



## IAPD Report

# BRENT ALLEN MCCUNE

CRD# 1342845

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### BRENT ALLEN MCCUNE (CRD# 1342845)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/17/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	WEALTHPLAN INVESTMENT MANAGEMENT LLC	CRD# 311364	05/01/2025

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	OSAIC WEALTH, INC.	23131	Overland Park, KS	06/14/2024 - 06/17/2025
IA	WEALTHPLAN PARTNERS	305026	Overland Park, KS	01/16/2020 - 06/17/2025
B	SECURITIES AMERICA, INC.	10205	Overland Park, KS	11/10/2016 - 06/14/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **WEALTHPLAN INVESTMENT MANAGEMENT LLC**

Main Address: 101 S 108TH AVE  
3RD FLOOR  
OMAHA, NE 68154

Firm ID#: 311364

Regulator	Registration	Status	Date
IA Kansas	Investment Adviser Representative	Approved	05/01/2025
IA Texas	Investment Adviser Representative	Restricted Approval	05/02/2025

#### Branch Office Locations

##### **WEALTHPLAN INVESTMENT MANAGEMENT LLC**

9001 W. 110th St. Suite 280  
Overland Park, KS 66210



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

#### General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/14/1985

#### State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	11/16/2000
B Uniform Securities Agent State Law Examination (S63)	Series 63	03/20/1985

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	06/14/2024 - 06/17/2025	OSAIC WEALTH, INC.	CRD# 23131	Overland Park, KS
	01/16/2020 - 06/17/2025	WEALTHPLAN PARTNERS	CRD# 305026	Overland Park, KS
	11/10/2016 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	Overland Park, KS
	05/25/2016 - 07/10/2020	WEALTHPLAN PARTNERS	CRD# 158935	Overland Park, KS
	09/08/2009 - 11/15/2016	LPL FINANCIAL LLC	CRD# 6413	OVERLAND PARK, KS
	09/08/2009 - 11/15/2016	LPL FINANCIAL LLC	CRD# 6413	OVERLAND PARK, KS
	03/26/2008 - 09/08/2009	MUTUAL SERVICE CORPORATION	CRD# 4806	OVERLAND PARK, KS
	03/20/2008 - 09/08/2009	MUTUAL SERVICE CORPORATION	CRD# 4806	OVERLAND PARK, KS
	10/01/2002 - 03/24/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	OVERLAND PARK, KS
	05/15/2001 - 03/24/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	OVERLAND PARK, KS
	01/18/1996 - 10/01/2002	FRANKLIN FINANCIAL SERVICES CORPORATION	CRD# 5435	HOUSTON, TX
	10/11/1995 - 12/20/1995	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
	04/17/1995 - 10/24/1995	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
	04/17/1995 - 10/24/1995	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN
	02/08/1995 - 04/19/1995	MONY SECURITIES CORP.	CRD# 4386	NEW YORK, NY
	02/06/1986 - 01/30/1995	N.I.S. FINANCIAL SERVICES, INC.	CRD# 5361	KANSAS CITY, MO
	03/15/1985 - 01/22/1986	FIRST INVESTORS CORPORATION	CRD# 305	



## Registration & Employment History

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REP	Y	Overland Park, KS, United States
01/2020 - Present	WealthPLAN Partners, LLC	Investment Advisor Representative	Y	Omaha, NE, United States
11/2016 - 06/2024	SECURITIES AMERICA, INC.	REGISTERED REPRESENTATIVE	Y	OVERLAND PARK, KS, United States
05/2016 - 01/2020	WealthPLAN Partners, Inc.	Invesment Adviser Representative	Y	Omaha, NE, United States
09/2009 - 11/2016	LPL FINANCIAL, LLC	FINANCIAL ADVISOR	Y	OVERLAND PARK, KS, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### 1. INSURANCE SALES

POSITION: Sales NATURE: Insurance Sales to current investment and advisory clients and prospects. INVESTMENT RELATED: No

NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 1 START DATE: 07/11/2001

ADDRESS: 9001 West 110th Street, Suite 280, OVERLAND PARK KS 66210

DESCRIPTION: Life insurance sales to current and prospect clients.

