



## IAPD Report

# ROBERT ERIC MAGEE

CRD# 1193738

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**i** When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.  
Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### ROBERT ERIC MAGEE (CRD# 1193738)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/10/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	CETERA WEALTH SERVICES, LLC	CRD# 13572	09/03/2013
<b>IA</b>	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	06/29/2023

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	CETERA ADVISOR NETWORKS LLC	13572	LOWER BURRELL, PA	05/06/2016 - 06/29/2023
<b>B</b>	WALNUT STREET SECURITIES, INC.	15840	TARENTUM, PA	08/01/2003 - 09/03/2013
<b>B</b>	NATHAN & LEWIS SECURITIES, INC.	8503	NEW YORK, NY	02/05/1992 - 08/01/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4
Judgment/Lien	29



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 8 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**  
Main Address: 1450 AMERICAN LANE  
6TH FLOOR, SUITE 650  
SCHAUMBURG, IL 60173-2096  
Firm ID#: 105644

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	06/29/2023
IA Texas	Investment Adviser Representative	Restricted Approval	06/29/2023

#### Branch Office Locations

**CETERA INVESTMENT ADVISERS LLC**  
124 FREDERICK DR  
LOWER BURRELL, PA 15068

**CETERA INVESTMENT ADVISERS LLC**  
3058 LEECHBURG RD  
SUITE 9  
LOWER BURRELL, PA 15068

#### Employment 2 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**  
Main Address: 2301 ROSECRANS AVE #5100  
EL SEGUNDO, CA 90245  
Firm ID#: 13572

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	09/03/2013
B Arizona	Agent	Approved	09/03/2013
B California	Agent	Approved	07/09/2019
B Florida	Agent	Approved	09/03/2013



### Qualifications

	Regulator	Registration	Status	Date
<b>B</b>	Georgia	Agent	Approved	09/03/2013
<b>B</b>	Idaho	Agent	Approved	01/05/2022
<b>B</b>	Pennsylvania	Agent	Approved	09/03/2013
<b>B</b>	Texas	Agent	Approved	01/09/2017
<b>B</b>	Utah	Agent	Approved	01/29/2020

### Branch Office Locations

**CETERA ADVISOR NETWORKS LLC**  
3058 LEECHBURG RD  
SUITE 9  
LOWER BURRELL, PA 15068



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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<b>B</b> General Securities Representative Examination (S7)	Series 7	09/17/1983
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#### State Securities Law Exams

Exam	Category	Date
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<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	04/22/2016
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<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	10/28/1983
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/06/2016 - 06/29/2023	CETERA ADVISOR NETWORKS LLC	CRD# 13572	LOWER BURRELL, PA
B	08/01/2003 - 09/03/2013	WALNUT STREET SECURITIES, INC.	CRD# 15840	TARENTUM, PA
B	02/05/1992 - 08/01/2003	NATHAN & LEWIS SECURITIES, INC.	CRD# 8503	NEW YORK, NY
B	10/24/1983 - 02/04/1992	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY
B	09/23/1983 - 11/07/1983	WADDELL & REED, INC.	CRD# 866	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	MAGEE INVESTMENT ASSOCIATES INC	OWNER	N	BURRELL, PA, United States
06/2023 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
09/2013 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES;  
 INVESTMENT RELATED: YES;  
 ADDRESS: SAME AS REGISTERED LOCATION;  
 NATURE OF BUSINESS: FIXED INSURANCE;  
 START DATE: 01/2019;  
 APX NUMBER OF HOURS PER WEEK: 4;  
 APX NUMBER OF HOURS DURING TRADING HOURS: 4;  
 POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT;  
 BRIEF DESCRIPTION OF DUTIES: SELLS LIFE, HEALTH, DISABILITY, ACCIDENT AND PROPERTY & CASUALTY;
2. PRESIDENT AND SOLE SHAREHOLDER OF FAMILY FINANCIAL PHYSICIANS LLC,



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

TARENTUM, PA,  
1 HOUR SECURITIES,  
SINCE 11/2011;

3. NAME OF OTHER BUSINESS: MAGEE INVESTMENT ASSOCIATES INC  
INVESTMENT RELATED: NO;  
ADDRESS: SAME AS REGISTERED LOCATION;  
NATURE OF BUSINESS: INC;  
START DATE: 10/1992;  
POSITION/TITLE/RELATIONSHIP: OWNER;  
APX NUMBER OF HOURS PER WEEK: 3;  
APX NUMBER OF HOURS DURING TRADING HOURS: 0;  
BRIEF DESCRIPTION OF DUTIES: PERSONAL USE;



