



IAPD Report

ANTHONY MICHAEL SCE III

CRD# 1127204

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANTHONY MICHAEL SCE III (CRD# 1127204)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/23/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	08/31/2009
IA	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	07/13/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WATERSTONE FINANCIAL GROUP, INC.	10078	BOHEMIA, NY	08/15/2005 - 09/01/2009
B	TOWER SQUARE SECURITIES, INC.	833	EL SEGUNDO, CA	01/05/1998 - 08/15/2005
B	ADVANTAGE CAPITAL CORPORATION	146	ATLANTA, GA	08/15/1994 - 12/31/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**
Main Address: 7333 EAST DOUBLETREE RANCH RD, SUITE 120
SCOTTSDALE, AZ 85258
Firm ID#: 20804

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/31/2009
B	FINRA	General Securities Principal	Approved	12/22/2009
B	Arizona	Agent	Approved	09/20/2024
B	Connecticut	Agent	Approved	11/15/2023
B	Florida	Agent	Approved	08/31/2009
B	Idaho	Agent	Approved	01/10/2022
B	Maryland	Agent	Approved	09/20/2024
B	Massachusetts	Agent	Approved	06/26/2020
B	Nevada	Agent	Approved	11/17/2011
B	New Hampshire	Agent	Approved	11/27/2018
B	New Jersey	Agent	Approved	08/31/2009
B	New York	Agent	Approved	08/31/2009
IA	New York	Investment Adviser Representative	Approved	07/13/2021



Qualifications

Regulator	Registration	Status	Date
B Ohio	Agent	Approved	03/02/2011
B Texas	Agent	Approved	06/13/2025

Branch Office Locations

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
515 JOHNSON AVE
STE 201
BOHEMIA, NY 11716

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
771 GLOUCESTER ST.
Boca Raton, FL 33487



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	12/21/2009

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	04/14/1984

State Securities Law Exams

Exam	Category	Date
Uniform Securities Agent State Law Examination (S63)	Series 63	06/01/1984

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Certified Financial Planner

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/15/2005 - 09/01/2009	WATERSTONE FINANCIAL GROUP, INC.	CRD# 10078	BOHEMIA, NY
B	01/05/1998 - 08/15/2005	TOWER SQUARE SECURITIES, INC.	CRD# 833	EL SEGUNDO, CA
B	08/15/1994 - 12/31/1997	ADVANTAGE CAPITAL CORPORATION	CRD# 146	ATLANTA, GA
B	04/18/1984 - 08/15/1994	TRAVELERS EQUITIES SALES, INC.	CRD# 833	EL SEGUNDO, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	58 MARINA WAY LLC	LLC MEMBER	Y	iSLIP, NY, United States
09/2023 - Present	A3GA4 LLC	Manager	Y	844-846 SW 14th Street, FL, United States
06/2017 - Present	7 CRESCENT LLC	OWNER	Y	SEAVIEW, NY, United States
04/2016 - Present	EIFS of Florida, Inc.	President/CEO	Y	Boca Raton, FL, United States
08/2009 - Present	UNITED PLANNERS' FINANCIAL SERVICES	REG. REP.	Y	SCOTTSDALE, AZ, United States
09/1997 - Present	SCE GAR REALTY INC	PRESIDENT	Y	BOHEMIA, NY, United States
09/1985 - Present	Executive Insurance Brokerage and Financial Services Inc.	Pres./ CEO	Y	Bohemia, NY, United States
09/1985 - Present	Personal Rental Properties	Owner	Y	Bohemia, NY, United States
09/1982 - Present	ANTHONY SCE' - RENTAL PROPERTY	OWNER - MANAGER	N	WEST ISLIP, NY, United States
11/2016 - 02/2020	EXECUTIVE INSURANCE & FINANCIAL SERVICES INC	PRESIDENT	Y	BOHEMIA, NY, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) EXECUTIVE INSURANCE & FINANCIAL SERVICES - PRES~CEO/AGENT/REP - OFFICE OF ANTHONY SCE' - INSURANCE AND FINANCIAL SERVICES - EAST ISLIP, NY - SINCE 09/1985 - INVESTMENT RELATED
- 2.) SCE-GAR REALTY - REAL ESTATE HOLDING CO. OWNER/LANDLORD - BOHEMIA, NY - SINCE 09/1997
- 3.) PERSONAL RENTAL PROPERTY - OWNER/LANDLORD - RENTAL REALTY - MANAGEMENT OF RENTAL PROPERTY/COLLECT RENT - BOHEMIA NY - SINCE - 09/1985 - INVESTMENT RELATED
- 4.) THE MOORINGS BOARD HOMEOWNERS ASSOCIATION - THE MOORINGS - EAST ISLIP, NY - SECURITY CHAIR (PHYSICAL SECURITY) OVERSEE GATEHOUSE/ NO COMPENSATION
- 5.) EIFS OF FLORIDA, INC. - PRESIDENT/CEO - NON-VARIABLE INSURANCE - BOCA RATON, FL - SINCE 04/2016 - INVESTMENT RELATED
- 6.) EXECUTIVE INSURANCE BROKERAGE AND FINANCIAL SERVICES INC. - PRESIDENT - DBA NAME FOR MARKETING PURPOSES ONLY - BOHEMIA, NY - SINCE 11/2016 - INVESTMENT RELATED
- 7.) 7 CRESCENT LLC - OWNER - REAL ESTATE SALES/RENTAL PROPERTIES/PROPERTY MANAGEMENT - SEAVIEW, NY - SINCE 06/2017 - INVESTMENT RELATED
- 8.) AMS RETIREMENT SOLUTIONS - CEO/PRESIDENT - DBA NAME FOR MARKETING PURPOSES ONLY - BOHEMIA, NY - SINCE 02/2020 - INVESTMENT RELATED
- 9.) EXECUTIVE INSURANCE BROKERAGE AND FINANCIAL SERVICES INC. - PRES/CEO - PROPERTY CASUALTY INS. - BOHEMIA, NY - SINCE 09/1985 - INVESTMENT RELATED
- 10.) A3GA4 LLC - MANAGER - REAL ESTATE SALES/RENTAL PROPERTIES/PROPERTY MANAGEMENT - FORT LAUDERDALE, FL - SINCE 09/2023 - INVESTMENT RELATED
- 11.) 58 MARINA WAY LLC - LLC MEMBER - REAL ESTATE SALES/RENTAL PROPERTIES/PROPERTY MANAGEMENT - ISLIP, NY - SINCE 09/2025 - INVESTMENT RELATED



End of Report

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