



IAPD Report

MICHAEL JAY DWORETSKY

CRD# 1158014

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MICHAEL JAY DWORETSKY (CRD# 1158014)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/11/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	TRUST ADVISORY GROUP LTD	CRD# 106926	02/17/2017
B	STONEX SECURITIES INC.	CRD# 18456	10/15/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **17** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	AGES FINANCIAL SERVICES, LTD.	15427	West Hartford, CT	02/06/2017 - 09/23/2024
IA	SOURCE CAPITAL GROUP, INC.	36719	WEST HARTFORD, CT	10/18/2006 - 02/10/2017
B	SOURCE CAPITAL GROUP, INC.	36719	WEST HARTFORD, CT	05/20/2004 - 02/10/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **17** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STONEX SECURITIES INC.**
Main Address: 2 PERIMETER PARK SOUTH
SUITE 500 WEST
BIRMINGHAM, AL 35243
Firm ID#: 18456

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	10/15/2024
B	FINRA	General Securities Representative	Approved	10/15/2024
B	California	Agent	Approved	10/16/2024
B	Connecticut	Agent	Approved	10/15/2024
B	Florida	Agent	Approved	01/02/2025
B	Georgia	Agent	Approved	10/31/2024
B	Illinois	Agent	Approved	01/09/2025
B	Massachusetts	Agent	Approved	12/19/2024
B	New Jersey	Agent	Approved	10/15/2024
B	New York	Agent	Approved	10/15/2024
B	North Carolina	Agent	Approved	01/02/2025
B	Oregon	Agent	Approved	01/02/2025
B	Pennsylvania	Agent	Approved	01/27/2025



Qualifications

	Regulator	Registration	Status	Date
B	Rhode Island	Agent	Approved	10/15/2024
B	South Carolina	Agent	Approved	01/02/2025
B	South Dakota	Agent	Approved	01/02/2025
B	Texas	Agent	Approved	01/03/2025
B	Vermont	Agent	Approved	10/15/2024
B	Virginia	Agent	Approved	01/02/2025

Branch Office Locations

PALM BEACH GARDENS, FL

Employment 2 of 2

Firm Name: **TRUST ADVISORY GROUP LTD**
Main Address: 2 PERIMETER PARK SOUTH
SUITE 500 WEST
BIRMINGHAM, AL 35243
Firm ID#: 106926

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	02/20/2017

Branch Office Locations

TRUST ADVISORY GROUP LTD
Palm Beach Gardens, FL




Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	11/06/2012

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	National Commodity Futures Examination (S3)	Series 3	04/28/1987
	General Securities Representative Examination (S7)	Series 7	07/16/1983

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	02/13/1992
	Uniform Securities Agent State Law Examination (S63)	Series 63	07/21/1983

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/06/2017 - 09/23/2024	AGES FINANCIAL SERVICES, LTD.	CRD# 15427	West Hartford, CT
IA	10/18/2006 - 02/10/2017	SOURCE CAPITAL GROUP, INC.	CRD# 36719	WEST HARTFORD, CT
B	05/20/2004 - 02/10/2017	SOURCE CAPITAL GROUP, INC.	CRD# 36719	WEST HARTFORD, CT
IA	06/08/2004 - 10/17/2006	SOURCE CAPITAL MANAGEMENT	CRD# 111967	WESTPORT, CT
B	01/28/1995 - 05/26/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	WEEHAWKEN, NJ
IA	01/22/1992 - 05/26/2004	UBS FINANCIAL SERVICES INC.	CRD# 8174	HARTFORD, CT
B	07/20/1990 - 01/28/1995	KIDDER, PEABODY & CO. INCORPORATED	CRD# 7613	NEW YORK, NY
B	07/21/1983 - 07/16/1990	PRUDENTIAL-BACHE SECURITIES INC.	CRD# 7471	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	STONEX SECURITIES INC	REGISTERED REPRESENTATIVE	Y	PALM BEACH GARDENS, FL, United States
02/2017 - Present	Trust Advisory Group, Ltd	Investment Adviser Representative	Y	PALM BEACH GARDENS, FL, United States
02/2017 - 09/2024	AGES Financial Services, Ltd.	Registered Representative	Y	Reading, MA, United States
05/2004 - 02/2017	SOURCE CAPITAL GROUP	REGISTERED REPRESENTATIVE	Y	WEST HARTFORD, CT, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) MDS Financial - 119 San Marco Dr, Palm Beach Gardens, FL 33418

Nature of Business: Accounting and taxes for 1099 Rep.

Investment Related: No

Business Position: Owner

Business Duties: Track my expenses.

