IAPD Report

TODD STUART MORGAN

CRD# 1296324

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

TODD STUART MORGAN (CRD# 1296324)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/12/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LASALLE ST SECURITIES, L.L.C.	CRD# 7191	05/12/1997
IA	LASALLE ST. INVESTMENT ADVISORS, L.L.C.	CRD# 109701	11/06/2006

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **28** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PRUDENTIAL SECURITIES INCORPORATED	7471	NEW YORK, NY	10/08/1984 - 05/23/1997
B	KOBRIN SECURITIES, INC.	10204	NEW YORK, NY	08/21/1984 - 10/23/1984

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **28** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LASALLE ST. INVESTMENT ADVISORS, L.L.C.**

Main Address: 940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

Firm ID#: 109701

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	12/21/2016
IA	California	Investment Adviser Representative	Approved	07/14/2011
IA	Colorado	Investment Adviser Representative	Approved	02/12/2026
IA	Florida	Investment Adviser Representative	Approved	04/02/2019
IA	Illinois	Investment Adviser Representative	Approved	11/06/2006
IA	Indiana	Investment Adviser Representative	Approved	12/16/2015
IA	Michigan	Investment Adviser Representative	Approved	06/22/2020
IA	Minnesota	Investment Adviser Representative	Approved	02/19/2014
IA	Nevada	Investment Adviser Representative	Approved	07/06/2020
IA	North Carolina	Investment Adviser Representative	Approved	12/09/2024
IA	Texas	Investment Adviser Representative	Restricted Approval	03/21/2025

Branch Office Locations

LASALLE ST. INVESTMENT ADVISORS, L.L.C.
14 S HICKORY ST
FRANKFORT, IL 60423

Qualifications

Employment 2 of 2

Firm Name: **LASALLE ST SECURITIES, L.L.C.**

Main Address: 940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

Firm ID#: 7191

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/12/1997
B	FINRA	General Securities Sales Supervisor	Approved	05/12/1997
B	FINRA	General Securities Principal	Approved	12/15/2004
B	Alabama	Agent	Approved	01/02/2024
B	Arizona	Agent	Approved	06/02/1997
B	California	Agent	Approved	07/05/2006
B	Colorado	Agent	Approved	07/10/2006
B	Florida	Agent	Approved	01/14/2002
B	Georgia	Agent	Approved	02/15/2017
B	Illinois	Agent	Approved	05/13/1997
B	Indiana	Agent	Approved	09/05/1997
B	Iowa	Agent	Approved	07/05/2006
B	Louisiana	Agent	Approved	10/25/2018
B	Michigan	Agent	Approved	08/08/1997
B	Minnesota	Agent	Approved	08/09/2013
B	Missouri	Agent	Approved	08/01/2018

Qualifications

	Regulator	Registration	Status	Date
B	Nevada	Agent	Approved	07/02/1997
B	New Jersey	Agent	Approved	02/03/2005
B	New Mexico	Agent	Approved	11/07/2016
B	New York	Agent	Approved	06/15/2023
B	North Carolina	Agent	Approved	07/06/2006
B	Ohio	Agent	Approved	07/05/2006
B	Oregon	Agent	Approved	01/10/2022
B	Pennsylvania	Agent	Approved	02/24/2025
B	South Carolina	Agent	Approved	01/10/2022
B	South Dakota	Agent	Approved	01/05/2023
B	Tennessee	Agent	Approved	09/20/2021
B	Texas	Agent	Approved	05/09/2018
B	Virginia	Agent	Approved	01/18/2001
B	Washington	Agent	Approved	03/20/2020
B	Wisconsin	Agent	Approved	07/17/1997

Branch Office Locations

14 S HICKORY STREET
FRANKFORT, IL 60423

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
B	General Securities Principal Examination (S24)	Series 24	12/14/2004
B	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	07/30/1996

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/18/1984

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/15/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	01/30/1985

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/08/1984 - 05/23/1997	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY
B	08/21/1984 - 10/23/1984	KOBRIN SECURITIES, INC.	CRD# 10204	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/1997 - Present	LA SALLE ST. SECURITIES, INC.	NOT PROVIDED	Y	FRANKFORT, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

LASALLE ST. INVESTMENT ADVISORS, L.L.C.- RIA;

FIXED INSURANCE;

