



IAPD Report

SHARON ELAINE SYKES

CRD# 1334948

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

SHARON ELAINE SYKES (CRD# 1334948)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/09/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ELE WEALTH SOLUTIONS, INC.	CRD# 38931	02/25/2020
IA	ELE ADVISORY SERVICES, INC	CRD# 148228	02/25/2020

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **24** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	INNOVATION PARTNERS LLC	146344	Centennial, CO	08/03/2016 - 02/25/2020
IA	INNOVATION PARTNERS LLC	146344	Centennial, CO	04/15/2016 - 02/25/2020
IA	IP FINANCIAL ADVISORY SERVICES LLC	305772	Centennial, CO	01/14/2020 - 02/24/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **24** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ELE ADVISORY SERVICES, INC**

Main Address: 18700 W. 10 MILE ROAD
SUITE 100
SOUTHFIELD, MI 48075

Firm ID#: 148228

	Regulator	Registration	Status	Date
IA	Alabama	Investment Adviser Representative	Approved	11/09/2023
IA	Arizona	Investment Adviser Representative	Approved	07/09/2020
IA	California	Investment Adviser Representative	Approved	04/21/2022
IA	Colorado	Investment Adviser Representative	Approved	02/25/2020
IA	Connecticut	Investment Adviser Representative	Approved	02/25/2020
IA	Florida	Investment Adviser Representative	Approved	02/28/2020
IA	Georgia	Investment Adviser Representative	Approved	12/16/2022
IA	Illinois	Investment Adviser Representative	Approved	01/16/2026
IA	Iowa	Investment Adviser Representative	Approved	02/13/2025
IA	Massachusetts	Investment Adviser Representative	Approved	06/29/2020
IA	Minnesota	Investment Adviser Representative	Approved	02/25/2020
IA	Missouri	Investment Adviser Representative	Approved	07/06/2020
IA	North Carolina	Investment Adviser Representative	Approved	11/24/2021



Qualifications

	Regulator	Registration	Status	Date
IA	North Dakota	Investment Adviser Representative	Approved	03/03/2020
IA	Oklahoma	Investment Adviser Representative	Approved	09/30/2021
IA	South Dakota	Investment Adviser Representative	Approved	03/11/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	02/08/2023
IA	Virginia	Investment Adviser Representative	Approved	11/22/2024
IA	Washington	Investment Adviser Representative	Approved	03/04/2021
IA	Wisconsin	Investment Adviser Representative	Approved	02/07/2023
IA	Wyoming	Investment Adviser Representative	Approved	03/22/2021

Branch Office Locations

ELE ADVISORY SERVICES, INC
Peachtree City, GA

Employment 2 of 2

Firm Name: **ELE WEALTH SOLUTIONS, INC.**
Main Address: 18700 W. 10 MILE ROAD, STE 100
SOUTHFIELD, MI 48075
Firm ID#: 38931

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/25/2020
B	FINRA	Invest. Co and Variable Contracts	Approved	02/25/2020
B	Arizona	Agent	Approved	02/25/2020
B	Colorado	Agent	Approved	02/25/2020
B	Connecticut	Agent	Approved	02/25/2020



Qualifications

	Regulator	Registration	Status	Date
B	Florida	Agent	Approved	02/25/2020
B	Georgia	Agent	Approved	12/05/2023
B	Illinois	Agent	Approved	02/25/2020
B	Minnesota	Agent	Approved	04/10/2020
B	Missouri	Agent	Approved	03/20/2020
B	Nebraska	Agent	Approved	08/23/2022
B	North Dakota	Agent	Approved	03/17/2020
B	Oklahoma	Agent	Approved	09/30/2021
B	Pennsylvania	Agent	Approved	11/01/2024
B	South Carolina	Agent	Approved	02/15/2022
B	Texas	Agent	Approved	02/25/2020
B	Virginia	Agent	Approved	03/19/2020
B	Washington	Agent	Approved	02/26/2021

Branch Office Locations

277 Hwy 74 North
Suite 314
Peachtree City, GA 30269



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/04/1988

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	01/04/2017
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/03/2016