#### 2. OLATHE CHAMBER OF COMMERCE

POSITION: Volunteer NATURE: Olathe Chamber of Commerce INVESTMENT RELATED: Yes NUMBER OF HOURS: 3

SECURITIES TRADING HOURS: 3 START DATE: 07/01/2013

ADDRESS: 18001 @ 106th St, Olathe KS 66061

DESCRIPTION: I'm a volunteer on the Olathe Economic Development Committee

#### 3. ULLOM REV LIV TRUST POA

POSITION: Manage the finances NATURE: POA of a relative INVESTMENT RELATED: No NUMBER OF HOURS: 10

SECURITIES TRADING HOURS: 0 START DATE: 01/01/2015

ADDRESS: 10890 S Hastings St, Olathe KS 66061, United States

DESCRIPTION: I am the POA for my uncle, Mr. Ira L. Ullom. Iam responsible for paying all billshis personal bills and all farming expenses in Western Kansas. He currently lives in a long term care facility in Wichita, KS.

11/13/18 He recently passed away in Wichita.

#### 4. BAM RESOURCES, LLC HOUSE RENTAL

POSITION: Manager NATURE: As of May 2019 I/BAM Resourcesno longer own or manages investment property of any kind.

INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/01/2005

ADDRESS: 10890 S Hastings St, Olathe KS 66061, United States

DESCRIPTION: As of May 2019 I do not own or manage any real estate investment properties.

#### 5. BOYS AND GIRLS CLUBS OF GREATER KANSAS CITY

POSITION: ex officio Board Member NATURE: I'm serve as an Advisory Board Member of the Boys and Girls Clubs of Kansas



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

City. This OBA was reported earlier and with our previous BD. INVESTMENT RELATED: No NUMBER OF HOURS: 4  
SECURITIES TRADING HOURS: 0 START DATE: 07/01/2010  
ADDRESS: 4001 Blue Parkway, Suite 102, Kansas City MO 64130, United States  
DESCRIPTION: I assist with carrying out the mission and work of the stated goals of our local club.

#### 6. WEALTH PLAN PARTNERS

POSITION: Advisor NATURE: Investment Advisory INVESTMENT RELATED: Yes NUMBER OF HOURS: 50 SECURITIES TRADING HOURS: 50 START DATE: 10/28/2016  
ADDRESS: 101 S. 108th Ave, Second Floor, Omaha NE 68154, United States  
DESCRIPTION: I will provide investment advice to my clients.

#### 7. COBLE MCCUNE WEALTH MGMT

POSITION: President NATURE: COBLE MCCUNE Wealth Mgmt, this is our DBA INVESTMENT RELATED: Yes NUMBER OF HOURS: 200 SECURITIES TRADING HOURS: 150 START DATE: 07/11/2001  
ADDRESS: 9001 West 110th Street, Suite 280, OVERLAND PARK KS 66210, United States  
DESCRIPTION: I provided financial planning advice.

#### 8. NOTARY

POSITION: Notary NATURE: I applied and obtained my notary appointment. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 02/01/2016  
ADDRESS: 9001 West 110th Street Suite 2, Suite 280, Overland Park KS 66210, United States  
DESCRIPTION: I'm a notary.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERICAN GENERAL SECURITIES INC.
Allegations:	UNSUITABLE SALE
Product Type:	Annuity(ies) - Variable
Alleged Damages:	\$15,000.00

### Customer Complaint Information

Date Complaint Received: 12/03/2002

Complaint Pending? No

Status: Denied

Status Date: 12/30/2002

Settlement Amount:

Individual Contribution Amount:



## End of Report

This page is intentionally left blank.