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	3

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Regulator
Regulatory Action Initiated By:	IN
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	09/05/1997
Docket/Case Number:	97-0104 OP
Employing firm when activity occurred which led to the regulatory action:	LA SALLE ST. SECURITIES, INC.
Product Type:	
Other Product Type(s):	
Allegations:	TODD MORGAN AND LA SALLE STREET SECURITIES, INC. HAVE AGREED TO BE BOUND BY A RESTRICTIVE AGREEMENT THAT REQUIRES STRICT SUPERVISION OF MORGAN BY A REGISTERED PRINCIPAL PHYSICALLY PRESENT IN THE SAME OFFICE. MORGAN IS ALSO PROHIBITED FROM EXERCISING ANY DISCRETIONARY AUTHORITY OVER ANY INDIANA CUSTOMER ACCOUNTS. MORGAN HAS BEEN APPROVED FOR EGISTRATION AS AN AGENT IN THE STATE OF INDIANA SUBJECT TO THEE ABOVE AGREEMENT.
Current Status:	Final

Resolution:	Stipulation and Consent
Resolution Date:	09/05/1997
Sanctions Ordered:	
Other Sanctions Ordered:	
Sanction Details:	APPROVED BY RESTRICTIVE AGGREMENT.
Regulator Statement	CONTACT: JEFFREY M. GERSHON, 317/232-6681

Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF INDIANA
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	09/05/1997
Docket/Case Number:	97-0104 OP
Employing firm when activity occurred which led to the regulatory action:	LA SALLE ST. SECURITIES, INC.
Product Type:	
Other Product Type(s):	
Allegations:	NONE
Current Status:	Final
Resolution:	Stipulation and Consent
Resolution Date:	09/05/1997
Sanctions Ordered:	
Other Sanctions Ordered:	
Sanction Details:	REGISTRATION SUBJECT TO CERTAIN CONDITIONS (SEE ATTACHMENT)
Broker Statement	Not Provided

Disclosure 2 of 2

Reporting Source:	Regulator
Regulatory Action Initiated By:	NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	02/08/1991
Docket/Case Number:	C8A910009

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):

Allegations:

Current Status: Final

Resolution: Consent

Resolution Date: 01/14/1992

Sanctions Ordered: Censure
Monetary/Fine $5,000.00

Other Sanctions Ordered:

Sanction Details:

Regulator Statement

COMPLAINT NO. C8A910009 (DISTRICT NO. 8) FILED FEBRUARY 8,
1991 AGAINST RESPONDENTS G. GRANT PHELAN AND TODD S. MORGAN
ALLEGING VIOLATIONS OF ARTICLE III, SECTION 1 OF THE RULES OF
FAIR PRACTICE IN THAT RESPONDENTS PHELAN AND/OR MORGAN
EFFECTED
SECURITIES TRANSACTIONS IN THE ACCOUNTS OF PUBLIC CUSTOMERS
WITHOUT THE CUSTOMERS' KNOWLEDGE OR CONSENT.

JANUARY 2, 1992 - NOTICE TO WITHDRAW COMPLAINT AS TO
RESPONDENT
PHELAN WAS APPROVED.

DECISION RENDERED JANUARY 14, 1992, WHEREIN THE OFFER OF
SETTLEMENT SUBMITTED BY RESPONDENT MORGAN WAS ACCEPTED;
THEREFORE, RESPONDENT MORGAN IS CENSURED AND FINED $5,000.
THE
SECOND CAUSE OF COMPLAINT WAS DISMISSED SINCE RESPONDENT
PHELAN
WAS AN INTEGRAL PART OF THE ALLEGATIONS IN THAT CAUSE.
$5,000.00 PAID ON 3/2/92 INVOICE #92-8A-82

Reporting Source: Individual

Regulatory Action Initiated By: NASD DISTRICT BUSINESS CONDUCT COMMITTEE FOR DISTRICT 8

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 02/08/1991

Docket/Case Number: C8A910009

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):	
Allegations:	THE FIRST CAUSE ALLEGES THAT TOD MORGAN OR ANOTHER INDIVIDUAL, NOW DECEASED, CAUSED TWO UNAUTHORIZED TRADES IN A CLIENT'S ACCOUNT ON DECEMBER 5, 1988 AND DECEMBER 15, 1988 RESPECTIVELY. THE SECOND CAUSE ALLEGES THAT ON MARCH 26, 1987 TODD MORGAN OR THE OTHER INDIVIDUAL CAUSED AN UNAUTHORIZED TRADE IN A SECOND CLIENT'S ACCOUNT.
Current Status:	Final
Resolution:	Consent
Resolution Date:	01/14/1992
Sanctions Ordered:	Censure Monetary/Fine $5,000.00
Other Sanctions Ordered:	
Sanction Details:	TODD MORGAN CONSENTED, WITHOUT ADMITTING OR DENYING THE ALLEGATIONS OF THE COMPLAINT TO FINDINGS OF FACT CONSISTENT WITH THE ALLEGATIONS OF CAUSE ONE OF THE COMPLAINT AND TO THE DISMISSAL OF CAUSE TWO OF THE COMPLAINT. TODD MORGAN HAS FURTHER CONSENTED TO THE IMPOSITION BY THE COMMITTEE OF A CENSURE AND A FINE IN THE AMOUNT OF $5,000.
Broker Statement	Not Provided

