



## IAPD Report

# KENT ANDREW BEHNKEN

CRD# 1407986

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### KENT ANDREW BEHNKEN (CRD# 1407986)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/08/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	MIAMI VALLEY PORTFOLIO MANAGEMENT INC	CRD# 106389	05/26/1999
B	STONEX SECURITIES INC.	CRD# 18456	09/12/2014

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **14** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SA STONE INVESTMENT ADVISORS INC.	174182	BIRMINGHAM, AL	01/30/2015 - 07/12/2017
IA	STERNE AGEE INVESTMENT ADVISOR SERVICES, INC.	7365	BROOKVILLE, OH	01/14/2002 - 01/30/2015
B	WRP INVESTMENTS, INC.	7365	BROOKVILLE, OH	12/02/1985 - 09/12/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **14** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **STONEX SECURITIES INC.**  
Main Address: 2 PERIMETER PARK SOUTH  
SUITE 500 WEST  
BIRMINGHAM, AL 35243  
Firm ID#: 18456

Regulator	Registration	Status	Date
<b>B</b> FINRA	General Securities Representative	Approved	09/12/2014
<b>B</b> Alabama	Agent	Approved	02/23/2023
<b>B</b> Arizona	Agent	Approved	09/12/2014
<b>B</b> California	Agent	Approved	09/12/2014
<b>B</b> Colorado	Agent	Approved	03/28/2018
<b>B</b> Florida	Agent	Approved	09/12/2014
<b>B</b> Illinois	Agent	Approved	02/19/2019
<b>B</b> Indiana	Agent	Approved	09/12/2014
<b>B</b> Kentucky	Agent	Approved	03/28/2016
<b>B</b> Michigan	Agent	Approved	09/12/2014
<b>B</b> Minnesota	Agent	Approved	11/09/2018
<b>B</b> North Carolina	Agent	Approved	08/13/2024
<b>B</b> Ohio	Agent	Approved	09/12/2014



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> South Carolina	Agent	Approved	12/08/2025
<b>B</b> Texas	Agent	Approved	09/08/2023

### Branch Office Locations

28 E. RAHN ROAD  
SUITE 108  
DAYTON, OH 45429

475 ARLINGTON ROAD  
BROOKVILLE, OH 45309

545 Metro Place  
Suite 100  
Dublin, OH 43017

### Employment 2 of 2

Firm Name: **MIAMI VALLEY PORTFOLIO MANAGEMENT INC**  
 Main Address: 475 ARLINGTON ROAD  
 BROOKVILLE, OH 45309  
 Firm ID#: 106389

Regulator	Registration	Status	Date
<b>IA</b> Florida	Investment Adviser Representative	Approved	07/10/2012
<b>IA</b> Ohio	Investment Adviser Representative	Approved	05/26/1999
<b>IA</b> Texas	Investment Adviser Representative	Restricted Approval	10/08/2014

### Branch Office Locations

**MIAMI VALLEY PORTFOLIO MANAGEMENT INC**  
475 ARLINGTON RD  
BROOKVILLE, OH 45309



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	11/16/1985
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#### State Securities Law Exams

Exam	Category	Date
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Uniform Securities Agent State Law Examination (S63)	Series 63	10/01/1990
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/30/2015 - 07/12/2017	SA STONE INVESTMENT ADVISORS INC.	CRD# 174182	BIRMINGHAM, AL
IA	01/14/2002 - 01/30/2015	STERNE AGEE INVESTMENT ADVISOR SERVICES, INC.	CRD# 7365	BROOKVILLE, OH
B	12/02/1985 - 09/12/2014	WRP INVESTMENTS, INC.	CRD# 7365	BROOKVILLE, OH

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2015 - Present	STONEX ADVISORS INC	INVESTMENT ADVISOR REPRESENTATIVE	Y	BROOKVILLE, OH, United States
09/2014 - Present	STONEX SECURITIES INC	REGISTERED REPRESENTATIVE	Y	BROOKVILLE, OH, United States
06/1984 - Present	SELF EMPLOYED	OTHER - INS. AGENT	N	BROOKVILLE, OH, United States

#### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1)BEHNKEN FINANCIAL SERVICES  
 475 ARLINGTON ROAD  
 BROOKVILLE, OHIO 45309,  
 C-CORP,  
 NATURE OF BUS: SALE OF LIFE INSURANCE/FIXED ANNUITIES/LTC INSURANCE,  
 TITLE: V.P.,  
 DUTIES: SALES,  
 TIME SPENT: 30%

2)MVP MANAGEMENT (MIAMI VALLEY PORTFOLIO),  
 475 ARLINGTON ROAD,  
 BROOKVILLE, OHIO 45309,  
 C-CORP,  
 NATURE OF BUS: REGISTERED INVESTMENT ADVISOR,  
 TITLE: V.P.,  
 DUTIES: SALES,  
 PER OF TIME: 50%,



