



IAPD Report

WILLIAM MEADE HASKINS JR

CRD# 1264179

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

WILLIAM MEADE HASKINS JR (CRD# 1264179)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/21/2022**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
IA INSIGHT ADVISORS, LLC	CRD# 168057	01/19/2017

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
B PURSHE KAPLAN STERLING INVESTMENTS	35747	Glen Allen, VA	01/19/2017 - 12/31/2022
IA WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	GLEN ALLEN, VA	01/09/2009 - 01/17/2017
B WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	GLEN ALLEN, VA	06/30/2006 - 01/17/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **INSIGHT ADVISORS, LLC**
Main Address: 10 N. STATE STREET
NEWTOWN, PA 18940
Firm ID#: 168057

Regulator	Registration	Status	Date
IA Virginia	Investment Adviser Representative	Approved	01/19/2017

Branch Office Locations

INSIGHT ADVISORS, LLC
4198 Cox Rd.
Suite 103
Glen Allen, VA 23060



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
National Commodity Futures Examination (S3)	Series 3	09/09/1988
Interest Rate Options Examination (S5)	Series 5	06/16/1988
General Securities Representative Examination (S7)	Series 7	07/21/1984

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	12/09/2008
Uniform Securities Agent State Law Examination (S63)	Series 63	06/13/1984

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/19/2017 - 12/31/2022	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Glen Allen, VA
IA	01/09/2009 - 01/17/2017	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	GLEN ALLEN, VA
B	06/30/2006 - 01/17/2017	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	GLEN ALLEN, VA
B	01/16/2001 - 06/30/2006	J.J.B. HILLIARD, W.L. LYONS, INC.	CRD# 453	RICHMOND, VA
B	04/01/1996 - 02/06/2001	FERRIS, BAKER WATTS INCORPORATED	CRD# 285	BALTIMORE, MD
B	03/13/1992 - 03/28/1996	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY
B	03/27/1989 - 03/20/1992	PAINWEBBER INCORPORATED	CRD# 8174	WEEHAWKEN, NJ
B	10/11/1985 - 04/04/1989	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	03/21/1985 - 10/29/1985	MML INVESTORS SERVICES, INC.	CRD# 10409	
B	07/26/1984 - 06/27/1985	HL SECURITIES, INC.	CRD# 10410	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2017 - Present	Insight Advisors, LLC d/b/a Benchmark Wealth Advisors, Inc	Investment Adviser Representative	Y	Newtown, PA, United States
01/2017 - Present	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
05/2009 - 01/2017	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	REGISTERED REP	Y	GLEN ALLEN, VA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Insight Advisors, LLC d/b/a Benchmark Wealth Advisors, Inc. Investment Related. 4198 Cox Road, Suite 103, Glen Allen, VA 23060. RIA. Financial Advisor. 01/2017. 180 Hrs/Mo; 140 Hrs/Mo During Trading Hours. Giving Clients Advice Regarding Securities.
2. CO-TRUSTEE FOR PARENTS IN-LAW, Investment Related. PO Box 356, GOOCHLAND, VA, 23063. Management of Trust Assets. Co-Trustee. 09/2008. 1 Hr/Mo; 0 During Trading Hours. Review Securities and Complete and File Annual Tax Returns.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	J.J.B. HILLIARD, W.L. LYONS, LLC
Allegations:	CLIENT ALLEGES VARIABLE ANNUITY WAS UNSUITABLE FOR HIS INCOME NEEDS. ING SENT A LETTER TO HILLIARD LYONS ON 04/07/2014 REQUESTING A WRITTEN STATEMENT FROM THE FIRM SINCE MR. HASKINS WAS EMPLOYEED HERE AT THE TIME WHEN THE ALLEGED ACTIVITY OCCURRED. HILLIARD LYONS IS PROVIDING INFORMATION TO ING PER THEIR WRITTEN REQUEST SO THAT THEY CAN FURTHER COMPLETE THE PROCESSING OF THE COMPLAINT SINCE CLIENT SENT LETTER DIRECTLY TO ING. PER ING LETTER, ING HAS ALREADY SENT A REQUEST DIRECTLY TO MR. HASKINS REQUESTING A WRITTEN STATEMENT SINCE HE IS NO LONGER AFFILIATED OR EMPLOYED WITH HILLIARD LYONS.
Product Type:	Annuity-Variable
Alleged Damages:	\$122,697.50
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC repairation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	04/07/2014
Complaint Pending?	No



Status: Closed/No Action

Status Date: 06/04/2014

Settlement Amount:

Individual Contribution Amount:

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: J.J.B. HILLIARD, W.L. LYONS, LLC