Business Start Date: 02/02/2004

Zero hours spent on this OBA monthly



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 7

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	UBS FINANCIAL SERVICES INC.
Allegations:	CLAIMANT ALLEGES UNSUITABLE INVESTMENTS AND MISREPRESENTATIONS IN PMP ACCOUNTS MANAGED BY FORMER FINANCIAL ADVISOR MICHAEL DWORETSKY.
Product Type:	Equity - OTC
Alleged Damages:	\$1,500,000.00

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	
Status:	Arbitration/Reparation
Status Date:	
Settlement Amount:	

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD; CASE# 04-05771
Date Notice/Process Served:	09/10/2004



Arbitration Pending? No
Disposition: Settled
Disposition Date: 09/22/2005
Monetary Compensation Amount: \$285,000.00
Individual Contribution Amount: \$0.00

.....

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: UBS
Allegations: LOSS OF CAPITAL IN 2000-2001
Product Type: Equity Listed (Common & Preferred Stock)
Alleged Damages: \$1,000,000.00

Customer Complaint Information

Date Complaint Received: 08/08/2004
Complaint Pending? No
Status: Arbitration/Reparation
Status Date: 08/08/2004
Settlement Amount:
Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD 04-05771
Date Notice/Process Served: 09/10/2004
Arbitration Pending? No
Disposition: Settled
Disposition Date: 09/22/2005
Monetary Compensation Amount: \$285,000.00
Individual Contribution Amount: \$0.00

Disclosure 2 of 7

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: UBS FINANCIAL SERVICES INC.
Allegations: CLIENT ALLEGES THAT FINANCIAL ADVISOR MADE "INCORRECT ALTERATIONS" TO INVESTMENT OBJECTIVES AND RISK PROFILES



"WITHOUT (CLIENTS) KNOWLEDGE AND APPROVAL. ESTIMATED TO BE OVER \$5000.

Product Type: Equity - OTC

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 06/04/2004

Complaint Pending? No

Status: Denied

Status Date: 01/29/2004

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: UBS

Allegations: DISATISFIED WITH EQUITY PERFORMANCES

Product Type: Other

Other Product Type(s): BONDS/ STOCKS

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 06/04/2004

Complaint Pending? No

Status: Denied

Status Date: 01/29/2004

Settlement Amount:

Individual Contribution Amount:

Broker Statement ANSWER TO QUESTION #6 I HAVE MARKED WITH 0.00 SINCE I HAVE NOT RECEIVED ANY INFORMATION ON THIS COMPLAINT AND THE DOLLAR AMOUNT IS UNKNOWN TO ME.

Disclosure 3 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: UBS PAINWEBBER INC

Allegations: CUSTOMER CLAIMS THAT BROKER ACCUMULATED MARGIN INTEREST, WHICH WAS CONTRARY TO THE CUSTOMER'S INVESTMENT OBJECTIVES. DAMAGES ESTIMATED TO EXCEED \$5000.

Product Type: No Product

**Alleged Damages:****Customer Complaint Information****Date Complaint Received:** 08/30/2002**Complaint Pending?** No**Status:** Denied**Status Date:** 01/02/2003**Settlement Amount:****Individual Contribution Amount:****Firm Statement** PLEASE ARCHIVE. COMPLAINT OVER 24 MONTHS.
.....**Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:** UBS PAINWEBBER INC.**Allegations:** CUSTOMER CLAIMS THAT BROKER ACCUMULATED MARGIN INTEREST, WHICH WAS CONTRARY TO THE CUSTOMER'S INVESTMENT OBJECTIVES. DAMAGES ESTIMATED TO EXCEED \$5000.**Product Type:** No Product**Alleged Damages:****Customer Complaint Information****Date Complaint Received:** 08/30/2002**Complaint Pending?** No**Status:** Denied**Status Date:** 01/02/2003**Settlement Amount:****Individual Contribution Amount:****Disclosure 4 of 7****Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:** UBS FINANCIAL SERVICES INC.**Allegations:** CLAIMANTS ALLEGE: MISREPRESENTATION REGARDING THE LEVEL OF RISK OF THEIR INVESTMENTS; UNSUITABILITY; BREACH OF FIDUCIARY DUTY; AND FRAUD.**Product Type:** Other**Other Product Type(s):** MANAGED ACCOUNT; EQUITIES**Alleged Damages:** \$3,000,000.00**Customer Complaint Information**



Date Complaint Received: 01/14/2002
Complaint Pending? No
Status: Arbitration/Reparation
Status Date: 01/14/2002

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE # 02-00139

Date Notice/Process Served: 01/14/2002

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/01/2004

Monetary Compensation Amount: \$1,600,000.00

Individual Contribution Amount: \$0.00

Disclosure 5 of 7

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INCORPORATED

Allegations: THE ABOVE CLIENT(S) SUBMITTED CLAIM FORM(S) TO THE CLAIMS RESOLUTION PROCESS RELATING TO LIMITED PARTNERSHIP PURCHASE(S) DURING THE PERIOD 10/84-12/88. THE ABOVE MENTIONED REGISTERD REPRESENTATIVE WAST THE BROKER OF RECORD AT THE PURCHASE(9). NO DAMAGES WERE ALLEGED BUT THE AMOUNT(S) OF ACTUAL LOSS (OUT-OF-POCKET) IS/ARE APPROXIMATELY \$6,778

Product Type:

