User Guidance

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IAPD Report

DONALD WARREN PATRICK

CRD# 1163392

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

DONALD WARREN PATRICK (CRD# 1163392)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/02/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	08/09/2011
IA	IFG ADVISORY, LLC	CRD# 168012	07/29/2013

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	ATLANTA, GA	02/09/2017 - 08/26/2022
IA	LPL FINANCIAL LLC	6413	ATLANTA, GA	08/09/2011 - 09/08/2016
IA	IFG ADVISORY, LLC	168012	ATLANTA, GA	07/29/2013 - 08/28/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	08/09/2011
B	FINRA	General Securities Representative	Approved	08/09/2011
B	FINRA	Invest. Co and Variable Contracts	Approved	08/09/2011
B	FINRA	Municipal Fund	Approved	08/09/2011
B	California	Agent	Approved	01/02/2026
B	Florida	Agent	Approved	08/09/2011
B	Georgia	Agent	Approved	09/19/2011
B	Massachusetts	Agent	Approved	08/09/2011
B	New Jersey	Agent	Approved	08/09/2011
B	New York	Agent	Approved	08/09/2011
B	North Carolina	Agent	Approved	08/15/2011
B	Ohio	Agent	Approved	08/09/2011
B	Oklahoma	Agent	Approved	09/20/2011

Qualifications

	Regulator	Registration	Status	Date
B	South Carolina	Agent	Approved	08/09/2011
B	Texas	Agent	Approved	01/07/2021
B	Wisconsin	Agent	Approved	08/09/2011

Branch Office Locations

LPL FINANCIAL LLC
TYBEE ISLAND, GA

LPL FINANCIAL LLC
200 ASHFORD CTR N STE 400
ATLANTA, GA 30338

Employment 2 of 2

Firm Name: **IFG ADVISORY, LLC**

Main Address: 200 ASHFORD CENTER NORTH
SUITE 400
ATLANTA, GA 30338

Firm ID#: 168012

	Regulator	Registration	Status	Date
IA	Georgia	Investment Adviser Representative	Approved	07/29/2013

Branch Office Locations

IFG ADVISORY, LLC
Tybee Island, GA

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Municipal Fund Securities Principal Examination (S51)	Series 51	04/28/2003
B	General Securities Principal Examination (S24)	Series 24	02/25/1998

General Industry/Product Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	08/25/2010
B	General Securities Representative Examination (S7)	Series 7	07/16/1983

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	11/09/1990
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/31/1983

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/09/2017 - 08/26/2022	LPL FINANCIAL LLC	CRD# 6413	ATLANTA, GA
IA	08/09/2011 - 09/08/2016	LPL FINANCIAL LLC	CRD# 6413	ATLANTA, GA
IA	07/29/2013 - 08/28/2014	IFG ADVISORY, LLC	CRD# 168012	ATLANTA, GA
IA	12/19/2003 - 08/11/2011	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	ATLANTA, GA
B	12/19/2003 - 08/11/2011	SECURITIES AMERICA, INC.	CRD# 10205	ATLANTA, GA
IA	04/08/2003 - 12/19/2003	VERAVEST INVESTMENT ADVISORS, INC.	CRD# 105796	ATLANTA, GA
B	10/29/1991 - 12/19/2003	VERAVEST INVESTMENTS, INC.	CRD# 3960	WORCESTER, MA
B	02/24/1987 - 09/18/1991	FINANCIAL ASSETS CORPORATION	CRD# 13777	NEW YORK, NY
B	10/30/1984 - 02/27/1987	PRIVATE LEDGER FINANCIAL SERVICES, INCORPORATED	CRD# 6413	FORT MILL, SC
B	08/10/1984 - 10/26/1984	INTEGRATED RESOURCES EQUITY CORPORATION	CRD# 6403	
B	07/20/1983 - 08/13/1984	HERITAGE SECURITIES CORPORATION	CRD# 10517	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2013 - Present	IFG ADVISORY, LLC	Founder and Chief Strategy Officer	Y	ATLANTA, GA, United States
07/2013 - Present	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	ATLANTA, GA, United States
06/2003 - Present	INTEGRATED FINANCIAL GROUP	Founder and Chief Strategy Officer	Y	ATLANTA, GA, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 05/19/2011: NO BUSINESS NAME - Author - NOT INVESTMENT RELATED - AT REPORTED BUSINESS LOCATION - BOOK SALES: MY BOOK "KEEP YOUR NEST EGG FROM CRACKING" IS ON AMAZON. - 1% OF TIME SPENT.

2. 07/20/2011 - Integrated Support Services, LLC - Business Entity For Tax/Investment Purposes Only - INV REL - AT REPORTED BUSINESS LOCATION(S) - Provides office management, bill paying, administers group benefits, payroll, human resources. Equally by all advisors of IFG that have staff. ISS pays monthly expenses and bills advisors bi-monthly. - 20% TIME SPENT

3. 07/20/2011 - NO BUSINESS NAME - Non-Variable Insurance - INV REL - AT REPORTED BUSINESS LOCATION(S) - Fixed insurance sales. - 10% TIME SPENT

4. 08/15/2013 - DP Integrated Planning, Inc. - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business).

