IAPD Report

TIMOTHY LYN FORD

CRD# 1128151

Section Title	Page(s)

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

TIMOTHY LYN FORD (CRD# 1128151)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/01/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	09/08/2008
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	03/04/2010

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	RWS FINANCIAL GROUP, LLC	124251	LIVONIA, MI	07/09/2010 - 12/31/2013
B	MULTI-FINANCIAL SECURITIES CORPORATION	10299	DEARBORN, MI	01/01/2004 - 09/11/2008
B	VESTAX SECURITIES CORPORATION	10332	HUDSON, OH	04/24/1996 - 01/01/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	6

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **7** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH, INC.**

Main Address: 1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757

Firm ID#: 39543

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	09/08/2008
B	FINRA	General Securities Representative	Approved	09/08/2008
B	FINRA	General Securities Sales Supervisor	Approved	09/08/2008
B	Arizona	Agent	Approved	09/08/2008
B	Florida	Agent	Approved	09/18/2008
B	Michigan	Agent	Approved	09/08/2008
B	Missouri	Agent	Approved	09/11/2024
B	North Carolina	Agent	Approved	07/26/2012
B	Pennsylvania	Agent	Approved	09/08/2008
B	Texas	Agent	Approved	01/05/2016

Branch Office Locations

CAMBRIDGE INVESTMENT RESERARCH, INC.
28555 Orchard Lake Road, Suite 100
Farmington Hills, MI 48334

Employment 2 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

Qualifications

Main Address: 1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757

Firm ID#: 134139

	Regulator	Registration	Status	Date
IA	Michigan	Investment Adviser Representative	Approved	03/04/2010
IA	Texas	Investment Adviser Representative	Restricted Approval	01/04/2016

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.
28555 Orchard Lake Rd.
Ste. 100
Farmington Hills, MI 48334

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
B	General Securities Principal Examination (S24)	Series 24	01/24/1991
B	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	05/04/1990

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	06/15/1985
B	Direct Participation Programs Representative Examination (S22)	Series 22	03/27/1984
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/23/1983

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/19/1983

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

User Guidance

www.adviserinfo.sec.gov

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/09/2010 - 12/31/2013	RWS FINANCIAL GROUP, LLC	CRD# 124251	LIVONIA, MI
B	01/01/2004 - 09/11/2008	MULTI-FINANCIAL SECURITIES CORPORATION	CRD# 10299	DEARBORN, MI
B	04/24/1996 - 01/01/2004	VESTAX SECURITIES CORPORATION	CRD# 10332	HUDSON, OH
B	10/22/1990 - 05/08/1996	MARINER FINANCIAL SERVICES, INC.	CRD# 8292	LARGO, FL
B	10/17/1989 - 11/02/1990	HAMILTON INVESTMENTS, INC.	CRD# 821	
B	01/15/1986 - 10/19/1989	KORN, WOMACK, STERN AND ASSOCIATES, INC.	CRD# 16933	
B	05/24/1985 - 01/13/1986	VALUE EQUITIES CORPORATION	CRD# 13316	
B	01/31/1985 - 05/24/1985	MARINER FINANCIAL SERVICES, INC.	CRD# 8292	
B	09/06/1984 - 01/28/1985	WZW FINANCIAL SERVICES, INC.	CRD# 5717	
B	02/08/1984 - 09/17/1984	CIGNA SECURITIES, INC.	CRD# 145	
B	06/02/1983 - 01/16/1984	PRUCO SECURITIES CORPORATION	CRD# 5685	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2008 - Present	CAMBRIDGE INVESTMENT RESEARCH ADVISOR, INC	IA REP	Y	FAIRFIELD, IA, United States
09/2008 - Present	CAMBRIDGE INVESTMENT RESEARCH, INC	REG REP	Y	FAIRFIELD, IA, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) INDEPENDENT INSURANCE AGENT, DEARBORN MI, 07/1980, VARIOUS INDEPENDENT INSURANCE COMPANIES, INV REL, 1/WK 1/TRADING

2) DEARBORN FEDERAL SAVINGS BANK, 22315 MICHIGAN AVE DEARBORN MI 48124, 06/29/2010, BOARD MEMBER WHO CANNOT ACT WITHOUT PERMISSION OF THE OTHER BOARD MEMBERS. NIR, 6HR/MO 6HR/MO DURING TRADE

3) DEARBORN COUNTRY CLUB 800 N. MILITARY DEARBORN, MI 48124. BEGAN 11/29/2011 AS BOARD MEMBER, VOTING ON CLUB PROJECTS. NOT INVESMENT RELATED. DEVOTES 6 HRS/MNTH. NONE DURING TRADING

