



IAPD Report

DELMER P HYDE

CRD# 1033148

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DELMER P HYDE (CRD# 1033148)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/16/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	TREK FINANCIAL	CRD# 109376	04/24/2019

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Vernal, UT	05/14/2019 - 06/30/2020
B	WORLD EQUITY GROUP, INC.	29087	Vernal, UT	09/15/2015 - 05/17/2019
IA	AXA ADVISORS, LLC	6627	VERNAL, UT	03/23/2000 - 09/10/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **TREK FINANCIAL**
Main Address: 8355 EAST HARTFORD DRIVE
SUITE 105
SCOTTSDALE, AZ 85255
Firm ID#: 109376

	Regulator	Registration	Status	Date
IA	Utah	Investment Adviser Representative	Approved	04/24/2019

Branch Office Locations

TREK FINANCIAL
1256 W 400 S
SUITE 2
VERNAL, UT 84078

TREK FINANCIAL
488 WEST CENTER ST.
SUITE 108
PLEASANT GROVE, UT 84062



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	07/01/1999
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/26/1982

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	08/12/1999
B Uniform Securities Agent State Law Examination (S63)	Series 63	01/21/1987



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/14/2019 - 06/30/2020	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Vernal, UT
B	09/15/2015 - 05/17/2019	WORLD EQUITY GROUP, INC.	CRD# 29087	Vernal, UT
IA	03/23/2000 - 09/10/2015	AXA ADVISORS, LLC	CRD# 6627	VERNAL, UT
B	04/27/1982 - 09/10/2015	AXA ADVISORS, LLC	CRD# 6627	VERNAL, UT
B	04/27/1982 - 01/05/2000	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES	CRD# 4039	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2019 - Present	TREK FINANCIAL	Investment Adviser Representative	Y	Scottsdale, AZ, United States
05/2019 - 06/2020	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
09/2015 - 05/2019	World Equity Group	Registered Representative	Y	Arlington Heights, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Trek FINANCIAL GROUP, LLC. INVESTMENT RELATED BUSINESS. LOCATED AT 7440 CREEK ROAD, #444, SANDY, UT 84093. FIXED INSURANCE SALES. TITLE IS AGENT. STARTED 09/2015. 60 HRS/MO DEVOTED DURING TRADING. DUTIES ARE TO CONSULT WITH CLIENTS ABOUT FIXED INSURANCE PRODUCTS.

2) HOME GROWN & HANDCRAFTED. NOT INVESTMENT RELATED. LOCATED AT 1421 N 1500 W, VERNAL, UT 84078. MANUFACTURE AND SALE OF FARM AND GARDEN PRODUCTS. STARTED 07/2005. 1HR/MO DEVOTED - 0 DURING TRADING. DUTIES ARE TO HELP WIFE AND CHILDREN IN THE GROWING AND MARKETING OF FARM AND GARDEN AND HOMEMADE PRODUCTS.

3) FINANCIAL AND BENEFIT PROFESSIONALS, LLC. NOT INVESTMENT RELATED. LOCATED AT 1256 W 400 S, STE 2, VERNAL, UT 84078. MANAGEMENT OF PERSONAL OFFICE EXPENSES. TITLE IS 25% PARTNER. STARTED 5/2001. 5 HRS/MO - 0 DURING TRADING. DUTIES ARE TO MANAGE OFFICE SPACE AND BUSINESS SERVICES.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

4) RENTAL REAL ESTATE. NOT INVESTMENT RELATED. 1421 N 1500 W, VERNAL, UT 84078. HELP MANAGE RESIDENTIAL RENTAL REAL ESTATE. MANAGE PROPERTY, CO-OWNER. STARTED 09/2013. 5 HRS/MO DEVOTED - 0 DURING TRADING. DUTIES ARE TO HELP WIFE MANAGE AND TAKE CARE OF SOME RESIDENTIAL RENTAL PROPERTIES.

6)BROOKSTONE DEVELOPMENT, LLC. NOT INVESTMENT RELATED. LOCATED AT 1256 W 400 S, SUITE 2, VERNAL, UT 84078. OWNERSHIP AND MANAGEMENT OF PERSONAL OFFICE SPACE. TITLE IS 7.5% PARTNER. STARTED 5/2017. 1 HR/MO - 0 DURING TRADING. DUTIES ARE OWNERSHIP SHARE OF MY OFFICE BUILDING THROUGH THIS ENTITY. NO MANAGEMENT RESPONSIBILITY.

