



IAPD Report

ANTHONY FRANCIS BLAIR

CRD# 1257306

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANTHONY FRANCIS BLAIR (CRD# 1257306)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/21/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	J.W. COLE FINANCIAL, INC.	CRD# 124583	05/13/2013
IA	J. W. COLE ADVISORS, INC.	CRD# 112294	06/06/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	FINANCIAL ADVISERS OF AMERICA, LLC	142170	PHOENIX, AZ	05/29/2007 - 05/13/2013
B	GIRARD SECURITIES, INC.	18697	PHOENIX, AZ	07/02/2004 - 05/29/2007
B	SENTRA SECURITIES CORPORATION	10249	PHOENIX, AZ	02/01/1995 - 07/12/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **J. W. COLE ADVISORS, INC.**
Main Address: 4301 ANCHOR PLAZA PARKWAY
SUITE 450
TAMPA, FL 33634
Firm ID#: 112294

Regulator	Registration	Status	Date
IA Arizona	Investment Adviser Representative	Approved	06/16/2016
IA Texas	Investment Adviser Representative	Restricted Approval	10/22/2025

Branch Office Locations

J. W. COLE ADVISORS, INC.
10505 N 69th Street
Suite 1400
Scottsdale, AZ 85253

Employment 2 of 2

Firm Name: **J.W. COLE FINANCIAL, INC.**
Main Address: 4301 ANCHOR PLAZA PARKWAY
SUITE 450
TAMPA, FL 33634
Firm ID#: 124583

Regulator	Registration	Status	Date
B FINRA	Invest. Co and Variable Contracts	Approved	05/13/2013
B FINRA	Investment Co./Variable Contracts Prin	Approved	05/13/2013
B Arizona	Agent	Approved	05/16/2013
B California	Agent	Approved	05/23/2013



Qualifications

Regulator	Registration	Status	Date
B Colorado	Agent	Approved	05/16/2013
B Florida	Agent	Approved	05/16/2013
B Kansas	Agent	Approved	05/16/2013
B Maryland	Agent	Approved	05/16/2013
B Massachusetts	Agent	Approved	05/16/2013
B Michigan	Agent	Approved	05/16/2013
B Nevada	Agent	Approved	05/16/2013
B North Carolina	Agent	Approved	06/17/2025
B Ohio	Agent	Approved	05/16/2013
B South Carolina	Agent	Approved	05/31/2023
B Texas	Agent	Approved	05/16/2013
B Utah	Agent	Approved	05/16/2013
B Virginia	Agent	Approved	06/10/2025

Branch Office Locations

10505 N 69th Street
Suite 1400
Scottsdale, AZ 85253




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/14/1992

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/19/1984

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	05/25/2016
 Uniform Securities Agent State Law Examination (S63)	Series 63	05/02/1984

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/29/2007 - 05/13/2013	FINANCIAL ADVISERS OF AMERICA, LLC	CRD# 142170	PHOENIX, AZ
B	07/02/2004 - 05/29/2007	GIRARD SECURITIES, INC.	CRD# 18697	PHOENIX, AZ
B	02/01/1995 - 07/12/2004	SENTRA SECURITIES CORPORATION	CRD# 10249	PHOENIX, AZ
B	04/24/1984 - 01/23/1995	FIRST INVESTORS CORPORATION	CRD# 305	EDISON, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2016 - Present	J.W. Cole Advisors, Inc.	Investment Advisor Representative	Y	Tampa, FL, United States
05/2013 - Present	J.W. COLE FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	TAMPA, FL, United States
02/1993 - Present	Agrandar Associates, Inc.	President	Y	Phoenix, AZ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) A. PAUL A. MANSICA H2, UC B. NON-INVESTMENT RELATED C. ROCKY POINT, MEXICO D. CONDO E. MEMBER OF UC F.. 12/23/2014 H. 10-15 HRS/MONTH I. 0 TRADING HOURS/MONTH J. MANAGE RENTALS OF CONDO K. HAS MADE CERTAIN CLIENTS AWARE OF ITS AVAILABILITY.

(2) A. THE FLYING E RANCH B. NON-INVESTMENT RELATED C. 2801 W WICKENBURG WAY WICKENBURG, AZ 85390 D. DUDE RANCH E. LIMITED PARTNER F. 2/7/19 G. 2 HRS PER MONTH H. 0 DURING TRADING HRS.

(3) Agrandar Associates, Inc.; DBA; investment related; start date 2/1/1993 - owner/officer/agent; 10505 N 69th Street, Suite 1400, Scottsdale, AZ 85253; investment services/Fixed Life Insurance sales and supervision or agent; name; 160 hours per month, all during trading.