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4
Judgment/Lien	29

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 4

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	Nathan & Lewis Securities
<b>Allegations:</b>	Clients allege VUL policy was misrepresented.
<b>Product Type:</b>	Other: Variable Universal Life
<b>Alleged Damages:</b>	\$67,941.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	11/03/2017
<b>Complaint Pending?</b>	No
<b>Status:</b>	Denied
<b>Status Date:</b>	12/14/2017
<b>Settlement Amount:</b>	
<b>Individual Contribution Amount:</b>	

**Disclosure 2 of 4**

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:**

**Allegations:** ALLEGED UNSUITABLE LIMITED PARTNERSHIP, MUTUAL FUND AND ANNUITY PURCHASES. LOSS OF \$103,000 WAS ALLEGED.

**Product Type:**

**Alleged Damages:** \$103,000.00

**Customer Complaint Information**

**Date Complaint Received:**

**Complaint Pending?** No

**Status:** Litigation

**Status Date:**

**Settlement Amount:**

**Individual Contribution Amount:**

**Civil Litigation Information**

**Court Details:** GD 92-10121

**Date Notice/Process Served:** 06/01/1992

**Litigation Pending?** No

**Disposition:** Settled

**Disposition Date:** 01/18/1996

**Monetary Compensation Amount:** \$20,711.00

**Individual Contribution Amount:** \$500.00

**Firm Statement** SETTLED FOR \$20,211.00  
Not Provided

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**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:**

**Allegations:** ALLEGED UNSUITABLE LIMITED PARTNERSHIP, MUTUAL FUND AND ANNUITY PURCHASE LOSS OF \$103,000 WAS ALLEGED.

**Product Type:**

**Alleged Damages:** \$103,000.00

**Customer Complaint Information**

**Date Complaint Received:**



**Complaint Pending?** No

**Status:** Litigation

**Status Date:**

**Settlement Amount:**

**Individual Contribution**

**Amount:**

### Civil Litigation Information

**Court Details:** GD 92-10121

**Date Notice/Process Served:** 06/01/1992

**Litigation Pending?** No

**Disposition:** Settled

**Disposition Date:** 01/18/1996

**Monetary Compensation**

**Amount:**

**Individual Contribution**

**Amount:**

**Broker Statement**

MERRILL LYNCH SETTLED FOR \$20,211 ROBERT MAGEE  
SETTLED FOR \$500.  
Not Provided

### Disclosure 3 of 4

**Reporting Source:** Firm

**Employing firm when  
activities occurred which led  
to the complaint:** MERRILL LYNCH

**Allegations:** [CUSTOMER] ALLEGES THAT THE LIMITED  
PARTNERSHIP INVESTMENTS IN HER ACCOUNT WERE UNSUITABLE.

**Product Type:**

**Alleged Damages:**

### Customer Complaint Information

**Date Complaint Received:** 10/30/1992

**Complaint Pending?** No

**Status:** Settled

**Status Date:**

**Settlement Amount:** \$30,000.00

**Individual Contribution**

**Amount:**

**Firm Statement**

TO AVOID THE COSTS AND UNCERTAINTIES OF  
LITIGATION, A BUSINESS DECISION WAS MADE TO SETTLE THIS MATTER  
FOR \$30,000.  
[CUSTOMER] ALLEGED THAT THE LIMITED PARTNERSHIP  
INVESTMENTS IN HER ACCOUNT WERE UNSUITABLE. MERRILL LYNCH  
MADE



A BUSINESS DECISION TO SETTLE THIS MATTER FOR \$30,000 AND IN TURN, [CUSTOMER] ASSIGNED THREE LIMITED PARTNERSHIP INVESTMENTS PURCHASED FOR \$30,000 TO MERRILL LYNCH. THE PROCEEDS WILL OFFSET THE PRINCIPLE RETURNED TO THE CLIENT.