4) TIMOTHY L. FORD & MARIBETH A. FORD, 22360 GARRISON, DEARBORN, MI 48124. BEGAN 1/15/2000 AS OWNER OF PROPERTY. NOT INVESTMENT RELATED. DEVOTES 2 HOURS/MONTH, NONE DURING TRADING

5) PREMIER FINANCIAL ADVISORS, 22360 GARRISON, DEARBORN, MI, 10/1990, PRESIDENT/OWNER TO PROVIDE TAX AND LEGAL LIABILITY. NIR 10/WK-10/TRADING

6) RWS FINANCIAL GROUP, 28555 ORCHARD LAKE RD STE 100 FARMINGTON HILLS MI 48334, 04/2021, IAR, DBA, INV REL, 150 HR/MO 150 HR/MO TRADING

7) CIRA, 1776 PLEASANT PLAIN RD, FAIRFIELD, IA, AS ADVISORY REP OF A RIA, INV REL, 160 HR/MO - 120 HR/MO TRADING. 09/01/2008

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;

- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - o A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - o A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - o A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - o An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - o A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - o A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	6

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 6

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORP
Allegations:	IT IS ALLEGED THAT MR. FORD FAILED TO CONDUCT PROPER DUE DILIGENCE WHEN THE CLAIMANT'S PARENTS TRUST INVESTED IN MORTGAGE CORPORATION OF AMERICA PARTICIPATION CERTIFICATES AND DEBENTURES CAUSING THEIR TRUST TO SUSTAIN LOSSES.
Product Type:	Other
Other Product Type(s):	NON-EXEMPT UNREGISTERED SECURITES
Alleged Damages:	$63,219.00

Customer Complaint Information

Date Complaint Received:	01/24/2003
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	01/24/2003
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	DOCKET/CASE # 03-00127
Date Notice/Process Served:	01/24/2003
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	03/17/2004
Monetary Compensation Amount:	$63,000.00
Individual Contribution Amount:	$2,500.00

Disclosure 2 of 6

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION AND MARINER FINANCIAL SERVICES, INC.
Allegations:	CUSTOMER ALLEGES THAT THE INVESTMENT OF A TOTAL OF $445,800.00 INTO MORTGAGE CORPORATION OF AMERICAN WAS NOT A SUITABLE INVESTMENT IN REGARD TO THE CUSTOMER'S STATED INVESTMENT OBJECTIVES AND RISK TOLERANCE.
Product Type:	Other
Other Product Type(s):	NON-EXEMPT, UNREGISTERED SECURITES
Alleged Damages:	$445,800.00

Customer Complaint Information

Date Complaint Received:	01/20/2000
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	01/20/2000
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD CASE #99-05482
Date Notice/Process Served:	01/20/2000
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	02/10/2003
Monetary Compensation Amount:	$140,000.00
Individual Contribution Amount:	$3,000.00

Broker Statement	SETTLED FOR $140,000.00

Disclosure 3 of 6

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION
Allegations:	BREACH OF CONTRACT, FRAUD, CONSPIRACY, PROMISSORY ESTOPPEL, CONVERSION, NEGLIGENCE, MALPRACTICE, AND BREACH OF FIDUCIARY DUTY.
Product Type:	Other
Other Product Type(s):	UNKNOWN TYPE OF SECURITIES.
Alleged Damages:	$235,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD - CASE #99-05362
Date Notice/Process Served:	11/23/1999
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	02/21/2002
Disposition Detail:	CLAIMANTS HAVE AGREED TO WITHDRAW AND DISMISS ALL CLAIMS AGAINST RESPONDENT WITH PREJUDICE AND WITHOUT COSTS.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION AND MARINER FINANCIAL SERVICES, INC.
Allegations:	CUSTOMER ALLEGES THAT THE INVESTMENT OF A TOTAL OF $235,000.00 INTO THE MORTGAGE CORPORATION OF AMERICAN WAS NOT A SUITABLE INVESTMENT IN REGARD TO THE CUSTOMER'S STATED INVESTMENT OBJECTIVES AND RISK TOLERANCE.
Product Type:	Other
Other Product Type(s):	NON-EXEMPT, UNREGISTERED SECURITIES
Alleged Damages:	$235,000.00

Customer Complaint Information

Date Complaint Received:	12/13/1999
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	12/13/1999
Settlement Amount:	

Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD CASE# 99-05362
Date Notice/Process Served:	12/13/1999
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	08/13/2001
Monetary Compensation Amount:	$40,000.00
Individual Contribution Amount:	$40,000.00
Broker Statement	SETTLEMENT AND RELEASE SIGNED BY CLIENTS FOR THE AMOUNT OF $40,000.00 JOINTLY AND SEVERAALY BY RESPONDENTS. RESPONDENT DOES NOT CONSIDER THE SETTLEMENT AN ADMISSION OR ACKNOWLEDGEMENT OF WRONGDOING. THE SETTLEMENT WAS REACHED TO AVOID LENGTHY LITIGATION AND UNDUE LEGAL EXPENSES.

