IAPD Report

Lawrence Charles DiBartolomeo

CRD# 13429

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Lawrence Charles DiBartolomeo (CRD# 13429)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/30/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/24/2025
IA	OSAIC WEALTH, INC.	CRD# 23131	01/24/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **29** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	OSAIC FA, INC.	3978	ORANGE VILLAGE, OH	01/01/2000 - 01/24/2025
B	OSAIC FA, INC.	3978	ORANGE VILLAGE, OH	06/16/1999 - 01/24/2025
B	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	2580	FORT WAYNE, IN	06/16/1999 - 07/08/1999

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **29** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/24/2025
B	Arizona	Agent	Approved	01/24/2025
B	California	Agent	Approved	01/24/2025
B	Colorado	Agent	Approved	01/24/2025
B	Florida	Agent	Approved	01/24/2025
B	Georgia	Agent	Approved	01/24/2025
B	Idaho	Agent	Approved	01/24/2025
B	Illinois	Agent	Approved	01/24/2025
B	Indiana	Agent	Approved	01/24/2025
B	Iowa	Agent	Approved	01/24/2025
B	Kentucky	Agent	Approved	01/24/2025
B	Maryland	Agent	Approved	01/24/2025
B	Michigan	Agent	Approved	01/24/2025

Qualifications

	Regulator	Registration	Status	Date
B	Minnesota	Agent	Approved	01/24/2025
B	Missouri	Agent	Approved	01/24/2025
B	Montana	Agent	Approved	01/24/2025
B	Nevada	Agent	Approved	01/24/2025
B	New Jersey	Agent	Approved	01/24/2025
B	New York	Agent	Approved	01/24/2025
B	North Carolina	Agent	Approved	01/24/2025
B	Ohio	Agent	Approved	01/24/2025
IA	Ohio	Investment Adviser Representative	Approved	01/24/2025
B	Pennsylvania	Agent	Approved	01/24/2025
B	South Carolina	Agent	Approved	01/24/2025
B	South Dakota	Agent	Approved	01/24/2025
B	Texas	Agent	Approved	01/24/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	01/24/2025
B	Utah	Agent	Approved	01/24/2025
B	Virginia	Agent	Approved	01/24/2025
B	Washington	Agent	Approved	01/24/2025
B	West Virginia	Agent	Approved	01/24/2025

Qualifications

	Regulator	Registration	Status	Date
B	Wisconsin	Agent	Approved	01/24/2025

Branch Office Locations

OSAIC WEALTH, INC.
100 PARK AVENUE
SUITE 425
ORANGE VILLAGE, OH 44122-4242

OSAIC WEALTH, INC.
Solon, OH

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	12/30/1986

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Registered Representative Examination (S1)	Series 1	08/19/1972

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/11/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/01/2000 - 01/24/2025	OSAIC FA, INC.	CRD# 3978	ORANGE VILLAGE, OH
B	06/16/1999 - 01/24/2025	OSAIC FA, INC.	CRD# 3978	ORANGE VILLAGE, OH
B	06/16/1999 - 07/08/1999	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN
B	03/22/1996 - 06/16/1999	EQUITY SERVICES, INC.	CRD# 265	MONTPELIER, VT
B	11/09/1988 - 03/26/1996	SUN INVESTMENT SERVICES COMPANY	CRD# 5496	WELLESLEY HILLS, MA
B	02/02/1984 - 11/30/1988	INVESTMENT MANAGEMENT & RESEARCH, INC	CRD# 6694	
B	02/07/1983 - 02/02/1984	SUNCAN EQUITY SERVICES COMPANY	CRD# 5496	
B	10/06/1976 - 12/28/1982	INVESTMENT MANAGEMENT & RESEARCH, INC	CRD# 6694	
B	08/20/1976 - 11/01/1976	CHRISTIAN FINANCIAL PLANNING CO.	CRD# 5820	
B	03/04/1976 - 11/01/1976	INTERNATIONAL SECURITIES CORP.	CRD# 430	
B	07/14/1975 - 10/25/1975	CAPITAL ANALYSTS, INCORPORATED	CRD# 5478	
B	04/24/1975 - 07/18/1975	INVESTMENT MANAGEMENT & RESERACH INC	CRD# 1000003	
B	08/24/1972 - 07/18/1975	FINANCIAL SERVICE CORPORATION OF AMERICA	CRD# 292	
B	08/24/1972 - 04/15/1974	FUNDAMENTAL SERVICE CORPORATION	CRD# 337	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	ORANGE VILLAGE, OH, United States
06/2022 - 01/2025	LINCOLN FINANCIAL ADVISORS CORPORATION	REGISTERED REPRESENTATIVE	Y	ORANGE VILLAGE, OH, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/1999 - 06/2022	LINCOLN FINANCIAL ADVISORS CORPORATION	REGISTERED REPRESENTATIVE	Y	CLEVELAND, OH, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) DIBART INSURANCE AGENCY CORPORATION
29280 NORTH PARK BLVD
SOLON, OH 44139
CATEGORY: GROUP INSURANCE, GROUP BENEFITS CONSULTING, PROPERTY CASUALTY INSURANCE
INVESTMENT RELATED: YES
TITLE: PRESIDENT AND OWNER
DUTIES: SALE OF GROUP INSURANCE, GROUP INSURANCE CONSULTING, REFERRAL OF PROPERTY CASUALTY
START DATE: 1971
HOURS PER MONTH: 25
HOURS PER MONTH DURING TRADING HOURS: 10