Allegations: CLIENT ALLEGES VARIABLE ANNUITY WAS UNSUITABLE FOR HIS INCOME NEEDS. ING SENT A LETTER TO HILLIARD LYONS ON 04/07/2014 REQUESTING A WRITTEN STATEMENT FROM THE FIRM SINCE MR. HASKINS WAS EMPLOYEED HERE AT THE TIME WHEN THE ALLEGED ACTIVITY OCCURRED. HILLIARD LYONS IS PROVIDING INFORMATION TO ING PER THEIR WRITTEN REQUEST SO THAT THEY CAN FURTHER COMPLETE THE PROCESSING OF THE COMPLAINT SINCE CLIENT SENT LETTER DIRECTLY TO ING. PER ING LETTER, ING HAS ALREADY SENT A REQUEST DIRECTLY TO MR. HASKINS REQUESTING A WRITTEN STATEMENT SINCE HE IS NO LONGER AFFILIATED OR EMPLOYED WITH HILLIARD LYONS

Product Type: Annuity-Variable

Alleged Damages: \$122,697.50

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/07/2014

Complaint Pending? No

Status: Closed/No Action

Status Date: 06/04/2014

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: FERRIS, BAKER WATTS, INC.

Allegations: CLIENT THROUGH HIS SON, ALLEGED UNSUITABLE INVESTMENTS AND UNDUE CONCENTRATION OF RISK IN SEVERAL UNRATED MUNICIPAL BONDS.



Product Type: Debt - Municipal

Other Product Type(s): ANNUITY

Alleged Damages: \$200,000.00

Customer Complaint Information

Date Complaint Received: 10/01/1999

Complaint Pending? No

Status: Settled

Status Date: 09/25/2001

Settlement Amount: \$74,035.67

Individual Contribution Amount: \$0.00

Firm Statement FBW ASSERTS THAT HASKINS IS LIABLE FOR 100% OF THE SETTLEMENT AMOUNT.

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FERRIS, BAKER, WATTS, INC.

Allegations: CUSTOMER ALLEGES THROUGH HIS SON UNSUITABLE INVESTMENTS AND UNDUE CONCENTRATION OF RISK IN SEVERAL UNRATED MUNI. BONDS.

Product Type: Debt - Municipal

Alleged Damages: \$200,000.00

Customer Complaint Information

Date Complaint Received: 10/01/1999

Complaint Pending? No

Status: Settled

Status Date: 09/25/2001

Settlement Amount: \$74,035.67

Individual Contribution Amount: \$0.00

Broker Statement I TOTALLY DISAGREE ANY UNSUITABILITY OR OVER CONCENTRATION OCCURED. I WAS UNAWARE OF THE COMPLAINT OR THE SETTLEMENT. I HAD NUMEROUS DISCUSSIONS WITH [CUSTOMER] OVER THE 12 YEARS I WORKED WITH HIM. REGARDING RISK AND HIS OBJECTIVES I RELIED AS DID THE CLIENT ON DUE DILIGENCE PROVIDED BY FERRIS, BAKER, WATTS AS UNDERWRITER.

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: PAINWEBBER



Allegations: ALLEGES MISREPRESENTATIONS, UNSUITABILITY & OVER-CONCENTRATION RE: LP PURCHASES TOTALING \$55K INCLUDING 3/9/90 NAT'L TAX CREDIT PARTNERS - \$20K & 3/12/90 - KRUPP V - \$35K.

Product Type:

Alleged Damages: \$55,000.00

Customer Complaint Information

Date Complaint Received: 12/12/1993

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$27,000.00

Individual Contribution Amount:

Firm Statement

PW AGREED TO PAY [CUSTOMER] \$27K IN EXCHANGE FOR A FULL RELEASE OF ALL CLAIMS. WE BELIEVE CLIENT WAS SOLICITED BY GOODMAN & NEKVASIL TO ASSERT CLAIM, THAT THIS FIRM HA OBTAINED MANY OF OUR LP LISTS & SOLICITED PW CLIENTS AROUND THE COUNTRY & THAT PW DOES NOT BELIEVE THAT ANY MISREPRESENTATIONS WERE MADE TO THE CLIENT.

PREPARED BY: [ATTORNEY] (305)

527-6330

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PAINWEBBER

Allegations: ALLEGED MISREPRESENTATIONS, UNSUITABILITY AND OVER - CONCENTRATION RE: LP PURCHASES TOTALLING \$55K INCLUDING

Product Type:

Alleged Damages: \$55,000.00

Customer Complaint Information

Date Complaint Received: 12/12/1993

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$27,000.00

Individual Contribution Amount:

Broker Statement

PAINWEBBER AGREED TO PAY [CUSTOMER] 27,000.00 IN EXCHANGE FOR FULL RELEASE. WE BELIEVE CLIENT WAS SOLICITED BY GOODMAN & NEKVASIL TO ASSERT CLAIM, THAT THIS FIRM HAS OBTAINED MANY OF OUR LP LISTS AND SOLICITED PW CLIENTS AROUND THE COUNTRY AND



THAT PW DOES NOT BELIEVE THAT ANY MISREPRESENTATIONS WERE
MADE TO CLIENT.



End of Report

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