Disclosure 4 of 6

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION
Allegations:	CUSTOMER ALLEGES THAT THE INVESTMENT OF A TOTAL OF $150,000.00 INTO THE MORTGAGE CORPORATION OF AMERICAN WAS NOT A SUITABLE INVESTMENT IN THE REGARD TO THE CUSTOMER'S STATED INVESTMENT OBJECTIVES AND RISK TOLERANCE.
Product Type:	Other
Other Product Type(s):	NON-EXEMPT, UNREGISTERED SECURITIES
Alleged Damages:	$150,000.00

Customer Complaint Information

Date Complaint Received:	11/03/1999
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	04/27/2001
Settlement Amount:	$75,000.00
Individual Contribution Amount:	$75,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD CASE #99-03476

Date Notice/Process Served:	04/27/2001
Arbitration Pending?	No
Disposition:	Award to Customer
Disposition Date:	04/27/2001
Monetary Compensation Amount:	$75,000.00
Individual Contribution Amount:	$75,000.00
Broker Statement	STIPULATED AWARD FOR CLIENT [CUSTOMER] IN THE AMOUNT OF $75,000.00.

Disclosure 5 of 6

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION
Allegations:	BREACH OF CONTRACT, COMMON LAW FRAUD AND BREACH OF FIDUCIARY DUTY.
Product Type:	Other
Other Product Type(s):	UNKNOWN TYPE OF SECURITY
Alleged Damages:	$426,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD - CASE #99-03476
Date Notice/Process Served:	07/28/1999
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	01/12/2001
Disposition Detail:	THE CASE WAS DISMISSED BY CLAIMANTS AS TO RESPONDENT PURSUANT TO SETTLEMENT AGREEMENT OF THE PARTIES.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION
Allegations:	BREACH OF CONTRACT, COMMON LAW FRAUD, CONSPIRACY PROMISSORY ESTOPPEL, CONVERSION, NEGLIGENCE, MALPRACTICE, BREACH OF FIDUCIARY DUTY, BREACH OF MICHIGAN SECURITIES LAW, VIOLATION OF MICHIGAN CONSUMER'S PROTECTION LAW.
Product Type:	Direct Investment(s) - DPP & LP Interest(s)
Alleged Damages:	$25,000.00

Customer Complaint Information

Date Complaint Received:	07/20/1999
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	07/20/1999
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD, ARBITRATION NUMBER 99-03-476
Date Notice/Process Served:	07/20/1999
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	01/18/2001
Monetary Compensation Amount:	$12,000.00
Individual Contribution Amount:	$6,250.00
Broker Statement	SETTLEMENT PAYMENT IN COMPROMISE OF DISTPUTE CLAIM. IN COOMPROMISE OF DISPUTE CLAIMS AND WHILE DENYING ANY WRONGDOING OR LIABILITY, PAY $12,500.00 JOINTLY AND DISMISSAL OF ALL CLAIMS AGAINST THE RESPONDENTS WITH PREJUDICE.

Disclosure 6 of 6

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	VESTAX SECURITIES CORPORATION
Allegations:	BREACH OF FIDUCIARY DUTY, MISREPRESENTATION, OMMISSIONS & DECEPTIVE DEVICES, LACK OF DUE DILLIGENCE, SALE OF UNREGISTERED SECURITIES, UNREGISTERED INVESTMENT ADVISOR.
Product Type:	Direct Investment(s) - DPP & LP Interest(s)
Alleged Damages:	$75,000.00

Customer Complaint Information

Date Complaint Received:	09/16/1999
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	09/16/1999
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD ARBITRATION NUMBER 99-03366
Date Notice/Process Served:	09/16/1999
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	07/09/2001
Monetary Compensation Amount:	$31,000.00
Individual Contribution Amount:	$31,000.00
Broker Statement	SETTLEMENT AND RELEASE SIGNED BY CLIENT 07/06/2001 FOR THE AMOUNT OF $31,000.00 JOINTLY AND SEVERALLY BY REPSONDENTS. DISMISSAL OF CLAIMS AGAINST RESPONDENTS WITH PREJUDICE. CLAIMANT DIRECTED HER ATTORNEY TO STIPULATE TO ENTRY OF AN ORDER AGREED TO BY COUNSEL OF RECORD DISMISSING THE ARBITRATION WITH PREJUDICE AND WITHDRAWING AND EXPUNGING HER CLAIMS AGAINST RESPONDENTS.

End of Report

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