5. 09/05/2013 - IFG Financial Advisory, LLC. - Registered Investment Advisor - (HYBRID) IFG Financial Advisory, LLC. - INV REL - AT REPORTED BUSINESS LOCATION(S) - Adivsory, financial plans, hourly fees, etc. - 25% TIME SPENT

6. 12/23/2014 - Integrated Financial Group, LLC - DBA for LPL Business (entity for LPL business) - Integrated Financial Group, LLC - INV REL - AT REPORTED BUSINESS LOCATION(S) - 80 HR/MO, 50 DURING SECS TRDG HRS

7. 02/20/2015 - IFG Advisory, LLC. - Registered Investment Advisor Hybrid - (Hybrid) IFG Advisory, LLC. - INV REL - START 07/01/2013 - 200 Ashford Center North, Suite 400, Atlanta, GA 30338 - IAR - 80 HR/MO, 40 DURING SECS TRDG HRS

8. 04/07/2015 - IFG-DFA, LLC - Business Entity For Tax/Investment Purposes Only - INV REL - START 09/22/2011 - 200 Ashford Center North, Suite 400, Dunwoody, GA 30338 - Entity established as tax conduit only. Not held out to public. - 10 HR/MO, 1 DURING SECS TRDG HRS - tax conduit only

9. 08/27/2015 - Flight Unlimited, LLC. - Other - LLC - NOT INV REL - START 01/01/1999 - Peachtree-Dekalb Airport - 50% owner. The LLC owns my and my partners airplane. All expenses run through the LLC. - 5 HR/MO, 1 DURING SECS TRDG HRS - Flight Unlimited, LLC.

10. 7/12/2019 - Integrated Financial Group, LLC - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started 06/03/2003 - 80 Hours Per Month/50 Hours During Securities Trading.

11. 9/10/2021 - IFG-Investment Solutions, LLC - Not Investment Related - At Reported Business Location(s) - Business Entity For Tax/Investment Purposes Only - Started 09/22/2011 - 10 Hours Per Month/1 Hour During Securities Trading - Entity established as tax conduit only.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	SECURITIES AMERICA, INC.
Allegations:	IN CONNECTION WITH THE RECOMMENDATION AND SALE OF MEDICAL CAPITAL, CLAIMANTS ALLEGE UNSUITABILITY AND NEGLIGENT MISREPRESENTATIONS.
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	$740,000.00
Alleged Damages Amount Explanation (if amount not exact):	THIS IS A MULTI-CLIENT CLAIM INVOLVING MORE THAN ONE REPRESENTATIVEES. ALLEGED DAMAGES FOR THIS REPRESENTATIVE ARE $100,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	10-02330
Filing date of arbitration/CFTC reparation or civil litigation:	05/15/2010

Customer Complaint Information

Date Complaint Received:	06/01/2010
Complaint Pending?	No
Status:	Settled
Status Date:	09/29/2011
Settlement Amount:	$343,503.60
Individual Contribution Amount:	$0.00
Firm Statement	DONALD PATRICK IS NOT A NAMED RESPONDENT IN THIS MATTER. 10/17/2011: THIS CLAIM WAS PART OF A GLOBAL SETTLEMENT BY SECURITIES AMERICA, INC.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SECURITIES AMERICA, INC.
Allegations:	IN CONNECTION WITH THE RECOMMENDATION AND SALE OF MEDICAL CAPITAL, CLAIMANTS ALLEGE UNSUITABILITY AND NEGLIGENT MISREPRESENTATIONS.
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	$650,000.00
Alleged Damages Amount Explanation (if amount not exact):	THIS IS A MULTI-CLIENT CLAIM INVOLVING MULTIPLE REPRESENTATIVEES. ALLEGED DAMAGES FOR THIS REPRESENTATIVE ARE $100,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	10-02330
Filing date of arbitration/CFTC reparation or civil litigation:	05/15/2010

Customer Complaint Information

Date Complaint Received:	06/01/2010
Complaint Pending?	No
Status:	Settled
Status Date:	09/29/2011
Settlement Amount:	$343,503.60
Individual Contribution Amount:	$0.00

Broker Statement	10/17/11: THIS CLAIM WAS PART OF A GLOBAL SETTLEMENT BY SECURITIES AMERICA, INC. I, DONALD PATRICK WAS NOT NAMED IN THE ARBITRATION. I, DONALD PATRICK WAS NOT RESPONSIBLE OR INVOLVED IN THE DUE DILIGENCE ON MEDICAL CAPITAL. ALL MARKETING MATERIALS USED DURING THE PRESENTATION PROCESS WERE EITHER APPROVED BY SECURITIES AMERICA OR CREATED BY MEDICAL CAPITAL AND DISTRIBUTED BY SECURITIES AMERICA. I HAD NO KNOWLEDGE OF ANY FRAUD AT MEDICAL CAPITAL AND RELIED ON SECURITIES AMERICA FOR ALL INFORMATION REGARDING MEDDICAL CAPITAL.

End of Report

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