IAPD Report

STEVEN DOUGLAS MEAHAN

CRD# 1234042

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

STEVEN DOUGLAS MEAHAN (CRD# 1234042)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	MEAHAN FINANCIAL SERVICES	CRD# 167630	08/07/2013

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	DFPG INVESTMENTS, INC.	155576	PLEASANT HILL, CA	05/07/2013 - 05/17/2017
IA	SANDLAPPER WEALTH MANAGEMENT, LLC	164443	GREENVILLE, SC	08/03/2012 - 04/23/2013
B	SANDLAPPER SECURITIES, LLC	137906	PLEASANT HILL, CA	02/16/2012 - 04/23/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MEAHAN FINANCIAL SERVICES**

Main Address: 10304 ANNISTON LANE
LAS VEGAS, NV 89144-7038

Firm ID#: 167630

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	08/07/2013
IA	Nevada	Investment Adviser Representative	Approved	06/20/2023

Branch Office Locations

MEAHAN FINANCIAL SERVICES
10304 ANNISTON LANE
LAS VEGAS, NV 89144-7038

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	05/06/1991

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	05/17/2017
B	Futures Managed Funds Examination (S31)	Series 31	05/14/1999
B	General Securities Representative Examination (S7)	Series 7	01/21/1984

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	06/11/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/25/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/07/2013 - 05/17/2017	DFPG INVESTMENTS, INC.	CRD# 155576	PLEASANT HILL, CA
IA	08/03/2012 - 04/23/2013	SANDLAPPER WEALTH MANAGEMENT, LLC	CRD# 164443	GREENVILLE, SC
B	02/16/2012 - 04/23/2013	SANDLAPPER SECURITIES, LLC	CRD# 137906	PLEASANT HILL, CA
IA	01/14/2002 - 01/26/2012	PACIFIC WEST FINANCIAL CONSULTANTS INC	CRD# 108728	PLEASANT HILL, CA
B	12/03/2001 - 01/26/2012	PACIFIC WEST SECURITIES, INC.	CRD# 6390	WALNUT CREEK, CA
B	09/10/1998 - 12/12/2001	H. BECK, INC.	CRD# 1763	ROCKVILLE, MD
B	05/09/1990 - 10/08/1999	FSC SECURITIES CORPORATION	CRD# 7461	ATLANTA, GA
B	08/25/1989 - 05/16/1990	FIRST AFFILIATED SECURITIES, INC.	CRD# 23737	LA JOLLA, CA
B	08/04/1987 - 08/25/1989	PFG SECURITIES, INC.	CRD# 15401	
B	09/06/1984 - 08/03/1987	CHUBB SECURITIES CORPORATION	CRD# 3870	
B	01/25/1984 - 09/28/1984	NEL EQUITY SERVICES CORPORATION	CRD# 615	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/1989 - Present	TAX REDUCTION & INVESTMENT STRATAGIES, INC.	INSURANCE & PENSION CONSULTING	N	WALNUT CREEK, CA, United States
04/1989 - Present	Tax Reduction and Investment Strategies, Inc	President/Owner	Y	Las Vegas, NV, United States
05/2013 - 12/2017	DFPG INVESTMENTS, INC	REGISTERED REPRESENTATIVE	Y	SOUTH JORDAN, UT, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	PACIFIC WEST SECURITIES, INC.
Allegations:	CLAIMANT ALLEGES MATERIAL MISREPRESENTATION AND/OR FAILURE TO DISCLOSE, INVESTMENTS WERE HIGHLY INAPPROPRIATE, UNSUITABLE AND OVERLY CONCENTRATED AND THAT THE FIRM FAILED TO PERFORM ADEQUATE DUE DILIGENCE IN RELATION TO TIC PURCHASED IN THE FALL OF 2005.
Product Type:	Other: TIC
Alleged Damages:	$425,000.00

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	
Status:	
Status Date:	12/19/2012
Settlement Amount:	$45,000.00
Individual Contribution Amount:	$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	11-01652
Date Notice/Process Served:	05/12/2011
Arbitration Pending?	No
Disposition:	Other: SETTLED & RELEASED
Disposition Date:	01/29/2013
Monetary Compensation Amount:	$45,000.00
Individual Contribution Amount:	$0.00

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	FSC SECURITIES CORP.
Allegations:	MISREPRESENTATION AND FRAUDULENT CONCEALMENT OF FACTS; BREACH OF FIDUCIARY DUTY; NEGLIGENT MISREPRESENTATION; IOLATION OF CALIFORNIA SECURITIES CODE; AND NEGLIGENCE ALLEGATION FILED AGAINST ALL DEFENDANTS (DOES 1-1000) IN THIS LAWSUIT THAT IS ATTEMPTING TO CERTIFY AS A CLASS ACTION. THE ALLAGED UNSPECIFIED DAMAGES ARE SOUGHT FROM ALL DEFENDANTS
Product Type:	Direct Investment-DPP & LP Interests
Alleged Damages:	$1,500,000.00
Alleged Damages Amount Explanation (if amount not exact):	WAS 16 YEARS AGO AND SHOULD HAVE BEEN REVIEWED PRIOR TO NOW.

Customer Complaint Information

Date Complaint Received:	02/22/1995
Complaint Pending?	No
Status:	Settled
Status Date:	11/05/1996
Settlement Amount:	$1,500,000.00
Individual Contribution Amount:	$250.00

Civil Litigation Information

Type of Court:	State Court
Name of Court:	SUPERIOR COURT OF ORANGE COUNTY
Location of Court:	ORANGE COUNTY CALIFORNIA
Docket/Case #:	736124

Date Notice/Process Served:	02/22/1995
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	11/05/1996
Monetary Compensation Amount:	$1,250,000.00
Individual Contribution Amount:	$250.00
Broker Statement	THIS CLASS ACTION LAWSUIT NAMING ALL SELLING BROKERS AS DEFENDANTS WAS SETTLED BY NEGOTIATIONS WITH DAMAGES PAID BY THE E & B INSURANCE CARRIER TO ALL INVESTORS CHOOSING TO PARTICIPATE IN THE SETTLEMENT. THE SETTLEMENT AMOUNT WAS $1,250,000. THIS CLASS ACTION LAWSUIT WAS NOT FILED BY MY CLIENT AGAINST ME, NOR AM I RESPONSIBLE FOR THE PRODUCT FAILURE. I WAS MERELY NAMED ALONG WITH ALL OF THE OTHER NUMEROUS REPRESENTATIVES WHO SOLD THIS PRODUCT. I RELIED UPON THE REPRESENTATIONS OF THE PRODUCT SPONSOR. I WAS ALSO DEFRAUDED AND SUFFERED FINANCIAL LOSS AND DAMAGE TO ME PROFESSIONAL REPUTATION DUE TO NEGLIGENCE OF THE NUMBEROUS PARTIES TO THE TRANSACTIONS.

End of Report

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