(4) WAL RP Developement, LLC; non-investment related; start date 3/21/2023 - partner; Puerto Penasco, Mexico; Low Income Home building; 0 hours per month during trading.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

(5) AFB Properties, LLC; Not investment related; 10505 North 69th Street, Ste 1400, Scottsdale, AZ 85253; Purchasing Real Estate; Limited Partner; I will be an investor in commercial and residential real estate; Start 7/28/2023; 0 hr./mo. during trading.

(6) BB Manufacturing, LLC; Not investment related; Hard money loan; 1850 N. Central Ave, Suite 1700, Phoenix, AZ 85004; Investor; Interest on hard money loan at 10% for 3 years then income based on 2.5% of net income going forward; Start 11/17/2023; 0 hours/month.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	08/26/1992
Docket/Case Number:	S-2889-I
Employing firm when activity occurred which led to the regulatory action:	
Product Type:	
Other Product Type(s):	
Allegations:	INDIVIDUALLY OR THROUGH SALESMEN UNDER COMMON CONTROL VIOLATED ARS 44-1991 SUPERVISORY DUTIES, PENALTY OF \$25,000.
Current Status:	Final
Resolution:	Consent
Resolution Date:	08/26/1992
Sanctions Ordered:	Cease and Desist/Injunction Monetary/Fine \$25,000.00 Suspension



Other Sanctions Ordered:

Sanction Details: Not Provided

Regulator Statement Not Provided

Reporting Source: Individual

Regulatory Action Initiated By: ARIZONA CORPORATION COMMISSION

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 08/26/1992

Docket/Case Number: S-2889-I

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):

Allegations: THE NOTICE OF OPPORTUNITY FOR HEARING IN THIS MATTER ALLEGES, AMONG OTHER THINGS, THAT REGISTRANT EITHER INDIVIDUALLY OR THROUGH SALESMEN UNDER HIS COMMON CONTROL VIOLATED A.R.S. SECTION 44-1991 OF THE ARIZONA SECURITIES ACT. THE REGISTRANT HAS REQUESTED A HEARING.

Current Status: Final

Resolution: Consent

Resolution Date: 08/26/1992

Sanctions Ordered: Cease and Desist/Injunction
Monetary/Fine \$25,000.00
Suspension

Other Sanctions Ordered:

Sanction Details: WITHOUT ADMITTING OR DENYING THE FINDINGS OF FACT AND CONCLUSIONS OF LAW CONTAINED IN THE ORDER OF CEASE AND DESIST; ORDER ASSESSING ADMINISTRATIVE PENALTY AND CONSENT TO SAME; ("ORDER"), REGISTRANT CONSENTED TO THE ENTRY OF THE ORDER BY THE ARIZONA CORPORATION COMMISSION. PURSUANT TO THE ORDER, THE REGISTRANT MUST REFRAIN FROM SUPERVISORY DUTIES FOR 60 DAYS FROM THE DATE OF THE ORDER; ASSESSED A FINE OF \$25,000; AND OBLIGATED TO SUCCESSFULLY RE-TAKE THE SERIES 26 EXAM.

Broker Statement Not Provided



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FINANCIAL ADVISERS OF AMERICA, LLC.

Allegations: CUSTOMER ALLEGES THAT REGISTERED REPRESENTATIVE MISREPRESENTED A VARIABLE ANNUITY CHANGE WHICH PRESENTED A LOSS IN DEATH BENEFIT AND INCREASED ANNUAL FEES.

Product Type: Annuity-Variable

Alleged Damages: \$8,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 03/04/2009

Complaint Pending? No

Status: Denied

Status Date: 05/06/2009

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Disposition: Denied

Disposition Date: 05/06/2009

Broker Statement AFTER A COMPLETE REVIEW OF THE PRODUCT DETAILS, THE FIRM DETERMINED THE CLIENT WAS NOT HARMED AND BENEFITED FROM THE NEW TRANSACTION.

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SENTRA SECURITIES CORPORATION

Allegations: REPRESENTATIVE PLACED CUSTOMER IN UNSUITABLE INVESTMENTS

Product Type: Annuity(ies) - Variable



Alleged Damages: \$18,571.28

Customer Complaint Information

Date Complaint Received:

Complaint Pending?

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD DR#03-03534

Date Notice/Process Served: 05/28/2003

Arbitration Pending? No

Disposition: Settled

Disposition Date: 10/10/2003

Monetary Compensation Amount: \$12,900.00

Individual Contribution Amount: \$0.00



End of Report

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