IAPD Report

JULIE LYNN RUSSELL

CRD# 1270596

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JULIE LYNN RUSSELL (CRD# 1270596)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	10/29/2015
IA	ALLSTATE FINANCIAL ADVISORS, LLC	CRD# 109524	11/10/2015

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **10** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	METLIFE SECURITIES INC.	14251	WEST PALM BEACH, FL	01/02/2015 - 11/02/2015
IA	METLIFE SECURITIES INC.	14251	WEST PALM BEACH, FL	01/02/2015 - 11/02/2015
IA	NEW ENGLAND SECURITIES CORPORATION	615	WEST PALM BEACH, FL	01/25/2012 - 01/02/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

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Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **10** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ALLSTATE FINANCIAL SERVICES, LLC**

Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508-1380

Firm ID#: 18272

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	10/29/2015
B	FINRA	General Securities Representative	Approved	10/29/2015
B	Arkansas	Agent	Approved	10/29/2015
B	Florida	Agent	Approved	10/29/2015
B	Maine	Agent	Approved	06/12/2017
B	Maryland	Agent	Approved	09/11/2018
B	Massachusetts	Agent	Approved	11/27/2018
B	New Jersey	Agent	Approved	01/10/2017
B	New York	Agent	Approved	10/29/2015
B	South Carolina	Agent	Approved	01/12/2026
B	Tennessee	Agent	Approved	06/13/2017
B	Virginia	Agent	Approved	01/31/2023

Branch Office Locations

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Qualifications

3319 S State Road 7 Ste 306
Wellington, FL 33449-8147

Employment 2 of 2

Firm Name: **ALLSTATE FINANCIAL ADVISORS, LLC**

Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508

Firm ID#: 109524

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	11/10/2015

Branch Office Locations

ALLSTATE FINANCIAL ADVISORS, LLC
3319 S State Road 7 Ste 306
Wellington, FL 33449-8147

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	08/19/2003

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	National Commodity Futures Examination (S3)	Series 3	12/19/1988
B	General Securities Representative Examination (S7)	Series 7	06/16/1984

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	06/16/1992
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/30/1984

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/02/2015 - 11/02/2015	METLIFE SECURITIES INC.	CRD# 14251	WEST PALM BEACH, FL
IA	01/02/2015 - 11/02/2015	METLIFE SECURITIES INC.	CRD# 14251	WEST PALM BEACH, FL
IA	01/25/2012 - 01/02/2015	NEW ENGLAND SECURITIES CORPORATION	CRD# 615	WEST PALM BEACH, FL
B	01/06/2012 - 01/02/2015	NEW ENGLAND SECURITIES	CRD# 615	WEST PALM BEACH, FL
IA	12/10/2009 - 01/03/2012	NEWBRIDGE FINANCIAL SERVICES GROUP, INC.	CRD# 130814	WEST PALM BEACH, FL
B	10/30/2009 - 01/03/2012	NEWBRIDGE SECURITIES CORPORATION	CRD# 104065	BOCA RATON, FL
B	10/31/2005 - 11/03/2009	SAGEPOINT FINANCIAL, INC.	CRD# 133763	JUNO BEACH, FL
IA	10/31/2005 - 11/03/2009	SAGEPOINT FINANCIAL, INC.	CRD# 133763	JUNO BEACH, FL
IA	08/26/2002 - 10/31/2005	SENTRA SECURITIES CORP	CRD# 10249	JUNO BEACH, FL
B	08/16/2002 - 10/31/2005	SENTRA SECURITIES CORPORATION	CRD# 10249	PHOENIX, AZ
B	07/31/1993 - 08/28/2002	SALOMON SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY
IA	07/31/1993 - 08/28/2002	SALOMON SMITH BARNEY INC.	CRD# 7059	WELLINGTON, FL
B	11/10/1988 - 07/31/1993	LEHMAN BROTHERS INC.	CRD# 7506	NEW YORK, NY
B	11/25/1985 - 10/06/1987	FLAGLER SECURITIES, INC.	CRD# 16200	
B	09/03/1985 - 06/09/1987	MEDICAL RESEARCH MANAGEMENT GROUP, INC.	CRD# 16299	
B	10/01/1985 - 11/18/1985	GRUNTAL & CO. INCORPORATED	CRD# 372	
B	06/21/1984 - 10/01/1985	HERZFELD & STERN INC.	CRD# 406	

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

Registration Dates	Firm Name	ID#	Branch Location

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2015 - Present	Allstate Financial Advisors, LLC	Representative	Y	Lincoln, NE, United States
10/2015 - Present	Allstate Financial Services LLC	Agent	Y	Lincoln, NE, United States
10/2015 - Present	Allstate Insurance Co	Agent	N	Northbrook, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Julie Russell Inc.
President
duties:Modeling, acting. live theater film modeling;4 hours a month, none during market hours
not investment related
10/01/2010

EVERLAKE & LBL
POSITION: agent NATURE: servicing customer for allstate legacy business INVESTMENT RELATED: No NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 3 START DATE: 07/01/2025
ADDRESS: 12180 South Shore Blvd Suite 103, Wellington FL 33414, United States
DESCRIPTION: serviucing customers for allstate legacy business

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Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

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DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source: Individual

Charge Date: 06/15/1975

Charge Details:

Felony?

Current Status: Final

Status Date: 06/24/1975

Broker Statement DURING 1975, RUSSELL REGISTERED INTO A HOTEL IN TAMPA, FLORIDA ALONG WITH A FRIEND AS HIS GUEST. UNKNOWN TO RUSSELL, HER FRIEND CHARGED A SUBSTANTIAL AMOUNT OF FOOD AND DRINKS TO HER ROOM. WHEN THE HOTEL MANAGEMENT CONTACTED RUSSELL IN REFERENCE TO PAYMENT OF THE CHARGES, SHE ADVISED THEM THAT SHE DID NOT MAKE THE CHARGES, AND REFUSED TO BE RESPONSIBLE FOR THEM. AS A RESULT, RUSSELL WAS ARRESTED AND WAS ADVISED TO MAKE RESTITUTION. PRIOR TO TRIAL DATE, THE PARENTS OF RUSSELL'S FRIEND MADE FULL PAYMENT TO THE HOTEL. RUSSELL STATES THAT ALL CHARGES WERE DISMISSED SHEN SHE WENT TO COURT.

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End of Report

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