IAPD Report

ROBIN JAY BUNDY

CRD# 1201496

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

User Guidance

www.adviserinfo.sec.gov

Report Summary

ROBIN JAY BUNDY (CRD# 1201496)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	TRANSAMERICA FINANCIAL ADVISORS, LLC	CRD# 16164	01/06/2012
IA	TRANSAMERICA FINANCIAL ADVISORS, LLC	CRD# 16164	01/06/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **28** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WORLD GROUP SECURITIES, INC.	114473	LAS VEGAS, NV	04/12/2002 - 01/06/2012
B	WMA SECURITIES, INC.	32625	DULUTH, GA	06/06/1994 - 04/12/2002
B	INTERSECURITIES, INC.	16164	PHILADELPHIA, PA	01/28/1992 - 06/06/1994

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **28** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **TRANSAMERICA FINANCIAL ADVISORS, LLC**

Main Address: TWO LIBERTY PLACE
50 SOUTH 16TH STREET, SUITE 3700
PHILADELPHIA, PA 19102

Firm ID#: 16164

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	01/06/2012
B	FINRA	Investment Co./Variable Contracts Prin	Approved	01/06/2012
B	Arizona	Agent	Approved	01/04/2024
B	Arkansas	Agent	Approved	06/23/2023
B	California	Agent	Approved	01/06/2012
B	Colorado	Agent	Approved	01/05/2024
B	Connecticut	Agent	Approved	02/25/2020
B	Florida	Agent	Approved	01/04/2024
B	Idaho	Agent	Approved	01/04/2024
B	Indiana	Agent	Approved	02/25/2020
B	Kansas	Agent	Approved	01/04/2024
B	Kentucky	Agent	Approved	02/17/2023
B	Louisiana	Agent	Approved	02/16/2023

Qualifications

	Regulator	Registration	Status	Date
B	Michigan	Agent	Approved	01/05/2024
B	Minnesota	Agent	Approved	01/05/2024
B	Mississippi	Agent	Approved	01/25/2023
B	Montana	Agent	Approved	02/16/2023
B	Nevada	Agent	Approved	01/06/2012
IA	Nevada	Investment Adviser Representative	Approved	02/17/2026
B	New Mexico	Agent	Approved	09/16/2022
B	North Carolina	Agent	Approved	02/18/2023
B	Ohio	Agent	Approved	01/06/2012
B	Pennsylvania	Agent	Approved	01/04/2024
B	South Carolina	Agent	Approved	05/01/2023
B	Tennessee	Agent	Approved	09/23/2024
B	Texas	Agent	Approved	01/03/2024
IA	Texas	Investment Adviser Representative	Restricted Approval	01/06/2023
B	Utah	Agent	Approved	01/04/2024
B	Virginia	Agent	Approved	02/26/2020
B	Washington	Agent	Approved	01/03/2024
B	West Virginia	Agent	Approved	01/16/2024

Qualifications

	Regulator	Registration	Status	Date
B	Wisconsin	Agent	Approved	02/17/2023

Branch Office Locations

TRANSAMERICA FINANCIAL ADVISORS, LLC
8861 W. Sahara Ave.
Suite 260
LAS VEGAS, NV 89117

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	01/03/1989

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/20/1984

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	12/13/2022
B	Uniform Securities Agent State Law Examination (S63)	Series 63	02/17/1984