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**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** MERRILL LYNCH

**Allegations:** CLIENT ALLEGED THAT LIMITED PARTNERSHIP INVESTMENTS WERE NOT SUITABLE FOR HER ACCOUNT.

**Product Type:**

**Alleged Damages:**

**Customer Complaint Information**

**Date Complaint Received:** 10/30/1992

**Complaint Pending?** No

**Status:** Settled

**Status Date:**

**Settlement Amount:** \$30,000.00

**Individual Contribution Amount:** \$0.00

**Broker Statement** TO AVOID COSTS AND LITIGATION MERRILL LYNCH SETTLED WITH CLIENT FOR \$30,000.00. IN TURN, CLIENT RETURNED PARTNERSHIP TO MERRILL LYNCH. MAGEE WAS NOT INVOLVED NOR CONTRIBUTED TOWARDS SETTLEMENT. NASD DISTRICT #9 REVIEWED THE CASE AND DEEMED THAT NO ACTION WAS WARRANTED AGAINST ME. (2/6/94). LETTER IS ATTACHED FOR YOUR FILES.

**Disclosure 4 of 4**

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:**

**Allegations:** CUSTOMER ALLEGED UNSUITABILITY OF LIMITED PARTNERSHIP. REQUESTED REVIEW OF ACCOUNT, MEETING WITH MANAGEMENT, AND REIMBURSEMENT OF \$20,000 PURCHASE AMOUNT.

**Product Type:**

**Alleged Damages:** \$20,000.00

**Customer Complaint Information**

**Date Complaint Received:** 03/10/1992

**Complaint Pending?** No

**Status:** Settled

**Status Date:****Settlement Amount:** \$20,000.00**Individual Contribution Amount:** \$0.00**Firm Statement** N/A  
MANAGEMENT DISCUSSED THE PARTNERSHIP WITH [CUSTOMER] AT A MEETING HELD AT THE OFFICE. SHE HAS NOT PURSUED THE COMPLAINT**Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:****Allegations:** [CUSTOMER] ALLEGED SUITABILITY WITH RESPECT TO A LIMITED PARTNERSHIP. IT IS MY UNDERSTANDING THAT THE FIRM SETTLED WITH CLIENT FOR \$20,000.. THE INITIAL INVESTMENT AMOUNT. I WAS NOT ASKED TO CONTRIBUTE TOWARDS THE SETTLEMENT.**Product Type:****Alleged Damages:** \$20,000.00**Customer Complaint Information****Date Complaint Received:** 03/10/1992**Complaint Pending?** No**Status:** Settled**Status Date:****Settlement Amount:** \$20,000.00**Individual Contribution Amount:** \$0.00**Broker Statement** FIRM SETTLED WITH CLIENT FOR \$20,000  
I WAS NOT AWARE OF INVOLVED IN THIS COMPLAINT.



## Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

### Disclosure 1 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF GEORGIA  
**Judgment/Lien Amount:** \$2,683.81  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 05/10/2022  
**Date Individual Learned:** 05/22/2024  
**Type of Court:** State Court  
**Name of Court:** STATE COURT OF GWINNETT COUNTY  
**Location of Court:** GWINNETT, GA  
**Docket/Case #:** BK5979PG55  
**Judgment/Lien Outstanding?** Yes  
**Broker Statement** I PLAN ON CHALLENGING THIS LIEN, AS I DO NOT BELIEVE I OWE THIS MONEY.

### Disclosure 2 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** JOHN C R KELLY REALTY INC  
**Judgment/Lien Amount:** \$1,480.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 09/16/2003  
**Date Individual Learned:** 10/30/2003  
**Type of Court:** COUNTY COURT  
**Name of Court:** ALLEGHENY CTY DIST. JUSTICE-05-2-32  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** LT000029503  
**Judgment/Lien Outstanding?** Yes

### Disclosure 3 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** NEW KENSINGTON CITY  
**Judgment/Lien Amount:** \$5,800.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/03/2002  
**Date Individual Learned:** 05/03/2002



**Type of Court:** COUNTY COURT  
**Name of Court:** PROTHONOTARIES' OFFICES IN ALL COUNTIES  
**Location of Court:** WESTMORELAND COUNTY, PA  
**Docket/Case #:** 02JU02182  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 4 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF PA, DEPT. OF REVENUE  
**Judgment/Lien Amount:** \$836.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 08/14/2008  
**Date Individual Learned:** 09/15/2008

**Type of Court:** State Court  
**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** GD08016807  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 5 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$77,189.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 02/06/2009  
**Date Individual Learned:** 03/15/2009

