



## IAPD Report

# GARY WAYNE LATUSZEWSKI

CRD# 1138404

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### GARY WAYNE LATUSZEWSKI (CRD# 1138404)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/20/2024**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	BCG SECURITIES, INC.	CRD# 70	06/15/2015
<b>IA</b>	BCG SECURITIES, INC.	CRD# 70	06/15/2015

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	KEY WEALTH MANAGEMENT, INC.	313173	WEXFORD, PA	09/23/2021 - 11/04/2021
<b>IA</b>	CAPITAL ANALYSTS	162200	WEXFORD, PA	12/19/2013 - 02/19/2016
<b>B</b>	LINCOLN INVESTMENT	519	WEXFORD, PA	08/02/2010 - 06/18/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	7



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **BCG SECURITIES, INC.**

Main Address: 51 HADDONFIELD ROAD  
SUITE 210  
CHERRY HILL, NJ 08002

Firm ID#: 70

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	06/15/2015
B FINRA	General Securities Representative	Approved	06/15/2015
B FINRA	Invest. Co and Variable Contracts	Approved	06/15/2015
B Arizona	Agent	Approved	06/21/2019
IA Arizona	Investment Adviser Representative	Approved	05/30/2024
IA California	Investment Adviser Representative	Approved	10/08/2019
B California	Agent	Approved	10/09/2019
B Florida	Agent	Approved	07/31/2015
IA Florida	Investment Adviser Representative	Approved	07/31/2015
B Maryland	Agent	Approved	08/13/2015
IA Maryland	Investment Adviser Representative	Approved	05/30/2018
B North Carolina	Agent	Approved	06/15/2015
IA North Carolina	Investment Adviser Representative	Approved	06/17/2015



## Qualifications

Regulator	Registration	Status	Date
B Ohio	Agent	Approved	07/06/2015
B Pennsylvania	Agent	Approved	06/15/2015
IA Pennsylvania	Investment Adviser Representative	Approved	06/15/2015
B South Carolina	Agent	Approved	12/05/2019
IA South Carolina	Investment Adviser Representative	Approved	12/05/2019
B Washington	Agent	Approved	06/15/2015
IA Washington	Investment Adviser Representative	Approved	07/08/2015

## Branch Office Locations

**BCG SECURITIES, INC.**

8500 Brooktree Rd.  
Suite 210  
Wexford, PA 15090



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	08/09/2004

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	04/02/2001
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/21/1983

#### State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	10/30/2001
 Uniform Securities Agent State Law Examination (S63)	Series 63	01/07/1985

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/23/2021 - 11/04/2021	KEY WEALTH MANAGEMENT, INC.	CRD# 313173	WEXFORD, PA
IA	12/19/2013 - 02/19/2016	CAPITAL ANALYSTS	CRD# 162200	WEXFORD, PA
B	08/02/2010 - 06/18/2015	LINCOLN INVESTMENT	CRD# 519	WEXFORD, PA
IA	08/02/2010 - 06/18/2015	LINCOLN INVESTMENT PLANNING, INC	CRD# 519	WEXFORD, PA
B	12/23/2009 - 08/02/2010	GREAT AMERICAN ADVISORS, INC.	CRD# 36451	WEXFORD, PA
IA	12/23/2009 - 08/02/2010	GREAT AMERICAN ADVISORS, INC.	CRD# 36451	WEXFORD, PA
IA	03/16/2005 - 01/08/2010	ING FINANCIAL ADVISERS, LLC	CRD# 34815	WEXFORD, PA
B	07/29/2004 - 01/08/2010	ING FINANCIAL ADVISERS, LLC	CRD# 34815	WEXFORD, PA
IA	07/29/2004 - 08/12/2004	ING FINANCIAL ADVISERS, LLC	CRD# 34815	WEXFORD, PA
B	03/05/2003 - 08/04/2004	GUNNALLEN FINANCIAL, INC	CRD# 17609	TAMPA, FL
IA	03/05/2003 - 08/04/2004	GUNNALLEN FINANCIAL, INC	CRD# 17609	PITTSBURG, PA
B	02/11/2003 - 03/17/2003	ATLAS BROKERAGE COMPANY, L.P.	CRD# 46765	WASHINGTON, PA
IA	10/04/2002 - 02/28/2003	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	BLUE BELL, PA
B	01/01/1999 - 02/28/2003	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	HOUSTON, TX
B	05/18/1987 - 12/31/2001	THE VARIABLE ANNUITY MARKETING COMPANY	CRD# 5081	HOUSTON, TX
B	12/10/1986 - 05/27/1987	HEFREN-TILLOTSON, INC.	CRD# 53	



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	04/22/1986 - 07/17/1986	WALNUT STREET SECURITIES, INC.	CRD# 15840	
	11/14/1983 - 01/22/1986	PRUCO SECURITIES CORPORATION	CRD# 5685	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2021 - Present	Key Wealth Management, Inc.	Partner, CCO, and IAR	Y	Wexford, PA, United States
06/2015 - Present	BCG SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	CHERRY HILL, NJ, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### 1. LANDMARK FINANCIAL PARTNERS

POSITION: owner NATURE: Securities through BCG INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 05/01/2009

ADDRESS: 8500 Brooktree Rd, Suite 210, Wexford PA 15090, United States

DESCRIPTION: This is strictly a DBA with only BCG Securities business going through it.

