AS AN ADMISSION OF LIABILITY. BROKER RELIED ON PARTNER, A LICENSED SERIES 7 REGISTERED REPRESENTATIVE AND CFP. BROKER NOT RESPONSIBLE FOR LOSSES. SECURITIES WERE SOLD FROM THE ACCOUNT WHEN THE FINANCIAL MARKETS WERE LOWER DUE TO FINANCIAL NEEDS OF CLIENT'S SON.

Disclosure 2 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint:

Allegations: CUSTOMER ALLEGED THAT IN OCTOBER OF 1997 ACCOUNT EXECUTIVE FAILED TO FOLLOW INSTRUCTION TO SELL A SECURITIES POSITION.

Product Type:



Alleged Damages:

Customer Complaint Information

Date Complaint Received: 04/26/1999

Complaint Pending? No

Status: Denied

Status Date: 04/30/1999

Settlement Amount:

Individual Contribution Amount:

Firm Statement THE FIRM DENIED THE COMPLAINT BECAUSE THE CUSTOMER WAITED A YEAR-AND-A HALF BEFORE MAKING HER COMPLAINT KNOWN. NOT PROVIDED.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:

Allegations: CLIENT ALLEGES FAILURE TO EXECUTE ORDER.

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 04/26/1999

Complaint Pending? No

Status: Denied

Status Date: 04/30/1999

Settlement Amount:

Individual Contribution Amount:

Broker Statement THE FIRM DENIED THE COMPLAINT BECAUSE THE CUSTOMER WAITED A YEAR & A HALF BEFORE MAKING HER COMPLAINT KNOWN. CUSTOMER WAS LONG STOCK WHICH WAS SPLITTING ON NOV 5, 1997. SHE CLAIMS TO HAVE TOLD ME TO SELL. CLIENT NEVER TOLD ME TO SELL IN OCT 1997 I REFUSED ALL ALLEGATIONS.

Disclosure 3 of 3

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint:

Allegations: SUITABILITY; MISREPRESENTATION; OMISSION OF FACTS; CHURNING



Product Type:

Alleged Damages: \$196,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #93-03107

Date Notice/Process Served: 09/17/1993

Arbitration Pending? No

Disposition: Settled

Disposition Date: 11/04/1994

Disposition Detail: CASE IS CLOSED, SETTLED
ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; INTEREST, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; PUNITIVE/EXEMPLARY DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; ATTORNEY'S FEES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; OTHER COSTS, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; TREBLE DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; OTHER MONETARY RELIEF, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint:

Allegations: CLAIMANT ALLEGED UNSUITABILITY, MISREPRESENTATIONS, BREACH OF FIDUCIARY DUTY AND VIOLATION OF RULES OF FAIR PRACTICE. CLAIMANTS SOUGHT DAMAGES OF \$196,000 PLUS FEES AND INTEREST.

Product Type:

Alleged Damages: \$196,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 11/04/1994

Settlement Amount:



**Individual Contribution
Amount:**

Arbitration Information

**Arbitration/Reparation Claim
filed with and Docket/Case
No.:** NASD; 93-03107

Date Notice/Process Served: 09/17/1993

Arbitration Pending? No

Disposition: Settled

Disposition Date: 11/04/1994

**Monetary Compensation
Amount:** \$57,500.00

**Individual Contribution
Amount:**

Firm Statement THE MATTER SETTLED FOR BUSINESS REASONS FOR
\$57,500.
FOR FURTHER INFORMATION CONTACT PETE MICHAELS
(212) 816-8012.

Reporting Source: Individual

**Employing firm when
activities occurred which led
to the complaint:**

Allegations: CLIENT ALLEGED UNSUITABLE INVESTMENT CHURNING
MISREPRESENTATION RELATED TO INVESTMENTS IN PRUDENTIAL
ENERGY
INCOME FUNDS AND 2 STOCKS IBM & CINEPLEX OEDON

Product Type:

Alleged Damages: \$196,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 11/04/1994

Settlement Amount:

**Individual Contribution
Amount:**

Arbitration Information

**Arbitration/Reparation Claim
filed with and Docket/Case
No.:** NASD; 93-03107

Date Notice/Process Served: 09/17/1993

Arbitration Pending? No

Disposition: Settled



Disposition Date: 11/04/1994

Monetary Compensation Amount: \$57,500.00

Individual Contribution Amount:

Broker Statement

THE FIRMS SETTLED FOR BUSINESS REASON TO PAY CLAIMANT FUNDS LOST FROM PRUDENTIAL ENERGY FUNDS \$101,000.00 PRUDENTIAL & SHEARSON CLAIMS I BELIEVE. THE CLIENT HAD A RELATIONSHIP WITH ME HURT EXPANDED OVER THE YEARS. THE CLIENT MAINTAINED 3 ACCOUNTS. SHE BECAME A CLIENT IN 1983. WHEN I LEFT SHEARSON LEHMAN TO PURSUE A NEW OPPORTUNITY SHE COMPLAINED THAT ONE STOCK HAD BEEN LIMITED PARTNERSHIP WHICH SHE OWNED FOR YEAR WERE UNSUITABLE. THE CUSTOMER ALSO CLAIMED THAT I CAUSED CAUSED LOSSES IN HER & HER SONS RETIREMENT ACCOUNT WHICH WHEN RENEWED SHOWED CONSIDERABLE GAINS. PRUDENTIAL & SHEARSON SETTLED FOR BUSINESS REASONS. I WANTED TO CLEAR MY NAME & I WOULD HAVE PREFERRED TO FIGHT THE ALEGATIONS.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	INTERNAL REVENUE SERVICE
Judgment/Lien Amount:	\$9,562.55
Judgment/Lien Type:	Tax
Date Filed with Court:	05/08/2014
Date Individual Learned:	05/09/2014
Type of Court:	INTERNAL REVENUE SERVICE
Name of Court:	INTERNAL REVENUE SERVICE
Location of Court:	PHILADELPHIA, PA
Judgment/Lien Outstanding?	Yes
Broker Statement	2006 TAX AUDIT DONE BY IRS IN 2012 AND 2013. I HAVE TRIED TO SETTLE AMOUNT WITH IRS. THIS SHOULD BE SETTLED IN 90 DAYS DUE TO REFUND ON OTHER TAXES.



End of Report

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