**Type of Court:** Federal Court  
**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** FTL09000269  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 6 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** MUNICIPALITY OF MONROEVILLE TAX  
**Judgment/Lien Amount:** \$7,973.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 12/21/2006



**Date Individual Learned:** 02/02/2007  
**Type of Court:** COUNTY COURT  
**Name of Court:** ALLEGHENY CTY DIST JUSTICE-05-2-07  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** CV000088706  
**Judgment/Lien Outstanding?** Yes

**Disclosure 7 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** EQUITABLE GAS COMPANY LLC  
**Judgment/Lien Amount:** \$1,166.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 10/20/2009  
**Date Individual Learned:** 11/20/2009  
**Type of Court:** COUNTY COURT  
**Name of Court:** WESTMORELAND COUNTY PROTHONOTARY  
**Location of Court:** WESTMORELAND COUNTY, PA  
**Docket/Case #:** 09JU09462  
**Judgment/Lien Outstanding?** Yes

**Disclosure 8 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** COMMONWEALTH UNITED MORTGAGE  
**Judgment/Lien Amount:** \$193,419.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 06/17/2008  
**Date Individual Learned:** 07/20/2008  
**Type of Court:** State Court  
**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** GD08008457  
**Judgment/Lien Outstanding?** Yes

**Disclosure 9 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF PA, DEPT. OF REVENUE  
**Judgment/Lien Amount:** \$413.00



**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 10/15/2009  
**Date Individual Learned:** 11/15/2009  
**Type of Court:** State Court  
**Name of Court:** WESTMORELAND COUNTY PROTHONOTARY  
**Location of Court:** WESTMORELAND COUNTY, PA  
**Docket/Case #:** 09JU09200  
**Judgment/Lien Outstanding?** Yes

**Disclosure 10 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$24,863.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/25/2016  
**Date Individual Learned:** 05/01/2016  
**Type of Court:** Federal Court  
**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** FTL16000480  
**Judgment/Lien Outstanding?** Yes

**Disclosure 11 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF PA, DEPT OF REVENUE  
**Judgment/Lien Amount:** \$1,465.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 11/20/2012  
**Date Individual Learned:** 01/09/2013  
**Type of Court:** State Court  
**Name of Court:** WESTMORELAND COUNTY PROTHONOTARY  
**Location of Court:** WESTMORELAND COUNTY, PA  
**Docket/Case #:** 12JU06956  
**Judgment/Lien Outstanding?** Yes

**Disclosure 12 of 29**

**Reporting Source:** Individual



**Judgment/Lien Holder:** MUNICIPALITY OF MONROEVILLE TAX  
**Judgment/Lien Amount:** \$7,973.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 12/21/2006  
**Date Individual Learned:** 01/21/2007  
**Type of Court:** COUNTY COURT  
**Name of Court:** ALLEGHENY CTY DIST JUSTICE-05-2-07  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** LT000088606  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 13 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE Of PA, DEPT OF REVENUE  
**Judgment/Lien Amount:** \$1,036.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 03/25/2016  
**Date Individual Learned:** 05/01/2016  
**Type of Court:** State Court  
**Name of Court:** ALLEGHENY COUNTY PROTHONOTARY  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** GD16004663  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 14 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** JOHN C R KELLY REALTY INC  
**Judgment/Lien Amount:** \$579.00  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 03/20/2003  
**Date Individual Learned:** 04/20/2003  
**Type of Court:** COUNTY COURT  
**Name of Court:** ALLEGHENY CTY DIST. JUSTICE-05-2-32  
**Location of Court:** ALLEGHENY COUNTY, PA  
**Docket/Case #:** LT000008103  
**Judgment/Lien Outstanding?** Yes

**Disclosure 15 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF PA  
**Judgment/Lien Amount:** \$1,426.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 08/08/2014  
**Date Individual Learned:** 08/13/2014  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA  
**Location of Court:** ALLEGHANY COUNTY, PA  
**Docket/Case #:** GD14013815  
**Judgment/Lien Outstanding?** Yes

**Disclosure 16 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** STATE OF PA  
**Judgment/Lien Amount:** \$1,350.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 06/04/2013  
**Date Individual Learned:** 06/10/2013  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA  
**Location of Court:** ALLEGHANY COUNTY, PA  
**Docket/Case #:** GD13011342  
**Judgment/Lien Outstanding?** Yes

