



IAPD Report

CINDY LYNN ROMNEY

CRD# 1123343

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CINDY LYNN ROMNEY (CRD# 1123343)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/02/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	D.A. DAVIDSON & CO.	CRD# 199	11/01/2010
IA	D.A. DAVIDSON & CO.	CRD# 199	11/01/2010

QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **30** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WELLS FARGO INVESTMENTS, LLC	10582	BELLEVUE, WA	02/26/2004 - 11/09/2010
B	WELLS FARGO INVESTMENTS, LLC	10582	BELLEVUE, WA	02/20/2004 - 11/09/2010
B	UBS FINANCIAL SERVICES INC.	8174	WEEHAWKEN, NJ	12/21/1998 - 03/11/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **30** jurisdiction(s) and 2 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **D.A. DAVIDSON & CO.**
Main Address: 8 THIRD STREET NORTH
GREAT FALLS, MT 59401
Firm ID#: 199

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/01/2010
B Nasdaq Stock Market	General Securities Representative	Approved	11/01/2010
B Alaska	Agent	Approved	11/01/2010
B Arizona	Agent	Approved	11/01/2010
B Arkansas	Agent	Approved	11/01/2010
B California	Agent	Approved	11/01/2010
B Colorado	Agent	Approved	11/01/2010
B Florida	Agent	Approved	01/11/2019
B Georgia	Agent	Approved	05/02/2022
B Hawaii	Agent	Approved	08/20/2018
B Idaho	Agent	Approved	11/01/2010
B Iowa	Agent	Approved	11/15/2012
B Louisiana	Agent	Approved	07/11/2025



Qualifications

	Regulator	Registration	Status	Date
B	Maryland	Agent	Approved	03/14/2025
B	Massachusetts	Agent	Approved	12/21/2015
B	Michigan	Agent	Approved	01/17/2023
B	Missouri	Agent	Approved	03/24/2015
B	Montana	Agent	Approved	08/15/2014
B	Nebraska	Agent	Approved	03/18/2025
B	Nevada	Agent	Approved	11/01/2010
B	New Jersey	Agent	Approved	04/08/2024
B	New Mexico	Agent	Approved	04/27/2021
B	New York	Agent	Approved	11/01/2010
B	North Carolina	Agent	Approved	11/01/2010
B	Ohio	Agent	Approved	01/20/2023
B	Oregon	Agent	Approved	11/01/2010
B	Pennsylvania	Agent	Approved	08/15/2019
B	Texas	Agent	Approved	09/07/2017
B	Utah	Agent	Approved	11/25/2019
B	Virginia	Agent	Approved	01/31/2023
B	Washington	Agent	Approved	11/01/2010
IA	Washington	Investment Adviser Representative	Approved	11/01/2010



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	04/16/2020

Branch Office Locations

D.A. DAVIDSON & CO.
BELLEVUE, WA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	08/20/1983
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State Securities Law Exams

Exam	Category	Date
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Uniform Securities Agent State Law Examination (S63)	Series 63	10/10/1983
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/26/2004 - 11/09/2010	WELLS FARGO INVESTMENTS, LLC	CRD# 10582	BELLEVUE, WA
B	02/20/2004 - 11/09/2010	WELLS FARGO INVESTMENTS, LLC	CRD# 10582	BELLEVUE, WA
B	12/21/1998 - 03/11/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	WEEHAWKEN, NJ
IA	12/08/1998 - 03/11/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	BELLEVUE, WA
B	07/14/1989 - 11/18/1998	SALOMON SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY
B	08/23/1983 - 08/29/1989	PRUDENTIAL-BACHE SECURITIES INC.	CRD# 7471	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2010 - Present	D.A. DAVIDSON & CO.	SENIOR VICE PRESIDENT, FINANCIAL ADVISOR	Y	BELLEVUE, WA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) ROMPOL LLC; NON-INVESTMENT RELATED; SUN VALLEY, ID; LLC OWNERSHIP OF A VACATION SKI HOME; CO-OWNER; BEGINNING 11/1/06; NO TIME DEVOTED TO THE ACTIVITY.
- 2) CR SQUARED; INVESTMENT RELATED; KIRKLAND, WA; LLC; CO-OWNER; START 4/1/19; 0 HR/MO; NO TIME DEVOTED DURING SECURITIES TRADING HOURS; NO DUTIES, ACCOUNT JUST COLLECTS DISTRIBUTIONS THAT WE SPLIT.
- 3) FIDUCIARY RELATIONSHIP; EXECUTOR FOR DOROTHY BROWN; SPOKANE VALLEY, WA; START 2/1/21; EXPECTED TO END 5/1/24.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 10/12/1992

Docket/Case Number: C3B920035

Employing firm when activity occurred which led to the regulatory action: SMITH BARNEY HARRIS & UPHAM

Product Type:

Other Product Type(s):

Allegations:

Current Status: Final

Resolution: Consent

Resolution Date: 05/24/1993

Sanctions Ordered: Censure
Monetary/Fine \$5,500.00

Other Sanctions Ordered:

Sanction Details:

**Regulator Statement**

COMPLAINT NO. C3B920035 (DISTRICT NO. 3) FILED OCTOBER 12, 1992 AGAINST RESPONDENT CINDY LYNN ROMNEY ALLEGING VIOLATIONS OF ARTICLE III, SECTIONS 15(b) OF THE RULES OF FAIR PRACTICE IN THAT RESPONDENT ROMNEY EXERCISED DISCRETION IN THE ACCOUNT OF A PUBLIC CUSTOMER WITHOUT OBTAINING PRIOR WRITTEN DISCRETIONARY TRADING AUTHORITY FROM THE CUSTOMER AND WITHOUT THE ACCEPTANCE OF SUCH ACCOUNT BY HER MEMBER FIRM.