#### 2. LANDMARK TAX AND ACCOUNTING SERVICES

POSITION: owner NATURE: Tax and accounting INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES

TRADING HOURS: 10 START DATE: 07/01/2022

ADDRESS: 8500 Brooktree Road, Suite 220, Wexford PA 15090, United States

DESCRIPTION: Landmark TAS (DBA TAS Tax and Accounting Services) Offers tax planning and preparation, book keeping, and payroll services for businesses and individuals.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	7

### Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

#### Disclosure 1 of 7

<b>Reporting Source:</b>	Individual
<b>Judgment/Lien Holder:</b>	GARY W. & MARIANNE C LATUZEWSKI SR.
<b>Judgment/Lien Amount:</b>	\$46,361.26
<b>Judgment/Lien Type:</b>	Tax
<b>Date Filed with Court:</b>	07/07/2015
<b>Date Individual Learned:</b>	08/11/2015
<b>Type of Court:</b>	Federal Court
<b>Name of Court:</b>	ALLEGHENY PROTHONOTARY
<b>Location of Court:</b>	PITTSBURGH, PA
<b>Docket/Case #:</b>	165349115 SERIAL NUMBER
<b>Judgment/Lien Outstanding?</b>	Yes
<b>Broker Statement</b>	REP IS IN AN AGREED UPON PAYMENT PLAN WITH THE IRS AND ALL TAX PAYMENTS ARE CURRENT.

#### Disclosure 2 of 7

<b>Reporting Source:</b>	Individual
<b>Judgment/Lien Holder:</b>	FEDERAL TAX LIEN
<b>Judgment/Lien Amount:</b>	\$43,401.21
<b>Judgment/Lien Type:</b>	Tax
<b>Date Filed with Court:</b>	08/08/2014
<b>Date Individual Learned:</b>	03/03/2015
<b>Type of Court:</b>	Federal Court
<b>Name of Court:</b>	ALLEGHENY COUNTY PROTHONOTORY



**Location of Court:** ALLEGHENY, PA

**Docket/Case #:** FTL4000912

**Judgment/Lien Outstanding?** Yes

#### Disclosure 3 of 7

**Reporting Source:** Individual

**Judgment/Lien Holder:** IRS DEPARTMENT OF THE TREASURY-INTERNAL REVENUE SERVICE

**Judgment/Lien Amount:** \$23,818.02

**Judgment/Lien Type:** Tax

**Date Filed with Court:** 02/25/2015

**Date Individual Learned:** 03/17/2015

**Type of Court:** Federal Court

**Name of Court:** ALLEGHENY PROTHONOTARY

**Location of Court:** ALLEGHENY COUNTY-PITTSBURGH, PA

**Docket/Case #:** 143905615-SERIAL #

**Judgment/Lien Outstanding?** Yes

#### Disclosure 4 of 7

**Reporting Source:** Individual

**Judgment/Lien Holder:** STATE OF PENNSYLVANIA

**Judgment/Lien Amount:** \$3,405.00

**Judgment/Lien Type:** Tax

**Date Filed with Court:** 11/06/2013

**Date Individual Learned:** 03/04/2014

**Type of Court:** State Court

**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY

**Location of Court:** ALLEGHENY, PA

**Docket/Case #:** GD13021039

**Judgment/Lien Outstanding?** Yes

#### Disclosure 5 of 7

**Reporting Source:** Individual

**Judgment/Lien Holder:** FEDERAL

**Judgment/Lien Amount:** \$5,101.00

**Judgment/Lien Type:** Tax

**Date Filed with Court:** 09/21/2012

**Type of Court:** Federal Court



**Name of Court:** FEDERAL  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** FTL12001193  
**Judgment/Lien Outstanding?** Yes  
**Broker Statement**  
THE LIEN WAS FILED ON 9/21/2012. RR DID NOT LEARN OF THE LIEN BEING FILED UNTIL 1/30/2013 WHEN REVIEWING HIS CREDIT REPORT WITH HIS SUPERVISOR.

#### Disclosure 6 of 7

**Reporting Source:** Individual  
**Judgment/Lien Holder:** SHALER AREA SCHOOL DISTRICT  
**Judgment/Lien Amount:** \$3,508.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 01/25/2005  
**Type of Court:** SHALER TOWNSHIP  
**Name of Court:** DISTRICT COURT  
**Location of Court:** SHALER TOWNSHIP, PA  
**Docket/Case #:** 500002217  
**Judgment/Lien Outstanding?** Yes  
**Broker Statement**  
I HAVE NOT LIVED IN SHALER TOWNSHIP SINCE 1993 AND THEY HAVE CLAIMED I OWE THEM THERE LOCAL TAX FOR FISCAL YEAR 2005. I AM DISPUTING THIS JUDGEMENT.

#### Disclosure 7 of 7

**Reporting Source:** Individual  
**Judgment/Lien Holder:** INTERNAL REVENUE SERVICE  
**Judgment/Lien Amount:** \$61,836.64  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/16/2009  
**Type of Court:** Federal Court  
**Name of Court:** FEDERAL  
**Location of Court:** ALLEGHENY COUNTY  
**Docket/Case #:** FTL09000977  
**Judgment/Lien Outstanding?** Yes  
**Broker Statement**  
I HAVE AN INSTALLMENT AGREEMENT TO PAY \$1,000 A MONTH UNTIL PAID IN FULL.



## End of Report

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