



IAPD Report

ROBERT LEWIS CHAMBERS

CRD# 1231649

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ROBERT LEWIS CHAMBERS (CRD# 1231649)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	HUNTLEIGH SECURITIES CORPORATION	CRD# 7456	09/27/2000
IA	HUNTLEIGH ADVISORS, INC.	CRD# 113412	03/12/2001

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **20** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	K. W. CHAMBERS & CO.	1432	CLAYTON, MO	01/25/1984 - 01/27/2025
IA	DATATEX INVESTMENT SERVICES INC	105440	CLAYTON, MO	05/27/2003 - 03/28/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **20** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **HUNTLEIGH SECURITIES CORPORATION**
Main Address: 7800 FORSYTH BLVD.
5TH FLOOR
ST. LOUIS, MO 63105
Firm ID#: 7456

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	09/27/2000
B FINRA	General Securities Representative	Approved	09/27/2000
B FINRA	Municipal Securities Principal	Approved	09/30/2009
B FINRA	Investment Banking Representative	Approved	08/18/2010
B FINRA	Operations Professional	Approved	12/06/2011
B FINRA	Investment Banking Principal	Approved	10/01/2018
B FINRA	Financial and Operations Principal	Approved	01/12/2026
B FINRA	Municipal Securities Representative	Approved	01/12/2026
B FINRA	Registered Options Principal	Approved	01/12/2026
B Arizona	Agent	Approved	01/12/2010
B Arkansas	Agent	Approved	01/04/2010
B District of Columbia	Agent	Approved	11/24/2004
B Florida	Agent	Approved	05/26/2010



Qualifications

Regulator	Registration	Status	Date
B Georgia	Agent	Approved	02/11/2021
B Illinois	Agent	Approved	06/04/2001
B Indiana	Agent	Approved	01/05/2010
B Kansas	Agent	Approved	01/04/2010
B Kentucky	Agent	Approved	01/11/2011
B Maryland	Agent	Approved	01/22/2009
B Massachusetts	Agent	Approved	06/09/2020
B Michigan	Agent	Approved	09/02/2021
B Missouri	Agent	Approved	01/17/2001
B New Jersey	Agent	Approved	01/18/2024
B New Mexico	Agent	Approved	05/17/2024
B New York	Agent	Approved	05/22/2020
B Puerto Rico	Agent	Approved	04/19/2001
B Texas	Agent	Approved	06/01/2006

Branch Office Locations

7800 FORSYTH BLVD.
5TH FLOOR
ST. LOUIS, MO 63105

Employment 2 of 2

Firm Name: **HUNTLEIGH ADVISORS, INC.**

Main Address: 7800 FORSYTH BLVD.
5TH FLOOR



Qualifications

Firm ID#: ST. LOUIS, MO 63105
113412

Regulator	Registration	Status	Date
IA Arizona	Investment Adviser Representative	Approved	04/25/2019
IA California	Investment Adviser Representative	Approved	04/25/2019
IA Colorado	Investment Adviser Representative	Approved	06/21/2022
IA Florida	Investment Adviser Representative	Approved	06/27/2019
IA Illinois	Investment Adviser Representative	Approved	05/10/2001
IA Missouri	Investment Adviser Representative	Approved	03/12/2001
IA Texas	Investment Adviser Representative	Restricted Approval	09/06/2025

Branch Office Locations

HUNTLEIGH ADVISORS, INC.
7800 FORSYTH BLVD
5TH FLOOR
ST. LOUIS, MO 63105







Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Securities Principal Examination (S53)	Series 53	02/18/1999
	Financial and Operations Principal Examination (S27)	Series 27	11/30/1990
	General Securities Principal Examination (S24)	Series 24	03/22/1988
	Registered Options Principal Examination (S4)	Series 4	10/30/1986

General Industry/Product Exams

	Exam	Category	Date
	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	National Commodity Futures Examination (S3)	Series 3	03/02/2004
	General Securities Representative Examination (S7)	Series 7	01/21/1984