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/12/2002 - 01/06/2012	WORLD GROUP SECURITIES, INC.	CRD# 114473	LAS VEGAS, NV
B	06/06/1994 - 04/12/2002	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA
B	01/28/1992 - 06/06/1994	INTERSECURITIES, INC.	CRD# 16164	PHILADELPHIA, PA
B	03/09/1984 - 11/19/1991	FIRST AMERICAN NATIONAL SECURITIES, INC.	CRD# 10111	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2023 - Present	Champion Health, Inc	Agent	Y	Scottsdale, AZ, United States
09/2022 - Present	Regenerateme IV hydration	INVESTOR	Y	Las Vegas, NV, United States
02/2020 - Present	MR Investments LLC	Silent Investor	Y	Las Vegas, NV, United States
12/2016 - Present	RJB REAL ESTATE HOLDINGS, LLC	MANAGING MEMBER/OWNER	Y	LAS VEGAS, NV, United States
12/2014 - Present	SRB PROPERTIES, LLC	MANAGER	N	LAS VEGAS, NV, United States
01/2014 - Present	SPREAD THE ALOHA TO THE KIDS.ORG	SECRETARY & VOTING BOARD MEMBER	Y	LAS VEGAS, NV, United States
03/2013 - Present	FIRST PERSON CARE CLINIC	BOARD OF DIRECTORS/ SECRETARY	Y	LAS VEGAS, NV, United States
01/2012 - Present	TRANSAMERICA FINANCIAL ADVISORS, INC	Mass Transfer	Y	LAS VEGAS, NV, United States
08/2009 - Present	ROBIN BUNDY RENTAL PROPERTIES	RENTAL PROPERTIES	Y	LAS VEGAS, NV, United States
08/2009 - Present	ROBIN BUNDY VACATION RENTAL	VACATION RENTAL PROPERTIES	Y	LAS VEGAS, NV, United States
11/2008 - Present	RSB ASSOCIATES	OWNER	Y	LAS VEGAS, NV, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2001 - Present	WFGIA	ASSOCIATE	Y	LAS VEGAS, NV, United States
01/2000 - Present	DLARKK FLP	GENERAL PARTNER	Y	LAS VEGAS, NV, United States
01/2000 - Present	S. BUNDY INVESTMENT COMPANY, INC	MANAGER/PRESIDENT	Y	LAS VEGAS, NV, United States
08/2023 - 09/2024	Senior Life Insurance Company	AGENT	Y	THOMASVILLE, GA, United States
11/2019 - 02/2023	Mpowering America	Debt Assumption - Settlement	N	Henderson, NV, United States
05/2015 - 12/2016	CARE TO BE HOME, PCA	BOARD MEMBER	N	LAS VEGAS, NV, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Sales of insurance and non-insurance products, part-time or full-time, for companies affiliated with Transamerica Financial Advisors, Inc.

DLARKK Family Limited Partnership/No/10785 W Twain Ave STE 200 Las Vegas,NV 89135/1/2000/General Partner-Control assets determine any nec. disbursements/

S.BUNDY INVESTMENT COMPANY, INC/No/2200 Jamaica Ct Las Vegas,NV 89117/MANAGER/PRESIDENT1-2000/S Corporation to pay for Health & Life Ins. for family

SPREAD THE ALOHA TO THE KIDS.ORG; NOT INVESTMENT RELATED; 2200 JAMAICA COURT, LAS VEGAS, NV 89117; NON-PROFIT REGISTERED AS A 501-C SUPPORTING ORPHANS AND DISADVANTAGED CHILDREN; SECRETARY & VOTING BOARD MEMBER; 01/2014; 4 HRS/MONTH; ATTEND BOARD MEETINGS VOTE ON PROJECTS WE WANT TO SUPPORT

SRB PROPERTIES LLC; NOT INVESTMENT RELATED; 220 JAMAICA CT, LAS VEGAS, NV 89117; RENTAL PROPERTY; MANAGER; 12/22/2014; 2 HOURS/MONTH; SEE 3.96

RJB REAL ESTATE HOLDINGS, LLC/NO/2200 JAMAICA COURT, LAS VEGAS, NV 89117/SHORT TERM VACATION RENTAL/MANAGING MEMBER/OWNER/12.11.2016/3/0/I DON'T HAVE ANY RESPONSIBILITIES, PROPERTY MANAGER TAKES CARE OF THE DAY TO DAY ACTIVITIES.

RSB ASSOCIATES/no/Las Vegas,NV/Owner/11-2008/Management services and Accounting functions and Licensing requirments/10/10 - handle all office Admin. related issues.

MR Investments LLC/ 0220-Present / Investment Related: No/ 8430 W Lake Mead Blvd. #100 Las Vegas, NV 89128 / Silent Investor / E-Commerce Amazon Store / Hrs Work Monthly: 0/ Sec Trading Hrs: / None

Registration & Employment History

OTHER BUSINESS ACTIVITIES

REGENERATEME IV HYDRATION POSITION: INVESTOR NATURE: IV VITAMIN THERAPY INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2022
ADDRESS: Planet Hollywood Hotel, Las Vegas Blvd., Las Vegas NV 89109, United States DESCRIPTION: NONE N/A

CHAMPION HEALTH, INC POSITION: Agent NATURE: Employee Benefits INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 05/16/2023 ADDRESS: 7272 Indian School Road # 540, Scottsdale AZ 85251, United States DESCRIPTION: Contacting Employers regarding the employer/employee of benifits the champ plan offers. (please see the champ website) benefits are too numerous to list. I will mention one of the numerous enefits to the employee is having access to a tele-doc. Champ plan is designed to increase the overall health of the employee therefore reduceing urgent care, and hospital admissions The champ plan DOES NOT REPLACE the companys existing health insurance, champ covers things the existing health insurance does not. "CHAMP IS NOT an AFLAC prouduct" Once I make contact with the employer, I will simply refer the champ team to the ownwer/COO of the company. The champ team will explain the benifits of the plan and do the enrollment