7) DPH ADVISORY SERVICES, LLC. NOT INVESTMENT RELATED. LOCATED AT 1256 W 400 S, STE 2, VERNAL, UT 84078. MANAGE THE FLOW OF REVENUE AND EXPENSES ON FIXED BUSINESS. 100% SHAREHOLDER. STARTED 4/2018. 5 HR/MO DEVOTED - 0 DURING TRADING. DUTIES ARE MANAGING THE FLOW OF REVENUE FROM FIXED BUSINESS AND THE PAYMENT OF EXPENSES RELATED TO THAT BUSINESS.

8) FLM Aviation LLC, not investment related, Sandy, UT, Advisor and member, Started 12/2025, 2 hours per month during trading hours



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	COUNTY COURT JUDGE OF THE COUNTY COURT FOR UINTA COUNTY, WYOMING CR-8711-18
Charge Date:	11/18/1987
Charge Details:	THIS MATTER AROSE FROM A COMPLAINT BY TWO CITIZENS OF UINTA COUNTY WHO PURCHASED SECURITIES OF PROFESSIONAL EQUITY MANAGEMENT, INC. FROM DELMER HYDE'S FATHER. DELMER HYDE WAS CHARGED WITH HAVING ACTED AS AN AGENT OF PROFESSIONAL EQUITY, MANAGEMENT, INC. W/O HAVING 1ST REGISTERED AS A SECURITIES AGENT FOR THAT COMPANY WITH THE WYOMING SECRETARY OF STATE'S OFFICE. CHARGED WITH 2 COUNTS OF FELONY. PLED NOT GUILTY TO INVESTMENT RELATED CHARGES. DELMER HYDE WAS CHARGED WITH HAVING ACTED AS AN AGENT OF PROFESSIONAL EQUITY, MANAGEMENT, INC. WITHOUT HAVING FIRST REGISTERED AS A SECURITIES AGENT FOR THAT COMPANY WITH THE WYOMING SECRETARY OF STATE'S OFFICE.
Felony?	Yes
Current Status:	Final
Status Date:	12/30/1988
Disposition Details:	ON DECEMBER 14, 1987 AN ORDER OF SUPSENSION OF (CRIMINAL) PROCEEDINGS WAS ENTERED BY A COUNTY COURT JUDGE OF THE COUNTY COURT FOR UINTA COUNTY, WYOMING. BASED UPON DELMER HYDE'S AGREEMENT TO REFRAIN FROM ANY SUCH UNREGISTERED SECURITIES TRANSACTION IN THE FUTURE, THE STATE OF WYOMING AGREED IN THIS JOINT MOTION TO DISMISS WITH PREJUDICE IN ONE YEAR THE CHARGES WHICH WERE FILED IN THIS MATTER. ACCORDINGLY ON 12/30/88, THE JUDGE ISSUED AN ORDER OF



Broker Statement

DISMISSAL.

THE MOTION FOR SUSPENSION OF PRECEEDINGS RECITES THAT DELMER HYDE "ENGAGED IN THESE TRANSACTIONS LARGELY AS A PERSONAL FAVOR TO HIS FATHER, DOYLE HYDE, AND RECEIVED NO COMPENSATION WHATSOEVER FOR HIS ROLE IN THE ABOVE MENTION TRANSACTIONS." THE MOTION FURTHER CONTAINS DELMER HYDE'S AGREEMENT TO REFRAIN FROM ENGAGING IN ANY SUCH TRANSACTION "UNLESS AND UNTIL HE IS REGISTERED AS SUCH WITH THE WYOMING SECRETARY OF STATE'S OFFICE.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AXA ADVISORS

Allegations: CLIENT'S DAUGHTER ALLEGES THAT AT THE TIME HER MOTHER PURCHASED THE 2000 VARIABLE LIFE INSURANCE POLICY SHE UNDERSTOOD THERE WOULD BE NO FURTHER PREMIUMS. CLIENT'S DAUGHTER ALLEGES HER MOTHER WOULD HAVE NEVER AGREED TO PURCHASE THE POLICY KNOWING THAT AT SOME POINT HER CHILDREN WOULD HAVE TO PAY THE PREMIUMS. DAMAGES ARE UNSPECIFIED.

Product Type: Insurance

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 09/28/2005

Complaint Pending? No

Status: Settled

Status Date: 11/17/2005

Settlement Amount: \$73,671.00

Individual Contribution Amount: \$0.00

Broker Statement THE FIRM AGREED TO CANCEL THE POLICY, AS IF IT HAD NEVER BEEN ISSUED, AND REFUND THE PREMIUMS PAID PLUS SIMPLE INTEREST AT 4%.



End of Report

This page is intentionally left blank.