



IAPD Report

JOHN ANTHONY MOLINARO

CRD# 1037567

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOHN ANTHONY MOLINARO (CRD# 1037567)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/08/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	LEWISBURG, PA	04/23/2001 - 06/14/2024
B	SECURITIES AMERICA, INC.	10205	LEWISBURG, PA	04/14/1998 - 06/14/2024
B	WALNUT STREET SECURITIES, INC.	15840	EL SEGUNDO, CA	08/25/1995 - 03/26/1998

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/14/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	06/14/2024
B	FINRA	Investment Co./Variable Contracts Prin	Approved	06/14/2024
B	California	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
B	Hawaii	Agent	Approved	11/22/2024
B	Maryland	Agent	Approved	06/14/2024
B	New York	Agent	Approved	06/14/2024
B	North Carolina	Agent	Approved	06/14/2024
B	Pennsylvania	Agent	Approved	06/14/2024
IA	Pennsylvania	Investment Adviser Representative	Approved	06/14/2024
B	South Carolina	Agent	Approved	06/14/2024
B	Virginia	Agent	Approved	06/14/2024



Qualifications

Branch Office Locations

OSAIC WEALTH, INC.
130 BUFFALO ROAD
SUITE 211
LEWISBURG, PA 17837



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	02/26/1992

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	06/21/2002
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/07/1982

State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination (S63)	Series 63	07/20/1983

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/23/2001 - 06/14/2024	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	LEWISBURG, PA
B	04/14/1998 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	LEWISBURG, PA
B	08/25/1995 - 03/26/1998	WALNUT STREET SECURITIES, INC.	CRD# 15840	EL SEGUNDO, CA
B	04/27/1983 - 06/30/1995	PRUCO SECURITIES CORPORATION	CRD# 5685	NEWARK, NJ
B	06/08/1982 - 12/10/1993	THE PRUDENTIAL INSURANCE COMPANY OF AMERICA	CRD# 680	NEWARK, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	LEWISBURG, PA, United States
04/2001 - 06/2024	SECURITIES AMERICA ADVISORS, INC	INV ADV	Y	OMAHA, NE, United States
03/1998 - 06/2024	SECURITIES AMERICA, INC.	REGISTERED REPRESENTATIVE	Y	LEWISBURG, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) DBA - MoneyWise, Inc.

2) MONEYWISE, INC.

POSITION: owner NATURE: INSURANCE AGENT - SALES INVESTMENT RELATED: No NUMBER OF HOURS: null SECURITIES TRADING HOURS: null START DATE: 10/01/2000 ADDRESS: 130 Buffalo Rd, P.O. Box 49, Lewisburg PA 17837 DESCRIPTION: Sell and service insurance and fix annuity contracts.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: THE PRUDENTIAL INSURANCE COMPANY OF AMERICA

Allegations: REGARDING THE 1983 AND 1993 PURCHASE OF TWO TRADITIONAL LIFE INSURANCE POLICIES, THE LAWSUIT ALLEGED SALES PRACTICE VIOLATIONS INCLUDING UNFAIR TRADE PRACTICES AND CONSUMER PROTECTION LAW AND DECEIT AGAINST THE PRUDENTIAL INSURANCE COMPANY OF AMERICA AS WELL AS REPRESENTATIVE JOHN MOLINARO. COMPENSATORY DAMAGES IN EXCESS OF \$20,000 WERE ALLEGED.

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information



Court Details: CIRCUIT COMMON PLEAS; LUZERNE COUNTY; 5445 L

Date Notice/Process Served: 09/27/1994

Litigation Pending? No

Disposition: Settled

Disposition Date: 02/10/1997

Monetary Compensation Amount: \$75,000.00

Individual Contribution Amount:

Firm Statement

THE COMPANY AGREED TO SETTLE THE LAWSUIT IN THE AMOUNT OF \$75,000.00. THIS ALLEGATION IS BEING REPORTED CONSISTENT WITH NASDR RULES, BUT INDICATES NO FINDINGS BY PRUCO SECURITIES WHETHER THE ALLEGATION HAS MERIT.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: THE PRUDENTIAL INSURANCE COMPANY OF AMERICA

