



IAPD Report

LARRY JEFFERSON TOLBERT

CRD# 1054714

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

LARRY JEFFERSON TOLBERT (CRD# 1054714)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CSENGE ADVISORY GROUP, LLC	CRD# 131167	09/28/2016
IA	INTEGRITY ALLIANCE, LLC	CRD# 139627	11/03/2025
B	INTEGRITY ALLIANCE, LLC.	CRD# 139627	11/03/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **13** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LION STREET ADVISORS, LLC	167610	Memphis, TN	07/31/2018 - 11/03/2025
B	LION STREET FINANCIAL, LLC	165828	Memphis, TN	07/27/2018 - 11/03/2025
IA	FSC SECURITIES CORPORATION	7461	MEMPHIS, TN	02/08/2012 - 07/31/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5



Report Summary

INVESTMENT ADVISER REPRESENTATIVE COMMENTS

See report for details.

Has this Investment Adviser Representative provided comments? **Yes**



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **13** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **INTEGRITY ALLIANCE, LLC**
Main Address: 4135 NW URBANDALE DR
URBANDALE, IA 50322
Firm ID#: 139627

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	11/03/2025
B	FINRA	General Securities Representative	Approved	11/03/2025
B	Alabama	Agent	Approved	11/03/2025
B	Arizona	Agent	Approved	11/03/2025
B	Arkansas	Agent	Approved	11/03/2025
B	Delaware	Agent	Approved	11/03/2025
B	Georgia	Agent	Approved	11/03/2025
B	Mississippi	Agent	Approved	11/03/2025
B	New York	Agent	Approved	11/03/2025
B	North Carolina	Agent	Approved	11/03/2025
B	Ohio	Agent	Approved	11/03/2025
IA	Tennessee	Investment Adviser Representative	Approved	11/03/2025
B	Tennessee	Agent	Approved	11/05/2025



Qualifications

Regulator	Registration	Status	Date
B Texas	Agent	Approved	11/03/2025
B Utah	Agent	Approved	11/03/2025
B Virginia	Agent	Approved	11/03/2025

Branch Office Locations

INTEGRITY ALLIANCE, LLC

6055 Primacy Pkwy
Ste 160
Memphis, TN 38119

Employment 2 of 2

Firm Name: **CSENGE ADVISORY GROUP, LLC**
Main Address: 4755 EAST BAY DRIVE
CLEARWATER, FL 33764
Firm ID#: 131167

Regulator	Registration	Status	Date
IA Tennessee	Investment Adviser Representative	Approved	09/28/2016

Branch Office Locations

CSENGE ADVISORY GROUP, LLC

6060 Poplar Avenue
Suite 150
Memphis, TN 38119




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	07/28/2003

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/23/2000

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	11/08/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/31/2018 - 11/03/2025	LION STREET ADVISORS, LLC	CRD# 167610	Memphis, TN
B	07/27/2018 - 11/03/2025	LION STREET FINANCIAL, LLC	CRD# 165828	Memphis, TN
IA	02/08/2012 - 07/31/2018	FSC SECURITIES CORPORATION	CRD# 7461	MEMPHIS, TN
B	11/16/2011 - 07/31/2018	FSC SECURITIES CORPORATION	CRD# 7461	MEMPHIS, TN
IA	11/17/2011 - 06/14/2012	PRIVATE CLIENT LLC	CRD# 145678	MEMPHIS, TN
IA	05/11/2009 - 11/18/2011	HOUSEHOLDER GROUP FINANCIAL ADVISORS, LLC	CRD# 149564	MEMPHIS, TN
B	04/22/2009 - 11/15/2011	SECURITIES SERVICE NETWORK, INC.	CRD# 13318	MEMPHIS, TN
B	10/31/2005 - 04/27/2009	SAGEPOINT FINANCIAL, INC.	CRD# 133763	MEMPHIS, TN
IA	01/06/2005 - 04/23/2009	HOUSEHOLDER GROUP, ESTATE & RETIREMENT SPECIALISTS	CRD# 107773	MEMPHIS, TN
B	09/01/2004 - 10/31/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
IA	08/09/2004 - 08/27/2004	SAXONY CAPITAL MANAGEMENT, LLC	CRD# 122692	MEMPHIS, TN
B	04/28/2004 - 08/27/2004	SAXONY SECURITIES, INC.	CRD# 115547	ST. LOUIS, MO
B	01/23/2004 - 05/04/2004	SYNDICATED CAPITAL, INC.	CRD# 29037	DIAMOND BAR, CA
B	06/25/2001 - 12/31/2003	METLIFE SECURITIES INC.	CRD# 14251	SPRINGFIELD, MA
B	06/25/2001 - 12/31/2003	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	NEW YORK, NY
B	10/24/2000 - 06/22/2001	AXA ADVISORS, LLC	CRD# 6627	NEW YORK, NY