**Disclosure 17 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** PA DEPARTMENT OF REVENUE  
**Judgment/Lien Amount:** \$1,604.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 09/30/2003  
**Date Individual Learned:** 09/30/2003  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA  
**Location of Court:** WESTMORELAND, PA  
**Docket/Case #:** LT000029503



**Judgment/Lien Outstanding?** Yes

**Disclosure 18 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** PA DEPARTMENT OF REVENUE  
**Judgment/Lien Amount:** \$713.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/01/2003  
**Date Individual Learned:** 04/01/2003  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA  
**Location of Court:** WESTMORELAND, PA  
**Docket/Case #:** LT000008103  
**Judgment/Lien Outstanding?** Yes

**Disclosure 19 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** PA DEPARTMENT OF REVENUE  
**Judgment/Lien Amount:** \$2,534.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 05/10/2011  
**Date Individual Learned:** 05/10/2011  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA  
**Location of Court:** ALLEG COUNTY, PA  
**Docket/Case #:** GD11008688  
**Judgment/Lien Outstanding?** Yes

**Disclosure 20 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** PA DEPT OF REVENUE  
**Judgment/Lien Amount:** \$917.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 08/22/2011  
**Date Individual Learned:** 08/22/2011  
**Type of Court:** State Court  
**Name of Court:** STATE OF PA



**Location of Court:** ALLEG COUNTY, PA  
**Docket/Case #:** GD11016984  
**Judgment/Lien Outstanding?** Yes

**Disclosure 21 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$7,021.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/26/2011  
**Date Individual Learned:** 04/26/2011  
**Type of Court:** IRS  
**Name of Court:** NA  
**Location of Court:** ALLEG COUNTY, PA  
**Docket/Case #:** FTL11001072  
**Judgment/Lien Outstanding?** Yes

**Disclosure 22 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$2,562.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 04/27/2011  
**Date Individual Learned:** 04/27/2011  
**Type of Court:** NA  
**Name of Court:** IRS  
**Location of Court:** WESTMORELAND, PA  
**Docket/Case #:** 11FL26646  
**Judgment/Lien Outstanding?** Yes

**Disclosure 23 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$9,435.00  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 01/17/2012  
**Date Individual Learned:** 01/17/2012



**Type of Court:** IRS  
**Name of Court:** NA  
**Location of Court:** ALLEG COUNTY, PA  
**Docket/Case #:** FTL12000016  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 24 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE  
**Judgment/Lien Amount:** \$6,270.43  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 11/09/2007  
**Judgment/Lien Outstanding?** Yes  
**Broker Statement** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE / DETROIT, MI / SERIAL NUMBER: 403364407

#### Disclosure 25 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE  
**Judgment/Lien Amount:** \$29,592.33  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 01/31/2008  
**Court Details:** DEPARTMENT OF TREASURY-INTERNAL REVENUE SERVICE / PITTSBURGH, PA / SERIAL NUMBER: 418926308  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 26 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE  
**Judgment/Lien Amount:** \$8,276.62  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 03/04/2008  
**Court Details:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE / DETROIT, MI / SERIAL NUMBER: 42666008  
**Judgment/Lien Outstanding?** Yes

#### Disclosure 27 of 29

**Reporting Source:** Individual  
**Judgment/Lien Holder:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE  
**Judgment/Lien Amount:** \$72,525.05



**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 11/09/2007  
**Court Details:** DEPARTMENT OF TREASURY - INTERNAL REVENUE SERVICE / DETROIT, MI / SERIAL NUMBER: 403364607  
**Judgment/Lien Outstanding?** Yes

**Disclosure 28 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** PENNSYLVANIA DEPARTMENT OF REVENUE  
**Judgment/Lien Amount:** \$2,288.73  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 11/17/2006  
**Court Details:** COURT OF COMMON PLEAS / ALLEGHENY COUNTY, PA / CASE NUMBER=SOCIAL SECURITY NUMBER  
**Judgment/Lien Outstanding?** Yes

**Disclosure 29 of 29**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** COMMONWEALTH OF PENNSYLVANIA DEPT. OF LABOR & INDUSTRY  
**Judgment/Lien Amount:** \$1,890.33  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 03/27/2008  
**Court Details:** COURT OF COMMON PLEAS / WESTMORELAND COUNTY, PA / DOCKET NUMBER: 44972008  
**Judgment/Lien Outstanding?** Yes



## End of Report

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