Alleged Damages: \$6,778.00

Customer Complaint Information

Date Complaint Received: 10/21/1993

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$15,421.00

Individual Contribution Amount:

Firm Statement SETTLEMENT(S) WITH THE ABOVE CLIENT(S) HAS/HAVE



BEEN REACHED IN THE CLAIMS RESOLUTION PROCESS. THE DOLLAR AMOUNT(S) OF THE SETTLEMENT(S) IS/ARE APPROXIMATELY \$15,421 THIS MATTER RESULTED FROM THE UNPRECEDENTED, UNSOLICITED MAILING OF CLAIMS FORMS BY PSI TO OVER 340,000 INVESTORS WHO PURCHASED LIMITED PARTNERSHIPS THROUGH PSI FROM JANUARY 1, 1980 TO JANUARY 1, 1991. THE ABOVE REFERENCED CLIENT(S) SUBMITTED CLAIM FORM(S) IN RESPONSE TO THIS MAILING. THE CLAIM FORM(S) WAS/WERE EVALUATED BY PSI IN ACCORDANCE WITH THE STANDARD ESTABLISHED UNDER THE SETTLEMENT BETWEEN PSI, THE SEC, NASD & THE STATE SECURITIES ADMINISTRATOR. THE REPORTED SETTLEMENT(S) AROSE OUT OF THIS UNIQUE PROCESS .

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INCORPORATED

Allegations: THE ABOVE CLIENTS SUBMITTED CLAIM FORMS TO THE CLAIMS RESOLUTION PROCESS RELATING TO LIMITED PARTNERSHIP PURCHASES DURING THE PERIOD 10/84-12/88. THE ABOVE MENTIONED REGISTERED REPRESENTATIVE WAS THE BROKER OF RECORD AT THE TIME OF THE PURCHASE. NO DAMAGES WERE ALLEGED BUT THE AMOUNT OF ACTUAL LOSS (OUT-OF-POCKET) WAS APPROXIMATELY \$6778.

Product Type: Direct Investment(s) - DPP & LP Interest(s)

Alleged Damages: \$6,778.00

Customer Complaint Information

Date Complaint Received: 10/21/1993

Complaint Pending? No

Status: Settled

Status Date: 10/21/1993

Settlement Amount: \$15,421.00

Individual Contribution Amount: \$0.00

Broker Statement SETTLEMENT WITH THE ABOVE CLIENTS HAVE BEEN REACHED IN THE CLAIMS RESOLUTION PROCESS. THE DOLLAR AMOUNTS OF THE SETTLEMENT IS APPROXIMATELY \$15,421. THIS MATTER RESULTED FROM THE UNPRECEDENTED, UNSOLICITED MAILING OF CLAIMS FORMS BY PSI TO OVER 340,000 INVESTORS WHO PURCHASED LIMITED PARTNERSHIPS THROUGH PSI FROM JANUARY 1, 1980 TO JANUARY 1, 1991. THE ABOVE CLAIM FORM WAS EVALUATED BY PSI IN ACCORDANCE WITH THE STANDARD ESTABLISHED UNDER THE SETTLEMENT BETWEEN PSI, THE SEC, NASD AND THE STATE SECURITIES ADMINISTRATOR. THE REPORTED SETTLEMENT AROSE OUT OF THIS UNIQUE PROCESS.

Disclosure 6 of 7

Reporting Source: Firm



Employing firm when activities occurred which led to the complaint: KIDDER, PEABODY & CO. INCORPORATED

Allegations: DECLINE IN ACCOUNT VALUE; NO SPECIFIC DOLLAR AMOUNT

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 06/27/1994

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$38,252.34

Individual Contribution Amount: \$0.00

Firm Statement \$38,252.34 WAS PAID TO CLAIMANT BY KIDDER, PEABODY
COPY OF INDIVIDUAL RELEASE

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: KIDDER, PEABODY & CO. INCORPORATED

Allegations: DECLINE IN ACCOUNT VALUE; NO SPECIFIC DOLLAR AMOUNT

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 06/27/1994

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$38,252.34

Individual Contribution Amount: \$0.00

Broker Statement \$38,252.34 WAS PAID TO CLAIMANT BY KIDDER PEABODY
Not Provided

Disclosure 7 of 7

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint:

PRUDENTIAL-BACHE SECURITIES

Allegations:

CLIENT ALLEGED AMONG OTHER THINGS, MISMANAGEMENT, MISREPRESENTATION, UNSUITABILITY CAUSING DAMAGES OF \$140,000.

Product Type:

Alleged Damages:

\$140,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending?

No

Status:

Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:

New York Stock Exchange

Date Notice/Process Served:

06/22/1989

Arbitration Pending?

No

Disposition:

Award to Customer

Disposition Date:

01/17/1990

Monetary Compensation Amount:

\$7,500.00

Individual Contribution Amount:

\$0.00

Broker Statement

AN AWARD WAS ENTERED AGAINST PBS IN THE SUM OF \$7,500.



End of Report

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