



IAPD Report

Paul Thomas Henderson

CRD# 1232233

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Paul Thomas Henderson (CRD# 1232233)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/21/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NYLIFE SECURITIES LLC	CRD# 5167	02/18/2025
IA	EAGLE STRATEGIES LLC	CRD# 110826	11/24/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	DP ASSET MANAGEMENT, INC.	42737	ORLANDO, FL	09/11/1997 - 02/18/2005
B	LINSCO/PRIVATE LEDGER CORP.	6413	FORT MILL, SC	12/21/1994 - 10/13/1997
B	INVESTACORP, INC.	7684	MIAMI, FL	09/20/1988 - 12/21/1994

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **EAGLE STRATEGIES LLC**
Main Address: 51 MADISON AVENUE
12TH FLOOR
NEW YORK, NY 10010
Firm ID#: 110826

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	11/24/2025

Branch Office Locations

EAGLE STRATEGIES LLC
495 NORTH KELLER ROAD
SUITE 150
MAITLAND, FL 32751

Employment 2 of 2

Firm Name: **NYLIFE SECURITIES LLC**
Main Address: 51 MADISON AVE.
ROOM 713
NEW YORK, NY 10010
Firm ID#: 5167

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/18/2025
B	Florida	Agent	Approved	02/25/2025
B	North Carolina	Agent	Approved	02/27/2025

Branch Office Locations

MAITLAND PROMENADE II BUILDING
495 NORTH KELLER ROAD SUITE 150



Qualifications

MAITLAND, FL 32751



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Financial and Operations Principal Examination (S27)	Series 27	04/10/1997
B	General Securities Principal Examination (S24)	Series 24	09/07/1995

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	02/15/2025
B	Securities Industry Essentials Examination (SIE)	SIE	02/17/2023
B	General Securities Representative Examination (S7)	Series 7	03/15/1986
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/13/1984

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	04/24/2024
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	02/10/2024
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/20/1990



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/11/1997 - 02/18/2005	DP ASSET MANAGEMENT, INC.	CRD# 42737	ORLANDO, FL
B	12/21/1994 - 10/13/1997	LINSKO/PRIVATE LEDGER CORP.	CRD# 6413	FORT MILL, SC
B	09/20/1988 - 12/21/1994	INVESTACORP, INC.	CRD# 7684	MIAMI, FL
B	03/14/1984 - 10/12/1988	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	
B	03/14/1984 - 12/24/1986	IDS FINANCIAL SERVICES INC.	CRD# 6320	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Eagle Strategies LLC	IAR	Y	MAITLAND, FL, United States
01/2025 - Present	NYLIFE Securities LLC	Registered Representative	Y	Maitland, FL, United States
09/2024 - Present	New York Life Insurance Company	Agent	Y	Maitland, FL, United States
06/2023 - 09/2024	ABC Fine Wine and Spirits	Team Member	N	Clermont, FL, United States
03/2017 - 09/2024	JPS RE Ventures, LLC	Owner/Broker	N	Clermont, FL, United States
09/2012 - 09/2017	Toyota of Orlando	Salesman	N	Orlando, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[JPS RE Ventures, LLC; dormant; Not investment related; 2828 Columbus Ave Clermont, FL 34715; buy and sell foreclosure property from Clerk of Court; Investor; start 03/2019; 0 hours per month, 0 hours during securities trading hours; Buy, Rehab, Sell, Foreclosed single family-homes - flip house. JPS RE Ventures, LLC is a dormant business.]



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	NASD
Sanction(s) Sought:	
Date Initiated:	10/22/2003
Docket/Case Number:	C07030073
Employing firm when activity occurred which led to the regulatory action:	DP ASSET MANAGEMENT, INC.
Product Type:	No Product
Allegations:	NASD RULE 1120 - A MEMBER FIRM, ACTING THROUGH HENDERSON, PERMITTED REPRESENTATIVES TO ACT IN REGISTERED CAPACITIES WHILE THEIR REGISTRATIONS WERE INACTIVE DUE TO THEIR FAILURES TO SATISFY THE REGULATORY ELEMENT OF THEIR CONTINUING EDUCATION REQUIREMENTS.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No



Resolution Date:	10/22/2003
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Regulator Statement	WITHOUT ADMITTING OR DENYING THE ALLEGATIONS, HENDERSON CONSENTED TO THE DESCRIBED SANCTION AND TO THE ENTRY OF FINDINGS, THEREFORE, HE IS FINED \$2,500, JOINTLY AND SEVERALLY. FINE PAID 12/15/2003.
Reporting Source:	Individual
Regulatory Action Initiated By:	NASD
Sanction(s) Sought:	
Date Initiated:	10/22/2003
Docket/Case Number:	C07030073
Employing firm when activity occurred which led to the regulatory action:	DP Asset Management, Inc.
Product Type:	No Product
Allegations:	NASD RULE 1120 - A MEMBER FIRM, ACTING THROUGH HENDERSON, PERMITTED REPRESENTATIVES TO ACT IN REGISTERED CAPACITIES WHILE THEIR REGISTRATIONS WERE INACTIVE DUE TO THEIR FAILURES TO SATISFY THE REGULATORY ELEMENT OF THEIR CONTINUING EDUCATION REQUIREMENTS.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	10/22/2003
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$2,500.00
Portion Levied against individual:	\$2,500.00
Payment Plan:	
Is Payment Plan Current:	No
Date Paid by individual:	12/15/2003
Was any portion of penalty waived?	No
Amount Waived:	



Broker Statement

WITHOUT ADMITTING OR DENYING THE ALLEGATIONS, HENDERSON
CONSENTED TO THE DESCRIBED SANCTION AND TO THE ENTRY OF
FINDINGS, THEREFORE, HE IS FINED \$2,500, JOINTLY AND SEVERALLY.
FINE PAID 12/15/2003.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	American Express
Judgment/Lien Amount:	\$99,701.00
Judgment/Lien Type:	Civil
Date Filed with Court:	04/16/2026
Date Individual Learned:	05/20/2026
Type of Court:	State Court
Name of Court:	District Court
Location of Court:	Lake County, FL
Docket/Case #:	352024CA001008AXXX01
Judgment/Lien Outstanding?	Yes



End of Report

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