FIRST PERSON CARE CLINIC, NON-INVESTMENT RELATED, 1200 SOUTH 4TH STREET, SUITE 111, LAS VEGAS, NV 89109, NOT FOR PROFIT DOCTORS OFFICE/ CLINIC. CONSISTING OF DOCTORS, NURSES, ADMINISTRATIVE, PERSONAL. PROVIDING HEALTHCARE FOR CHILDREN AND ADULTS, BOARD OF DIRECTORS/ SECRETARY, START 3/10/2013, AT MONTHLY MONTHLY BOARD MEETING, GIVE INPUT AND ADVICE ON OPERATIONS, BUDGET, ADVERTISING, PERSONAL, ETC.

Robin Bundy Rental Properties | 8/2009 |Rental Properties | Yes investment related | Las Vegas, NV

Robin Bundy Vacation Rentals | yes investment related | 8/2009 | Vacation Rental Properties

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 5

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WORLD GROUP SECURITIES, INC.

Allegations: THE CUSTOMER ALLEGES MISREPRESENTATION OF A VARIABLE UNIVERSAL LIFE INSURANCE POLICY PURCHASED IN JANUARY 2009. THE CUSTOMER REQUESTS THAT THE POLICY BE RESCINDED AND ALL PREMIUMS BE REFUNDED.

Product Type: Insurance

Alleged Damages: $285,127.57

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/02/2011

Complaint Pending? No

Status: Denied

Status Date: 10/06/2011

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WORLD GROUP SECURITIES, INC.
Allegations:	THE REGISTERED REPRESENTATIVE IS ALLEGED TO HAVE MISREPRESENTED THE FACE VALUE OF, AND THE FEES ASSOCIATED WITH THE VARIABLE UNIVERSAL LIFE (VUL) INSURANCE POLICY ISSUED IN FEBRUARY 2006.
Product Type:	Insurance
Alleged Damages:	$20,317.00

Customer Complaint Information

Date Complaint Received:	12/26/2006
Complaint Pending?	No
Status:	Denied
Status Date:	03/19/2007
Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00

Disclosure 3 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WMA SECURITIES, INC.
Allegations:	CUSTOMER ALLEGES THAT VARIABLE ANNUITY CONTRACT WAS MISREPRESENTED.
Product Type:	Annuity(ies) - Variable
Alleged Damages:	$240,564.64

Customer Complaint Information

Date Complaint Received:	06/07/2002
Complaint Pending?	No
Status:	Denied
Status Date:	07/05/2002
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 4 of 5

Reporting Source:	Individual

Employing firm when activities occurred which led to the complaint:	WMA SECURITIES, INC.
Allegations:	CLIENT ALLEGES MISREPRESENTATION AND SUITABILITY CONCERNS OF TWO VARIABLE ANNUITY CONTRACTS ISSUED IN JANUARY 2000.
Product Type:	Annuity-Variable
Alleged Damages:	$212,136.20

Customer Complaint Information

Date Complaint Received:	09/19/2001
Complaint Pending?	No
Status:	Evolved into Arbitration/CFTC reparation (the individual is a named party)
Status Date:	01/28/2002
Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	NASD
Docket/Case #:	02-00345
Date Notice/Process Served:	01/28/2002
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	02/20/2002
Monetary Compensation Amount:	$130,000.00
Individual Contribution Amount:	$0.00
Broker Statement	CLIENT ALLEGES HER AGENT [BROKER] MISREPRESENTED TWO VARIABLE ANNUITY CONTRACTS ISSUED IN JANUARY 2000, SHE ALSO ALLEGES THE CONTRACTS WERE NOT SUITABLE. ROBIN BUNDY WAS BRANCH MANAGER TO [BROKER] AND IT WAS ALLEGED THAT HE DID NOT PROPERLY SUPERVISE THE TRANSACTION BETWEEN [CUSTOMER] AND HER AGENT.

Disclosure 5 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WMA SECURITIES, INC.
Allegations:	ALLEGED MISREPRESENTATION OF VARIABLE UNIVERSAL LIFE INSURANCE POLICY. ISSUED ON 4/11/97
Product Type:	Other

Other Product Type(s):	VARIABLE UNIVERSAL LIFE INSURANCE POLICY
Alleged Damages:	$7,635.00

Customer Complaint Information

Date Complaint Received:	11/02/1999
Complaint Pending?	No
Status:	Denied
Status Date:	11/05/1999
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	NO EVIDENCE OF MISREPRESENTATION. CLAIM DENIED

End of Report

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