DECISION RENDERED MAY 24, 1993, WHEREIN THE OFFER OF SETTLEMENT SUBMITTED BY RESPONDENT ROMNEY WAS ACCEPTED; THEREFORE, SHE IS CENSURED AND FINED \$5,500.

\$5,500 PAID ON 6/15/93 INVOICE #93-3B-443

Reporting Source:

Individual

Regulatory Action Initiated By:

NASD DISTRICT BUSINESS CONDUCT COMMITTEE - DISTRICT NO. 3

Sanction(s) Sought:**Other Sanction(s) Sought:****Date Initiated:**

10/12/1992

Docket/Case Number:

C3B920035

Employing firm when activity occurred which led to the regulatory action:

SMITH BARNEY HARRIS & UPHAM

Product Type:**Other Product Type(s):****Allegations:**

THE DBCC ALLEGED THAT I EXERCISED DISCRETION GRANTED PURSUANT TO ORAL AUTHORIZATION FROM THE CUSTOMER WITHOUT OBTAINING PRIOR WRITTEN DISCRETIONARY AUTHORIZATION FROM THE CUSTOMER AND WITHOUT WRITTEN ACCEPTANCE OF SUCH ACCOUNT BY SBHU.

Current Status:

Final

Resolution:

Consent

Resolution Date:

05/24/1993

Sanctions Ordered:

Censure
Monetary/Fine \$5,500.00

Other Sanctions Ordered:**Sanction Details:**

I SUBMITTED AN OFFER OF SETTLEMENT ACCEPTED BY THE DBCC IN WHICH I CONSENTED WITHOUT ADMITTING OR DENYING THE ALLEGATIONS OF THE COMPLAINT TO THE POSITION OF A CENSURE AND FINE IN THE AMOUNT OF \$5,500 AND WAS FOUND TO HAVE VIOLATED ARTICLE III SECTIONS 1 AND 15(B) OF THE NASD RULES.



Broker Statement

NO OPTIONS OR COMMODITIES WERE INVOLVED. THE DBCC IN ACCEPTING MY OFFER OF SETTLEMENT ACKNOWLEDGED THAT I HAVE NO PRIOR DISCIPLINARY HISTORY WITH THE ASSOCIATION, THAT THERE WERE NO CUSTOMER LOSSES AND THA THE CUSTOMER WAS AT ALL TIMES AWARE OF THE ACTIVITIES IN THE ACCOUNT.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INCORPORATED

Allegations: [CUSTOMER] SOUGHT INDEMNIFICATION, CONTRIBUTION AND ADDITIONAL COSTS AND DAMAGES FROM MS. ROMNEY AS A RESULT OF THE ALLEGATIONS MISREPRESENTATIONS AND UNSUITABLE PURCHASE OF ONE INVESTMENT MADE BY [THIRD PARTY], THE CLAIMANTS IN THE NASD ARBITRATION, AGAINST [CUSTOMER]. THE [CUSTOMERS] SOUGHT DAMAGES OF \$20,000.00 AGAINST [CUSTOMER]. THE [THIRD PARTY] ASSERTED NO CLAIMS OR ALLEGATIONS AGAINST MS. ROMNEY.

Product Type:

Alleged Damages: \$20,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NATIONAL ASSOC. OF SECURITIES DEALERS; 94-03205](#)

Date Notice/Process Served: 11/02/1994

Arbitration Pending? No

Disposition: Award to Customer

Disposition Date: 12/08/1995

Monetary Compensation Amount: \$16,272.00

Individual Contribution Amount: \$16,272.00



Broker Statement [CUSTOMER] WAS SOLELY LIABLE TO THE [THIRD PARTY] FOR \$2,172.00. MS. ROMNEY WAS SOLELY LIABLE TO THE [THIRD PARTY] FOR \$16,272.00.
NOT PROVIDED

Disclosure 2 of 3

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INCORPORATED

Allegations: THE ABOVE CLIENT(S) SUBMITTED CLAIM FORM(S) TO THE CLAIMS RESOLUTION PROCESS RELATING TO LIMITED PARTNERSHIP PURCHASE(S) DURING THE PERIOD 10/84-8/86. THE ABOVE MENTIONED REGISTERED REP. WAS THE BROKER OF RECORD AT THE TIME OF THE PURCHASE(S). NO DAMAGES WERE ALLEGED BUT THE AMOUNT(S) OF ACTUAL LOSS (OUT-OF-POCKET) IS/ARE APPROXIMATELY \$ 16,708