2)LAWRENCE C. BARRETT
100 PARK AVE.
STE. 425
ORANGE VILLAGE, OH 44122
CATEGORY: INSURANCE
INVESTMENT RELATED: YES
TITLE: AGENT
DUTIES: OFFER AND SERVICE FIXED INSURANCE PRODUCTS SUCH AS FIXED AND INDEXED ANNUITIES, DISABILITY, LONG-TERM CARE, AND TRADITIONAL LIFE INSURANCE.
START DATE: 3/1/1999
HOURS PER MONTH: 15
HOURS PER MONTH DURING TRADING HOURS: 15

3) DIBART INSURANCE CORP PROFIT SHARING PLAN
29280 NORTH PARK BLVD
SOLON, OH 44139
CATEGORY: FIDUCIARY
INVESTMENT RELATED: YES
TITLE: TRUSTEE
DUTIES: OWNER/TRUSTEE OF ONE PARTICIPANT PROFIT SHARING PLAN
START DATE: 1/1/1990
HOURS PER MONTH: 0
HOURS PER MONTH DURING TRADING HOURS: 0

4) LAWRENCE C. BARRETT
100 PARK AVENUE
SUITE 425
ORANGE VILLAGE, OH 44122
CATEGORY: LIFE SETTLEMENTS

Registration & Employment History

OTHER BUSINESS ACTIVITIES

INVESTMENT RELATED: YES
TITLE: AGENT
DUTIES: OFFERING FIXED LIFE SETTLEMENTS
START DATE: 7/17/2013
HOURS PER MONTH: 1
HOURS PER MONTH DURING TRADING HOURS: 1

5) LAWRENCE BARRETT, LAWRENCE BARRETT DIBARTOLOMEO, LARRY DIBARTOLOMEO,
LAWRENCE C DIBARTIOLOMEO, LARRY DIBARTOLOMEO IMDB
29280 NORTH PARK BLVD
SOLON, OH 44139
CATEGORY: MEDIA
INVESTMENT RELATED: NO
TITLE: EMPLOYEE
DUTIES: ACTING IN AND BEING AN EXTRA IN MOVIES, COMMERCIALS, AND MODELING, BOTH IN VIDEO AND PRINT.
START DATE: 07/22/2013
HOURS PER MONTH: 40
HOURS PER MONTH DURING TRADING HOURS: 5

6) INDEPENDENT PHARMACY CONSULTING GROUP, LLC
100 PARK AVENUE,
STE. 425
ORANGE VILLAGE, OH 44122
CATEGORY: LEGAL ENTITY/LLC
INVESTMENT RELATED: YES
TITLE: OWNER
DUTIES: LEGAL ENTITY THROUGH WHICH PRACTICE RELATING TO WORKING WITH PHARMACISTS IS MARKETED AND MANAGED.
START DATE: 09/22/2021
HOURS PER MONTH: 20
HOURS PER MONTH DURING TRADING HOURS: 20
UPDATE FORM BR: YES

7) LARRY DIBARTOLOMEO ENTERTAINMENT, LLC
29280 NORTH PARK BLVD., SOLON, OH 44139
CATEGORY: LEGAL ENTITY/LLC
INVESTMENT RELATED: NO
TITLE: OWNER
DUTIES: LEGAL ENTITY THROUGH WHICH ACTING AND MODELING WORK IS MANAGED.
START DATE: 12/24/2020
HOURS PER MONTH: 30
HOURS PER MONTH DURING TRADING HOURS: 10

8) DIBART INSURANCE AGENCY
POSITION: Owner,Officer,President NATURE: Sale of individual fixed life, indexed life insurance and fixed and indexed annuities. Group insurance, group benefits consulting, property & casualty insurance, president and owner sales consulting, starting in 1971
INVESTMENT RELATED: No NUMBER OF HOURS: 25 SECURITIES TRADING HOURS: 10 START DATE: 08/01/1971
ADDRESS: 29280 NORTH PARK BLVD, Solon OH 44139, United States
DESCRIPTION: Sale of individual fixed life, indexed life insurance and fixed and indexed annuities. Group insurance, group benefits consulting, property & casualty insurance