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Memphis, TN, United States
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	Memphis, TN, United States
07/2018 - Present	Lion Street Advisors	Investment Advisor Representative	Y	Austin, TX, United States
07/2018 - Present	Lion Street Financial	Registered Representative	Y	Austin, TX, United States
04/2016 - Present	Csege Advisory Group	IAR	Y	Clearwater, FL, United States
11/2011 - 07/2018	FSC SECURITIES CORP	REGISTERED ADVISOR	Y	MEMPHIS, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1)DBA RADIAN PARTNERS LLC

POSITION: Owner NATURE: Provide life, long term care and property and casualty insurance. Radian Partners, LLC is a Tennessee Sole Member Limited Liability Company founded on August 23, 2004, for the sole purpose of acting as the operating entity for a financial services firm domiciled in Tennessee. INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 140 START DATE: 08/23/2004

ADDRESS: 6055 Primacy Parkway, Suite 160, Memphis TN 38119, United States

DESCRIPTION: I am the Sole Member and as such, I oversee all the activities of the business; the W2 employees and the 1099 financial advisors.

2)CSENGE ADVISORY GROUP

POSITION: 1099 Employee NATURE: Investment advisory, financial planning and fee based asset management. INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 140 START DATE: 04/25/2016

ADDRESS: 4755 E Bay Drive, Clearwater FL 33764, United States

DESCRIPTION: Investment advisory, financial planning and fee based asset management.

3)UNIVERSITY OF TENNESSEE AT MARTIN

POSITION: Contract education services NATURE: The University of Tennessee at Martin is an instrumentality of the state of Tennessee university system. INVESTMENT RELATED: No NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 3 START DATE: 07/01/2024

ADDRESS: 554 University Street, Martin TN 38237, United States

DESCRIPTION: We provide contract education services for the University Outreach program. Services include instruction in the University's Passport to Retirement course.

4) Name: Ferrari Club of America

Title: President, Tennessee Chapter

Term: 2 years from January 2026 to January 2028.

Investment related activities: None

Address: I operate the chapter from my office.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

6055 Primacy Parkway
Memphis, TN 38119
National FCA office address:



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LION STREET FINANCIAL, LLC
Allegations:	Customer alleges the Representative recommended the purchase of unsuitable, risky, illiquid, and complex unsecured debt securities through Representative's Registered Investment Adviser (RIA).
Product Type:	Debt-Corporate
Alleged Damages:	\$113,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA Arbitration
Docket/Case #:	25-02843
Filing date of arbitration/CFTC reparation or civil litigation:	02/18/2026

Customer Complaint Information

Date Complaint Received:	02/18/2026
Complaint Pending?	No



Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 04/13/2026

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA Arbitration

Docket/Case #: 25-02843

Date Notice/Process Served: 02/18/2026

Arbitration Pending? Yes

Investment Adviser Representative Comment: THE INDIVIDUAL HAS DISPUTED THE ACCURACY OF THE ABOVE INFORMATION. THE APPROPRIATE REGULATOR(S) ARE REVIEWING THE DISPUTED MATTER.