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	03/12/2001
	Uniform Securities Agent State Law Examination (S63)	Series 63	02/06/1984



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/25/1984 - 01/27/2025	K. W. CHAMBERS & CO.	CRD# 1432	CLAYTON, MO
IA	05/27/2003 - 03/28/2023	DATATEX INVESTMENT SERVICES INC	CRD# 105440	CLAYTON, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2004 - Present	HUNTLEIGH ADVISORS, INC.	CEO	Y	ST. LOUIS, MO, United States
09/2000 - Present	HUNTLEIGH SECURITIES CORPORATION	PRESIDENT	Y	ST. LOUIS, MO, United States
12/1983 - Present	K. W. CHAMBERS & CO.	CHIEF EXECUTIVE OFFICER	Y	ST LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) K.W. CHAMBERS & CO., ST. LOUIS, MO; FINRA REGISTERED BROKER-DEALER; INVESTMENT-RELATED; POSITION: MAJORITY OWNER & CEO; TIME: 2-5 HOURS PER WEEK
- 2) HUNTLEIGH ADVISORS, INC.; ST. LOUIS, MO; SEC REGISTERED INVESTMENT ADVISER; INVESTMENT-RELATED; POSITION: MAJORITY OWNER & PRESIDENT; TIME: 15 HOURS PER WEEK
- 3) DATATEX INVESTMENT SERVICES, INC.; ST. LOUIS, MO; HOLDING COMPANY; INVESTMENT-RELATED; POSITION: MAJORITY OWNER & PRESIDENT; TIME: 5 HOURS PER WEEK
- 4) ST. LOUIS INDUSTRIAL PROPERTIES, LLC; PASSIVE REAL ESTATE INVESTMENT; NOT SECURITIES RELATED; PART OWNER; TIME: MINIMAL TO NONE;
- 5) 4 HEALTH FARMS, LLC; CATTLE RANCH; NOT SECURITIES RELATED; PART OWNER; TIME: 5 HOURS PER MONTH;
- 6) L PARK, LLC; MANUFACTURING; NOT SECURITIES RELATED; PART OWNER; TIME: 5 HOURS PER MONTH;
- 7) SOC, LLC; REAL ESTATE INVESTMENTS; NOT SECURITIES RELATED; PART OWNER/MEMBER; TIME: MINIMAL TO NONE;
- 8) ST. LOUIS COUNTY BOARD OF ELECTIONS; GOVERNMENTAL ENTITY; NOT SECURITIES RELATED; POSITION: CHAIRMAN; START DATE 03-2021; TIME: 2 HOURS PER MONTH
- 9) 424 REAL ESTATE, LLC; REAL ESTATE HOLDING COMPANY; NOT SECURITIES RELATED; ST. LOUIS, MO; CO-MANAGER; START DATE 03-2024; TIME: MINIMAL TO NONE



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	07/10/2002
Docket/Case Number:	C04020021
Employing firm when activity occurred which led to the regulatory action:	K.W. CHAMBERS & CO.
Product Type:	No Product
Other Product Type(s):	
Allegations:	NASD RULES 1120,2110, 3010 - WITHOUT ADMITTING OR DENYING THE ALLEGATIONS, RESPONDENT CONSENTED TO THE ENTRY OF FINDINGS THAT HE ON BEHALF OF HIS MEMBER FIRM, PERMITTED REPRESENTATIVES TO PERFORM DUTIES AS REGISTERED PERSONS WHILE THEIR REGISTRATION STATUS WERE INACTIVE DUE TO THEIR FAILURE TO TIMELY COMPLETE THE REGULATORY ELEMENT OF THE NASD'S CONTINUING EDUCATION REQUIREMENTS; RESPONDENT ON BEHALF OF HIS MEMBER FIRM, FAILED TO ESTABLISH, MAINTAIN AND ENFORCE WRITTEN SUPERVISORY PROCEDURES DESIGNED TO FULFILL THE MEMBER'S OBLIGATION TO COMPLY WITH THE REGULATORY ELEMENT OF THE NASD'S CONTINUING EDUCATION REQUIREMENTS.
Current Status:	Final



Resolution: Acceptance, Waiver & Consent(AWC)
Resolution Date: 07/10/2002
Sanctions Ordered: Monetary/Fine \$12,500.00
Other Sanctions Ordered:
Sanction Details: \$12,500 FINE, JOINTLY AND SEVERALLY.