Allegations: REGARDING THE 1983 AND 1993 PURCHASE OF TWO INSURANCE POLICIES, THE LAWSUIT ALLEGED SALES PRACTICES VIOLATIONS INCLUDING UNFAIR TRADE PRACTICES AND CONSUMER PROTECTION LAW AND AGAINST THE PRUDENTIAL INS. CO. OF AMERICA AS WELL AS REPRESENTATIVE JOHN MOLINARO COMPENSATORY DAMAGES IN EXCESS OF 20,000.00 WERE ALLEGED

Product Type: Insurance

Alleged Damages: \$20,000.00

Customer Complaint Information

Date Complaint Received: 09/27/1994

Complaint Pending? No

Status: Litigation
Settled

Status Date: 02/10/1997

Settlement Amount: \$75,000.00

Individual Contribution Amount: \$0.00

Civil Litigation Information

Court Details: CIRCUIT COMMON PLEAS; LUZERNE COUNTY; 5445 L

Date Notice/Process Served: 09/27/1994

Litigation Pending? No

Disposition: Settled

Disposition Date: 02/10/1997



Monetary Compensation Amount: \$75,000.00

Individual Contribution Amount: \$0.00

Broker Statement THE COMPANY AGREED TO SETTLE THE LAWSUIT IN THE AMOUNT OF \$75,000.00 THIS ALLEGATION IS BEING REPORTED CONSISTENT WITH NASD RULES, BUT INDICATES NO FINDINGS BY PRUCO SECURITIES WHETHER THE ALLEGATION HAS MERIT.

Disclosure 2 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint:

Allegations: WITH REGARD TO THE 1983 SALE OF POLICY [BAN] AND THE 1991 SALE OF POLICY [BAN], INSURED [CUSTOMER] ALLEGED MISREPRESENTATION OF PREMIUM PAYMENTS REQUIRED TO FUND THESE TWO VARIABLE APPRECIABLE LIFE POLICIES

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 04/18/1994

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount:

Individual Contribution Amount:

Firm Statement THE COMPANY OFFERED TO REVERSE THE SALES OF POLICIES [BAN] AND [BAN]. THE PREMIUM PAYMENTS OF \$39,645.62 MADE INTO THESE POLICIES WILL BE APPLIED TO A NEW WHOLE LIFE INSURANCE CONTRACT WHICH WILL PROVIDE THE INSURED'S DESIGNATED BENEFICIARIES WITH A BENEFIT OF \$200,000.00 IN THE EVENT OF HIS DEATH. NO FURTHER PREMIUM PAYMENTS WILL BE REQUIRED ON THIS NEW POLICY. NOT PROVIDED

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PRUDENTIAL INSURANCE CO.

Allegations: THE 1993 SALE OF POLICY 97-664-837 AND THE SALE OF POLICY 97-551-828 IN 1991, INJURED [CUSTOMER] ALLEGED MISREPRESENTATION OF PREMIUM PAYMENTS TO FUND HIS TWO



VARIABLE LIFE POLICIES.

Product Type: Insurance
Alleged Damages: \$200,000.00

Customer Complaint Information

Date Complaint Received: 04/18/1994
Complaint Pending? No
Status: Settled
Status Date: 06/12/1995
Settlement Amount: \$200,000.00
Individual Contribution Amount: \$0.00

Broker Statement PRUDENTIAL WANTED TO REVERSE THE SALE OF THE TWO ABOVE CONTRACTS BUT [CUSTOMER] DECLINED. THE PREMIUM PAYMENTS OF OVER \$40,000 FOR \$200,000 OF COVERAGE WILL BE APPLIED TO A WHOLE LIFE POLICY AND NO FURTHER PAYMENTS WILL BE NECESSARY.
NOT PROVIDED



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	THE PRUDENTIAL INSURANCE COMPANY OF AMERICA
Termination Type:	Discharged
Termination Date:	12/10/1993
Allegations:	N/A ALLEGATIONS AAINST JOHN MOLINARO OF BUSINESS PRACTICES NOT CONDONED BY THE COMPANY, WERE MADE BY THE STAFF OF THE COMPANY, SUNBURY OFFICE, OF WHICH JOHN MOLINARO WAS THE GENERAL MANAGER.
Product Type:	Insurance
Other Product Types:	
Broker Statement	JOHN MOLINARO SERVICES WERE TERMINATED EFFECTIVE 12/10/1993 SEE ATTACHED



End of Report

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