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES INCORPORATED
Allegations:	BRCH OF FIDUCIARY DT; SUITABILITY; ACCOUNT RELATED-NEGLIGENCE; ACCOUNT RELATED - FAILURE TO SUPERVISE
Product Type:	
Alleged Damages:	$9,670.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	UNKNOWN - CASE #94-00643
Date Notice/Process Served:	03/09/1994
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	03/22/1995
Disposition Detail:	CASE CLOSED,SETTLED/OTHER ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST HAS BEEN DENIED IN FULL; INTEREST, RELIEF REQUEST HAS BEEN DENIED IN FULL; ATTORNEY'S FEES, RELIEF REQUEST HAS BEEN DENIED IN FULL; OTHER COSTS, RELIEF REQUEST HAS BEEN DENIED IN FULL; INTEREST, RELIEF REQUEST HAS BEEN DENIED IN FULL

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES INCORPORATED
Allegations:	BREACH OF FIDUCIARY DUTY; SUITABILITY; ACCOUNT RELATED NEGLIGENCE; ACCOUNT RELATED-FAILURE TO SUPERVISE. ALLEGED DAMAGES ARE $9,670.
Product Type:	
Alleged Damages:	$9,670.00

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	No
Status:	Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	National Assoc. of Securities Dealers; 94-00643
Date Notice/Process Served:	03/09/1994
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	03/22/1995
Broker Statement	ALL OF THE ALLEGATIONS AGAINST MR. MORGAN WERE DISMISSED BY THE ARBITRATOR. THE DECISION RENDERED BY THE ARBITRATOR, DISMISSING EVERY ALLEGATION BROUGHT AGAINST ME BY THE CLAIMANT, EVIDENCES THE COMPLETE AND TOTAL LACK OF MERIT OF SUBSTANCE TO THE FRIVOLOUS CLAIM THAT I MISHANDLED THE ACCOUNT.

Disclosure 2 of 3

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES, INC.
Allegations:	MISREPRESENTATION; BRCH OF FIDUCIARY DT; SUITABILITY
Product Type:	
Alleged Damages:	$24,342.45

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	UNKNOWN - CASE #93-02748
Date Notice/Process Served:	08/12/1993
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	05/06/1994
Disposition Detail:	CASE CLOSED,SETTLED/OTHER Not Provided

Reporting Source:	Individual

Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES, INC.
Allegations:	CLAIMANTS ALLEGE UNSUITABLE RECOMMENDATIONS AND MISREPRESENTATIONS IN CONNECTION WITH THEIR INVESTMENT IN PBEI. (PRUDENTIAL BACHE ENERGY INCOME LIMITED PARTNERSHIP)
Product Type:	
Alleged Damages:	$24,342.45

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	National Association of Securities Dealers, Inc.; 93-02748
Date Notice/Process Served:	08/12/1993
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	05/06/1994
Monetary Compensation Amount:	$18,000.00
Individual Contribution Amount:	$0.00
Broker Statement	SETTLED, WITHOUT ADMITTING LIABILITY, FOR $18,000. MORGAN WAS NOT ASKED TO CONTRIBUTE TO THE SETTLEMENT. IN MY OPINION MY FIRM SETTLED THIS CASE FOR BUSINESS REASONS, SIMILARLY TO HOW THEY ARE SETTLING THOUSANDS OF OTHERS NOT BECAUSE I MISREPRESENTED OR THAT THE INVESTMENT WAS UNSUITABLE. I PERSONALLY WAS HOPEFUL THAT AN ARBITRATION WOULD HAVE VINDICATED ME.

Disclosure 3 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	
Allegations:	CLIENT ALLEGES THAT TWO INVESTMENTS WERE NOT AUTHORIZED ALLEGED DAMAGES 44,000.00.

Product Type:	
Alleged Damages:	$44,000.00

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	National Association of Securities Dealers, Inc.
Date Notice/Process Served:	10/15/1990
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	05/01/1991
Monetary Compensation Amount:	$44,000.00
Individual Contribution Amount:	
Broker Statement	CLIENTS INVESTMENTS WERE TAKEN BACK AND CLIENT MADE WHOLE. Not Provided

End of Report

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