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	08/05/2016



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/03/2016 - 02/25/2020	INNOVATION PARTNERS LLC	CRD# 146344	Centennial, CO
IA	04/15/2016 - 02/25/2020	INNOVATION PARTNERS LLC	CRD# 146344	Centennial, CO
IA	01/14/2020 - 02/24/2020	IP FINANCIAL ADVISORY SERVICES LLC	CRD# 305772	Centennial, CO
IA	07/20/2015 - 01/25/2016	1ST CONSUMER ADVISORY GROUP LLC	CRD# 169633	GREENWOOD VILLAGE
IA	10/24/2011 - 07/22/2015	NEPSIS CAPITAL MANAGEMENT, INC.	CRD# 141203	PALM HARBOR, FL
B	04/26/2002 - 07/25/2003	KOVACK SECURITIES INC.	CRD# 44848	FT. LAUDERDALE, FL
B	04/01/1999 - 09/25/2000	JONATHAN ROBERTS FINANCIAL GROUP, INC.	CRD# 46285	TAMPA, FL
B	05/15/1997 - 04/01/1999	SOUTHERN CAPITAL SECURITIES, INC.	CRD# 27188	TAMPA, FL
B	01/01/1996 - 05/12/1997	FSC SECURITIES CORPORATION	CRD# 7461	ATLANTA, GA
B	04/25/1995 - 01/01/1996	ANDOVER SECURITIES, INC.	CRD# 16903	KANSAS CITY, MO
B	08/22/1994 - 04/25/1995	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	06/25/1991 - 07/07/1994	USLIFE EQUITY SALES CORP.	CRD# 7962	NEW YORK, NY
B	01/23/1985 - 06/20/1991	FIRST AMERICAN NATIONAL SECURITIES, INC.	CRD# 10111	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2020 - Present	ELE Advisory Services, Inc.	Investment Advisor Representative	Y	Southfield, MI, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2020 - Present	ELE Wealth Solutions, Inc.	Registered Representative	Y	Southfield, MI, United States
06/2010 - Present	Self-Employed	Self-Employed	N	Highland Ranch, CO, United States
12/2019 - 02/2020	IP Financial Advisory Services	Investment Advisor Representative	Y	Charlotte, NC, United States
04/2016 - 02/2020	Innovation Partners LLC	Investment Advisor Representative	Y	Charlotte, NC, United States
07/2015 - 02/2020	1ST CONSUMER INSURANCE AGENCY INC.	FINANCIAL ADVISOR	Y	GREENWOOD VILLAGE, CO, United States
06/2012 - 10/2018	Financial Counseling Services (FCS) LLC	MEMBER	N	ELLENTON, CO, United States
07/2015 - 12/2016	1ST CONSUMER FINANCIAL SOLUTIONS INC AND ITS AFFILIATES	ACCOUNT EXECUTIVE	Y	GREENWOOD VILLAGE, CO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 1st Consumer Insurance Agency Inc., 12200 E Briarwood Ave. Suite 165 Centennial CO 80112. Independent contractor and sells fixed life insurance with various insurance carriers. 08/2015 (25 Hrs/Mth).
2. Financial Wealth Solutions Inc, 12200 E Briarwood Ave. Suite 165 Centennial CO 80112 - market and support growth and development of financial services business - Start Date: 01/2017 (120 Hrs/Mth).
3. ELE Advisory Services, Inc. 18700 W 10 Mile Rd, Ste.100 Southfield, MI 48075. Registered Investment advisor. Provides financial advice. 02/2020 (80 Hrs/Mth).



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	JONATHAN ROBERTS FINANCIAL GROUP
Termination Type:	Discharged
Termination Date:	09/25/2000
Allegations:	VIOLATION OF WRITTEN SUPERVISORY PROCEDURES REGARDING OUTSIDE BUSINESS ACTIVITIES.
Product Type:	No Product
Broker Statement	THE ALLEGATIONS WERE FALSE. THE NASD, INC. INVESTIGATION DID NOT PROVIDE SUPPORT, NOR HAS THEREEVER BEEN A CUSTOMER COMPLAINT OR ANY OTHER EVIDENCE TO SUPPORT THESE CLAIMS. Spoke to FINRA regarding the situation and was told to request and expungement through the FINRA site.



End of Report

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