Disclosure 2 of 5

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LION STREET FINANCIAL, LLC

Allegations: Customer alleges the Representative recommended the purchase of unsuitable, risky, illiquid, and complex unsecured debt securities through Representative's Registered Investment Adviser (RIA).

Product Type: Debt-Corporate

Alleged Damages: \$85,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 01/06/2026

Complaint Pending? Yes

Status:

Status Date: 02/18/2026

Settlement Amount:

Individual Contribution Amount:

Disclosure 3 of 5

Reporting Source: Regulator



Employing firm when activities occurred which led to the complaint: Lion Street Financial, LLC

Allegations: Larry J. Tolbert was named in a customer complaint that asserted the following causes of action: negligence, professional negligence, violations of law, unsuitable recommendations, negligent supervision, breach of contract, breach of fiduciary duty, and breach of securities industry rules and regulations, including but not limited to Reg BI.

Product Type: Debt-Corporate

Alleged Damages: \$50,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: FINRA - CASE #25-00633

Date Notice/Process Served: 03/27/2025

Arbitration Pending? No

Disposition: Other

Disposition Date: 05/18/2026

Disposition Detail: Closed

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LION STREET FINANCIAL, LLC

Allegations: Customer alleges the Representative recommended the purchase of unsuitable, risky, illiquid, and complex unsecured debt securities through Representative's Registered Investment Adviser (RIA).

Product Type: Debt-Corporate
Other: Other

Alleged Damages: \$50,000.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA Arbitration

Docket/Case #: 25-00633

Filing date of arbitration/CFTC reparation or civil litigation: 03/27/2025

Customer Complaint Information

Date Complaint Received: 03/27/2025

Complaint Pending? No



Status: Settled

Status Date: 02/13/2026

Settlement Amount: \$13,500.00

Individual Contribution Amount: \$13,500.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 25-00633

Date Notice/Process Served: 04/01/2025

Arbitration Pending? No

Disposition: Settled

Disposition Date: 02/13/2026

Monetary Compensation Amount: \$13,500.00

Individual Contribution Amount: \$13,500.00

Disclosure 4 of 5

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LION STREET FINANCIAL, LLC

Allegations: Customer alleges the Representative recommended the purchase of unsuitable, risky, illiquid, and complex unsecured debt securities through Representative's Registered Investment Adviser (RIA).

Product Type: Other: Other

Alleged Damages: \$150,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA Arbitration, Memphis, TN

Docket/Case #: 23-01737

Filing date of arbitration/CFTC reparation or civil litigation: 06/23/2023

Customer Complaint Information

Date Complaint Received: 06/23/2023

Complaint Pending? No



Status: Withdrawn
Status Date: 02/13/2024
Settlement Amount:
Individual Contribution Amount:
Investment Adviser Representative Comment: THE INDIVIDUAL HAS DISPUTED THE ACCURACY OF THE ABOVE INFORMATION. THE APPROPRIATE REGULATOR(S) ARE REVIEWING THE DISPUTED MATTER.

Disclosure 5 of 5

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: LION STREET FINANCIAL, LLC
Allegations: Customer alleges the Representative recommended the purchase of unsuitable, risky, illiquid, and complex unsecured debt securities through Representative's Registered Investment Adviser (RIA).
Product Type: Debt-Corporate
Alleged Damages: \$95,000.00
Is this an oral complaint? No
Is this a written complaint? No
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA Arbitration
Docket/Case #: 23-03260
Filing date of arbitration/CFTC reparation or civil litigation: 11/15/2024

Customer Complaint Information

Date Complaint Received: 11/09/2023
Complaint Pending? No
Status: Settled
Status Date: 11/16/2023
Settlement Amount: \$45,000.00
Individual Contribution Amount: \$45,000.00

Civil Litigation Information

Type of Court: Military Court
Name of Court:
Location of Court:
Docket/Case #:



Date Notice/Process Served:

Litigation Pending?



End of Report

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