IAPD Report

DEBRA KENDALL BREDE

CRD# 1174312

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

User Guidance

www.adviserinfo.sec.gov

Report Summary

DEBRA KENDALL BREDE (CRD# 1174312)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FOCUS PARTNERS WEALTH, LLC	CRD# 159289	03/06/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	GW & WADE, LLC	105394	WELLESLEY, MA	01/04/2021 - 03/21/2024
B	GW & WADE ASSET MANAGEMENT COMPANY, LLC	25745	Needham, MA	01/02/2021 - 12/05/2023
IA	COMMONWEALTH FINANCIAL NETWORK	8032	NEEDHAM, MA	03/29/2001 - 12/31/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **FOCUS PARTNERS WEALTH, LLC**

Main Address: 190 CARONDELET PLAZA
SUITE 600
ST. LOUIS, MO 63105

Firm ID#: 159289

	Regulator	Registration	Status	Date
IA	Massachusetts	Investment Adviser Representative	Approved	03/06/2024

Branch Office Locations

FOCUS PARTNERS WEALTH, LLC
1253 Highland Avenue
Needham, MA 02492

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	Municipal Securities Principal Examination (S53)	Series 53	07/23/1984
B	Registered Options Principal Examination (S4)	Series 4	05/07/1984
B	General Securities Principal Examination (S24)	Series 24	01/31/1984

General Industry/Product Exams

	Exam	Category	Date
B	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/20/1983

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/01/1983

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/04/2021 - 03/21/2024	GW & WADE, LLC	CRD# 105394	WELLESLEY, MA
B	01/02/2021 - 12/05/2023	GW & WADE ASSET MANAGEMENT COMPANY, LLC	CRD# 25745	Needham, MA
IA	03/29/2001 - 12/31/2020	COMMONWEALTH FINANCIAL NETWORK	CRD# 8032	NEEDHAM, MA
B	07/10/1990 - 12/31/2020	COMMONWEALTH FINANCIAL NETWORK	CRD# 8032	NEEDHAM, MA
IA	06/30/1994 - 11/15/2004	D.K. BREDE INVESTMENT MANAGEMENT COMPANY INC.	CRD# 126505	NEEDHAM, MA
B	10/30/1989 - 11/21/1989	COMMONWEALTH EQUITY SERVICES, INC.	CRD# 8032	
B	01/28/1988 - 11/16/1989	PRUDENTIAL-BACHE SECURITIES INC.	CRD# 7471	NEW YORK, NY
B	08/24/1983 - 02/19/1988	SHEARSON LEHMAN HUTTON INC.	CRD# 7506	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2024 - Present	Focus Partners Wealth, LLC	Senior Wealth Advisor	Y	Boston, MA, United States
12/2020 - 03/2024	GW & Wade, LLC	Principal/Investment Adviser Representative	Y	Wellesley, MA, United States
12/2020 - 12/2023	GW & Wade Asset Management Company, LLC	Registered Representative	Y	WELLESLEY, MA, United States
10/1989 - 12/2020	COMMONWEALTH FINANCIAL NETWORK	Registered Representative	Y	NEEDHAM, MA, United States
10/1989 - 12/2020	D.K. BREDE INVESTMENT MANAGEMENT CO.,INC.	PRESIDENT	Y	NEEDHAM, MA, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. DBA: D.K. Brede Investment Management Company,
 1253 Highland Avenue, Needham, MA 02462.
 2. Newton Wellesley Hospital, investment-related, 2014 Washington Street, Newton, MA 02462, Member of Finance Committee, 02/27/13, 1-5 hour per month, 1-5 hours per during trading hours, member of a committee (provide oversight and advice of hospital's finances and budgets) at a community hospital that is part of Mass General Brigham.
3. Agape A.I.D.S. Orphan Care; Not investment related; Title: Trustee; 1-5 hours per month
4. Boston Rescue Mission; not investment related; position: member of executive board of directors; 1-5 hours per month

5. the name of the other business; rental income
whether the business is investment-related; No
the address of the other business; 90 Malbone Road, Newport, RI 02840
the nature of the other business; rental income of property
your position; Owner
title, or relationship with the other business; Owner
the start date of your relationship; 12/17/24
the approximate number of hours/month you devote to the other business; 0
the number of hours you devote to the other business during securities trading hours; 0
and briefly describe your duties relating to the other business; allowing HBO to come and shot TV show at our Newport property; We are renting out our Newport home for a TV
show. There is NO client information in the house.

6. the name of the other business; Blue Chip Real Estate Holdings LLC
whether the business is investment-related; No
the address of the other business; 240 Sunset Road, W. Palm Beach, Fl 33401
the nature of the other business; Set up as LLC because my attorney felt safer for me and my husband to use an LLC when renting the house
your position; Manager of LLC
title, or relationship with the other business; Manager of LLC
the start date of your relationship; 1/8/24
the approximate number of hours/month you devote to the other business; 1 hour max - done on weekends
the number of hours you devote to the other business during securities trading hours; 0
and briefly describe your duties relating to the other business; pay bills

End of Report

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