Product Type:
Alleged Damages: \$16,708.00

Customer Complaint Information

Date Complaint Received: 10/21/1993
Complaint Pending? No
Status: Settled
Status Date: 10/21/1993
Settlement Amount: \$33,431.00

Individual Contribution Amount:

Firm Statement SETTLEMENT(S) WITH THE ABOVE CLIENT(S) HAS/HAVE BEEN REACHED IN THE CLAIM S RESOLUTION PROCESS. THE DOLLAR AMOUNT(S) OF THE SETTLEMENT(S) IS/ARE APPROXIMATELY \$33,431 THIS MATTER RESULTED FROM THE UNPRECEDENTED, UNSOLICITED MAILING OF CLAIM FORMS BY PSI TO OVER 340,000 INVESTORS WHO PURCHASED LIMITED PARTNERSHIPS THROUGH PSI FROM JANUARY 1, 1980-JANUARY 1, 1991. THE ABOVE REFERENCED CLIENT(S) SUBMITTED CLAIM FORM(S) IN RESPONSE TO THIS MAILING. THE CLAIM FORM(S) WAS/WERE EVALUATED BY PSI IN ACCORDANCE WITH THE STANDARDS ESTABLISHED UNDER THE SETTLEMENT BETWEEN PSI, THE SEC, NASD AND THE STATE SECURITIES ADMINISTRATORS. THE REPORTED SETTLEMENT(S) AROSE OUT OF THIS UNIQUE PROCESS.

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INCORPORATED

Allegations: CLIENT SUBMITTED CLAIM FORM TO THE CLAIMS RESOLUTION PROCESS RELATING TO LIMITED PARTNERSHIP



PURCHASE(S)
DURING THE PERIOD 10/84-8/86. THE ABOVE MENTIONED REGISTERED REPRESENTATIVE WAS THE BROKER OF RECORD AT THE TIME OF THE PURCHASE(S). NO SPECIFIED DOLLAR DAMAGES ALLEGED BUT THE AMOUNT OF ACTUAL LOSS (OUT-OF-POCKET) WAS APPROX. \$16,708.

Product Type:

Alleged Damages: \$16,708.00

Customer Complaint Information

Date Complaint Received: 10/21/1993

Complaint Pending? No

Status: Settled

Status Date: 10/21/1993

Settlement Amount: \$33,431.00

Individual Contribution Amount:

Broker Statement

SETTLEMENT WITH THE ABOVE CLIENT HAD BEEN REACHED WITH THE CLAIMS RESOLUTION PROCESS FOR APPROXIMATELY \$33,431.
Not Provided

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PSI

Allegations: UNSUITABLE INVESTMENTS, MISREPRESENTATION AND NON-DISCLOSURE IN CONNECTION WITH LTD PARTNERSHIP SALES BY [OTHER CUSTOMER NAMED]; THE SAME ALLEGATIONS PLUS CHURNING ALLEGATIONS WERE MADE BY [CUSTOMER]. TOTAL DAMAGES ALLEGED IN EXCESS OF \$469K.

Product Type:

Alleged Damages: \$469,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation
Litigation

Status Date: 03/01/1993

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/Reparation Claim filed with and Docket/Case No.: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.; 91-03391

Date Notice/Process Served: 10/21/1991

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/01/1993

Monetary Compensation Amount: \$167,693.45

Individual Contribution Amount:

Civil Litigation Information

Disposition: Judgment (other than monetary)

Disposition Date:

Firm Statement PSI HAS AGREED TO PAY [CUSTOMER] THE TOTAL OF \$160,363.62 AND [OTHER CUSTOMER NAMED] \$7,329.83 PLUS AN ADDITIONAL PAYMENT TO THEIR COUNSEL. NOT PROVIDED

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PSI

Allegations: UNSUITABLE INVESTMENTS, MISREPRESENTATION AND NON-DISCLOSURE IN CONNECTION WITH LTD PARTNERSHIP SALES BY [CUSTOMER]; THE SAME ALLEGATIONS PLUS CHURNING WERE MADE BY [CUSTOMER]. TOTAL DAMAGES ALLEGED IN EXCESS OF \$469,000.

Product Type:

Alleged Damages: \$469,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation Litigation

Status Date: 03/01/1993

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.; 91-03391

Date Notice/Process Served: 10/21/1991



Arbitration Pending? No
Disposition: Settled
Disposition Date: 03/01/1993
Monetary Compensation Amount: \$167,693.45
Individual Contribution Amount:

Civil Litigation Information

Disposition: Judgment (other than monetary)
Disposition Date:

Broker Statement PRUDENTIAL SECURITIES INC. AGREED TO PAY [CUSTOMER] THE TOTAL OF \$160,363.62 AND [CUSTOMER] \$7,329.83 PLUS AN ADDITIONAL UNSPECIFIED AMOUNT TO THEIR COUNSEL. NOT PROVIDED



End of Report

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