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

3)SELECTION.COM,  
475 ARLINGTON RD,  
BROOKVILLE, OH 45309,  
NATURE OF BUS: BACKGROUND CHECK BUSINESS,  
TITLE: INVESTOR,  
DUTIES: MEET 1 X PER QUARTER,  
TIME SPENT: 0%

4)LITTLE RED RHUPERT-(CHILDREN'S BOOK),  
475 ARLINGTON RD,  
BROOKVILLE, OH 45309,  
NAT OF BUS: BOOK SALES,  
TITLE: INVESTOR,  
NO DUTIES, NO TIME SPENT,

5)ART GONE WILD  
619 DUVALL STREET/130 LINCOLN AVENUE  
KEY WEST FL 33040/SANTE FE NM 87501,  
ART GALLERY  
NATURE OF BUS: SALE OF ARTWORK,  
TITLE: INVESTOR,  
TIME SPENT: NONE,

6)ART GONE WILD ENTERTAINMENT LLC,  
475 ARLINGTON RD,  
BROOKVILLE OH 45309,  
NATURE OF BUS: MOVIE/TV/INTERNET/MERCHANDISE-ENTERTAINMENT,  
TITLE: INVESTMENT ADVISOR/OWN PORTION,  
DUTIES: WRITE CHECKS/PAY BILLS/GIVE ADVICE  
TIME SPENT: 2%

7)Kent A Behnken TOD Rental  
445-447 Wolf Creek Pike, Brookville, OH 45309  
This is a double/twin home. Kent is also the owner of half of the building/real estate we work out of as our home office with this address being, 475 Arlington Road, Brookville, OH 45309. This address has 3 other business's with a separate entrance to each of the 3 other businesses. Rental property inherited from dissolving of Behnken Brothers Trust  
Non-Investment related  
Owner  
Collect Rent & manage property  
10/24/2018  
1 hr. spent per month and 1 hr. spent during market hours

8)Kent A Behnken Living Trust dtd 8/9/90 Kent Behnken TTEE  
475 Arlington Road, Brookville, OH 45309  
Living Trust  
Non-Investment related  
Trustee  
08/09/1990  
0 hours spent



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

9) CZ2 Life LLC

Nature of Business: provide safe drinking water

Investment Related: no

Business Position: Investor

Business Duties: investor only

Business Start Date: 02/02/2023

Approximately 1-2 hours spent on this OBA monthly with 1-2 hours spent during market hours.

10) Live Pure - 5601 Granite Pkwy #750 Plano TX 75024

Nature of Business: Supplemental vitamins/minerals

Investment Related: No

Business Position: Participant team member

Business Duties: I am a consumer of their products, not involved in sales.

Business Start Date: 01/14/2025

Approximately 2 hours spent on this OBA monthly, with zero during market hours.

11) H2O Global Relief LLC - 747 Harper Road, Bayboro, NC 28515

Nature of Business: Provides a product to treat contaminated water to provide clean drinking water

Investment Related: No

Business Position: Co-owner/investor

Business Duties: Provide investment dollars and pay bills through a checkbook

Business Start Date: 12/10/2024

Approximately 4 hours spent on this OBA monthly, with zero during market hours.

12) Angora - (digital based, but their Headquarters is here) 1396 W 200 S, Bldg. #2, Lindon, Utah 84042, US

Nature of Business: Investing in Amazon product(s) that they would manage in my behalf, after initial setup they would send me weekly emails on updates and I would correlate with them on occasion. Initial meetings might take more than an hour for account setup but after its processed with the exception of occasional meetings time dedicated would likely not exceed an hour in both outside and inside business hours per month.

Investment Related: No

Business Position: Client/Product Partner

Business Duties: I'm just a client officially, not officially an investor of the company, Unless you somehow count me there as an investor. I have not started business with this company Until after your approval.

Business Start Date: 09/10/2025

Approximately 1 hours spent on this OBA monthly, with 1 during market hours.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	WRP INVESTMENTS, INC.
<b>Allegations:</b>	CLIENT ALLEGES THAT MR. BEHNKEN FAILED TO INFORM HIM OF ALL MATERIAL FACTS REGARDING THE PURCHASE OF A VARIABLE ANNUITY IN AUGUST 2007
<b>Product Type:</b>	Annuity(ies) - Variable
<b>Alleged Damages:</b>	\$27,179.00

### Customer Complaint Information

<b>Date Complaint Received:</b>	05/24/2008
<b>Complaint Pending?</b>	No
<b>Status:</b>	Denied
<b>Status Date:</b>	06/13/2008

#### Settlement Amount:

**Individual Contribution Amount:**



## End of Report

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