Registration & Employment History

OTHER BUSINESS ACTIVITIES

9) INDEPENDENT PHARMACY CONSULTING GROUP LLC - INSURANCE
POSITION: owner NATURE: It is a LLC. Working with Independent Pharmacists INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 2 START DATE: 09/22/2021
ADDRESS: 100 Park Ave, Suite 425, Solon OH 44122, United States
DESCRIPTION: sale of fixed insurance/health/life/LTC/disability

10) INDEPENDENT PHARMACY CONSULTING GROUP, LLC - SECURITIES
POSITION: Owner NATURE: Marketing name to be used while working with pharmacists
it is a LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 5 START DATE: 09/22/2021
ADDRESS: 100 Park Ave, Suite 425, Orange Village OH 44122, United States
DESCRIPTION: My marketing name to work with pharmacists
sale of securities and LTD partnership

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LINCOLN FINANCIAL ADVISORS CORPORATION
Allegations:	Claimants allege their RR recommended an unsuitable Oil and Gas investment.
Product Type:	Oil & Gas
Alleged Damages:	$100,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	24-02314
Filing date of arbitration/CFTC reparation or civil litigation:	10/25/2024

Customer Complaint Information

Date Complaint Received:	10/28/2024
Complaint Pending?	Yes
Settlement Amount:	

Individual Contribution Amount:	
Broker Statement	Please note that the clients currently live in PA. The investment was written in Ohio where they resided at the time. The client is a very savvy and has a diverse portfolio of investments. The clients have knowledge of long term investments. The client had previous knowledge of long term investments. The client did his own analysis/due diligence on the program. They understood the risk/reward and long term nature of the product. The lack of liquidity was discussed and understood. The product was written as a rollover IRA with monies being transferred from another brokerage account IRA. I also believe that the client may have served on a board of a regional bank. I believe that Lincoln, as in previous programs, did their strict due diligence. Lincoln has always been much more demanding on due diligence on products, more so than other broker-dealers. I also did my own due diligence and invested in this offering myself. This claimant moved their claim to this new arbitration due to geographic reasons. The original arbitration number was 24-00348, filed 2/14/2024.

Disclosure 2 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LINCOLN FINANCIAL ADVISORS CORPORATION
Allegations:	Claimants allege the representative recommended an unsuitable Oil & Gas investment.
Product Type:	Oil & Gas
Alleged Damages:	$30,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	23-00001
Filing date of arbitration/CFTC reparation or civil litigation:	12/30/2022

Customer Complaint Information

Date Complaint Received:	01/03/2023
Complaint Pending?	No
Status:	Settled
Status Date:	10/06/2023
Settlement Amount:	$27,663.28
Individual Contribution	$0.00

Amount:

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: EQUITY SERVICES, INC.

Allegations: THE COMPLAINTANTS ARE TRUSTEES FOR A 401(K)PLAN FOR THE UNION NATIONAL MORTGAGE CO. IN STRONGSVILLE, OH. THE COMPLAINTANTS ALLEGE THE REPRESENTATIVE OMITTED MATERIAL FACTS INT HAT HE DID NOT FULLY DISCLOSE THE OPTIONS AVAILABLE WITH RESPECT TO MUTUAL FUND SHARE CLASSES.

Product Type: Mutual Fund(s)

Alleged Damages: $29,143.95

Customer Complaint Information

Date Complaint Received: 07/29/1999

Complaint Pending? No

Status: Closed/No Action

Status Date: 07/29/2000

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EQUITY SERVICES, INC.

Allegations: UNION NATIONAL MORTGAGE CLAIMED THAT THE USE OF "B" SHARES WAS NOT APPROPRIATE AND WHEN THE ACCOUNT REACHED A $500,000 ASSET LEVEL THEY SHOULD HAVE BEEN CHANGED TO "A" SHARES. THE CHANGE TO "A" SHARES IS UP TO THE DISCRETION OF THE SPONSORING ORGANIZATION AND NOT THE REGISTERED REPRESENTATIVE. PLAN ORIGINATED 10/1993

Product Type: Mutual Fund(s)

Other Product Type(s): MFS "B"SHARES

Alleged Damages: $29,143.95

Customer Complaint Information

Date Complaint Received: 07/29/1999

Complaint Pending? No

Status: Closed/No Action

Status Date: 07/29/2000

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Disposition:	No Action
Disposition Date:	
Broker Statement	COMPLAINT WAS CLOSED ON 7/29/00 WITH NO ACTION

End of Report

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