Reporting Source: Individual
Regulatory Action Initiated By: NASD REGULATION INC
Sanction(s) Sought: Other
Other Sanction(s) Sought:
Date Initiated: 11/16/2001
Docket/Case Number: AWC # C04020021
Employing firm when activity occurred which led to the regulatory action: K. W. CHAMBERS & CO.

Product Type: Other
Other Product Type(s):

Allegations: CONTINUING EDUCATION SUPERVISORY VIOLATION-BROKERS WERE PAID COMMISSIONS WHILE THEIR STATUS WAS CE INACTIVE. DEFICIENT WRITTEN SUPERVISORY PROCEDURES.

Current Status: Final

Resolution: Acceptance, Waiver & Consent(AWC)

Resolution Date: 07/10/2002

Sanctions Ordered: Monetary/Fine \$12,500.00

Other Sanctions Ordered: MEMBERS SUPERVISORY PROCEDURES UPDATED TO ENSURE COMPLIANCE.

Sanction Details: 12500.00 FINE

Broker Statement AS OF 04/30/2002 I WAS NOTIFIED BY NASD STAFF THAT FORMAL ACTION IS BEING RECOMMENDED RESULTING FROM A VIOLATION RELATED TO THE REGULATORY ELEMENT OF CE REQUIREMENT AND MAINTANANCE OF SUPERVISORY PROCEDURES RELATED TO CE REQUIREMENTS.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: K.W. CHAMBERS & CO.

Allegations: "NEGLIGENT HANDLING OF ACCOUNT." [CUSTOMER] AND [CUSTOMER] ALLEGE THAT THEY MADE A LOT OF MONEY THROUGH MR. CHAMBERS' RECOMMENDATIONS IN THE PAST, BUT FOR "THE PAST COUPLE YEARS," THEIR ACCOUNT HAS NOT BEEN MAKING MONEY, WHILE THE [CUSTOMERS] ALLEGE THEY HAVE ACQUAINTANCES WHO HAVE BEEN MAKING MONEY FOR THE PAST COUPLE YEARS. THE [CUSTOMERS] ALLEGE THAT THIS WAS BECAUSE THEIR ACCOUNT "WAS NOT HANDLED." THE ACCOUNT WAS OPENED OCTOBER 19 2000 AND TRANSFERRED OUT JUNE 3 2013.

Product Type: Equity-OTC
Equity Listed (Common & Preferred Stock)

Alleged Damages: \$50,000.00

Alleged Damages Amount Explanation (if amount not exact): THE [CUSTOMERS] DO NOT ALLEGE A SPECIFIC DOLLAR AMOUNT, BUT STATE THAT THE COMPANY OWES THEM "SOME MONEY," AND ASKED, "WHAT DO YOU FEEL WOULD BE A FAIR AMOUNT?" THE UNREALIZED LOSSES IN THE [CUSTOMERS'] ACCOUNT AT THE TIME OF TRANSFER WAS APPROXIMATELY \$50,000.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/17/2013

Complaint Pending? No

Status: Closed/No Action

Status Date: 07/17/2015

Settlement Amount:

Individual Contribution Amount:

Broker Statement CUSTOMER COMPLAINT HAS EXPIRED AND IS NO LONGER REPORTABLE. THIS MATTER HAS BEEN CLOSED WITH NO ACTION.



End of Report

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