



IAPD Report

PETER ANDREW KRANENBURG JR

CRD# 1086041

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PETER ANDREW KRANENBURG JR (CRD# 1086041)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/23/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	09/01/2023
IA	OSAIC WEALTH, INC.	CRD# 23131	09/01/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SAGEPOINT FINANCIAL, INC.	133763	LEHI, UT	09/04/2018 - 09/01/2023
B	SAGEPOINT FINANCIAL, INC.	133763	LEHI, UT	08/31/2018 - 09/01/2023
IA	NEXT FINANCIAL GROUP, INC.	46214	LEHI, UT	01/10/2005 - 09/06/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **9** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	Corporate Securities Represent	Approved	09/01/2023
B	FINRA	Direct Participation Programs	Approved	09/01/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	09/01/2023
B	California	Agent	Approved	09/01/2023
B	Colorado	Agent	Approved	05/23/2024
B	Idaho	Agent	Approved	09/01/2023
B	Ohio	Agent	Approved	10/28/2025
B	Oregon	Agent	Approved	09/01/2023
B	Texas	Agent	Approved	09/01/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	09/01/2023
B	Utah	Agent	Approved	09/01/2023
IA	Utah	Investment Adviser Representative	Approved	09/01/2023
B	Washington	Agent	Approved	09/01/2023



Qualifications

Regulator	Registration	Status	Date
B Wyoming	Agent	Approved	09/01/2023

Branch Office Locations

OSAIC WEALTH, INC.
2972 WEST MAPLE LOOP
SUITE 302
LEHI, UT 84043



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Corporate Securities Limited Representative Examination (S62)	Series 62	02/17/2016
B Direct Participation Programs Representative Examination (S22)	Series 22	08/17/2000
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/12/1996

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	12/17/1999
B Uniform Securities Agent State Law Examination (S63)	Series 63	11/22/1995



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/04/2018 - 09/01/2023	SAGEPOINT FINANCIAL, INC.	CRD# 133763	LEHI, UT
B	08/31/2018 - 09/01/2023	SAGEPOINT FINANCIAL, INC.	CRD# 133763	LEHI, UT
IA	01/10/2005 - 09/06/2018	NEXT FINANCIAL GROUP, INC.	CRD# 46214	LEHI, UT
B	01/07/2005 - 09/06/2018	NEXT FINANCIAL GROUP, INC.	CRD# 46214	LEHI, UT
B	12/02/2003 - 12/31/2004	MONY SECURITIES CORPORATION	CRD# 4386	NEW YORK, NY
IA	12/02/2003 - 12/31/2004	MONY SECURITIES CORPORATION	CRD# 4386	LEHI, UT
IA	10/04/2002 - 12/02/2003	TRUSTED ADVISORS	CRD# 24049	LEHI, UT
B	08/13/2002 - 12/02/2003	TRUSTED SECURITIES ADVISORS CORP.	CRD# 24049	NEW YORK, NY
IA	05/23/2000 - 08/07/2002	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	OREM, UT
B	06/21/1999 - 08/07/2002	NATIONAL PLANNING CORPORATION	CRD# 29604	LOS ANGELES, CA
B	07/25/1996 - 06/28/1999	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	02/13/1996 - 07/02/1996	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	LEHI, UT, United States
08/2018 - Present	SAGEPOINT FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	LEHI, UT, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/1986 - Present	PETER KRANENBURG, CPA	OWNER/CPA	N	OREM, UT, United States
01/2005 - 08/2018	NEXT FINANCIAL GROUP, INC.	REGISTERED REPRESENTATIVE	Y	LEHI, UT, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) KRANENBURG ACCOUNTING & TAX SERVICE CPA'S PC

POSITION: CPA/Owner NATURE: CPA work. Currently inactive business. No revenues. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 05/01/1986
ADDRESS: 2972 W. Maple Loop Drive Ste 302, Lehi UT 84043, United States
DESCRIPTION: All powers, All duties

2) PAKJ INVESTMENTS LLC

POSITION: Managing Member NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 06/15/1999
ADDRESS: 2972 W Maple Loop Drive Ste302, Lehi UT 84043, United States
DESCRIPTION: Real-estate investments and management

3) PAKJ2 INVESTMENTS LLC

POSITION: Managing Member NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 12/14/2014
ADDRESS: 2972 W Maple Loop Drive Ste 302, Lehi UT 84043, United States
DESCRIPTION: Real Estate Investments and management.

4) INDEPENDENCE DAY ARMS AND AMMUNITION LLC

POSITION: Member NATURE: The structure is a LLC with 2 members. Myself and my son. INVESTMENT RELATED: No NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2020
ADDRESS: 2972 W Maple Loop Drive #302, Lehi UT 84043, United States
DESCRIPTION: Monitoring website, delivery of firearms and reporting sales and registration of owners to ATF as required. Finding vendors and items we wants to resale through our website.

5) PETER A KRANENBURG

POSITION: Sales Rep.. NATURE: Sole Proprietorship INVESTMENT RELATED: Yes NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 0 START DATE: 10/01/1986
ADDRESS: 2972 W Maple Loop Drive Suite 302, Lehi UT 84043, United States
DESCRIPTION: Get quotes for clients, helping with applications and delivering policies.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - o A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - o A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - o A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - o An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - o A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - o A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Fourth Judicial District Court of State of Utah
Location of Court:	Utah County, Utah
Docket/Case #:	251402239 FS
Charge Date:	06/12/2025
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Felony Aggravated Assault (Domestic Violence)
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not guilty
Disposition of charge:	Amended
Date of Amended Charge:	09/03/2025
Charge was Amended or reduced to:	Threatening With or Using a Dangerous Weapon in Fight/Quarrel (Domestic Violence)
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Guilty
Disposition of Amended Charge:	Dismissed
Charge(s) 2 of 2	



Formal Charge(s)/Description:	Felony Aggravated Abuse of a Vulnerable Adult
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not guilty
Disposition of charge:	Amended
Date of Amended Charge:	09/03/2025
Charge was Amended or reduced to:	Misdemeanor Threaten with/ Use Dangerous Weapon in Fight/Quarrel
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Guilty
Disposition of Amended Charge:	Convicted
Current Status:	Final
Status Date:	09/11/2025
Disposition Date:	09/11/2025
Sentence/Penalty:	On 12/10/2025, the individual was sentenced to 364 days jail (suspended 364 days, 96 days credit); fine of \$813; and 24-months of probation for the amended charge of 'Misdemeanor Threaten with/Use Dangerous Weapon in Fight/Quarrel.'



End of Report

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