



## IAPD Report

# RANDY RAY BRUNSON

CRD# 1421275

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4
Disclosure Information	5



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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### RANDY RAY BRUNSON (CRD# 1421275)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/10/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CENTURION ADVISORY GROUP, INC	CRD# 140605	07/05/2006

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BRUNSON FINANCIAL MANAGEMENT	130266	DULUTH, GA	02/25/2004 - 12/31/2006
IA	BRUNSON FINANCIAL MANAGEMENT	130266	ATLANTA, GA	02/25/2004 - 12/31/2005
B	FSC SECURITIES CORPORATION	7461	ATLANTA, GA	03/20/1997 - 07/09/1998

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2





## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **CENTURION ADVISORY GROUP, INC**  
Main Address: 1325 SATELLITE BOULEVARD  
BUILDING 300, SUITE 302  
SUWANEE, GA 30024  
Firm ID#: 140605

	Regulator	Registration	Status	Date
	Georgia	Investment Adviser Representative	Approved	07/05/2006
	Texas	Investment Adviser Representative	Restricted Approval	06/30/2017

### Branch Office Locations

**CENTURION ADVISORY GROUP, INC**  
1325 SATELLITE BOULEVARD  
BUILDING 300, SUITE 302  
SUWANEE, GA 30024



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**




#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



#### General Industry/Product Exams

Exam	Category	Date
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 General Securities Representative Examination (S7)	Series 7	07/22/1994
 Direct Participation Programs Representative Examination (S22)	Series 22	05/05/1986
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/14/1985

#### State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	09/27/2006
 Uniform Securities Agent State Law Examination (S63)	Series 63	12/04/1985

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/25/2004 - 12/31/2006	BRUNSON FINANCIAL MANAGEMENT	CRD# 130266	DULUTH, GA
IA	02/25/2004 - 12/31/2005	BRUNSON FINANCIAL MANAGEMENT	CRD# 130266	ATLANTA, GA
B	03/20/1997 - 07/09/1998	FSC SECURITIES CORPORATION	CRD# 7461	ATLANTA, GA
B	01/31/1997 - 03/21/1997	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	04/08/1994 - 01/31/1997	KEOGLER, MORGAN & COMPANY, INC.	CRD# 16546	ATLANTA, GA
B	08/28/1993 - 04/16/1994	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY
B	06/06/1991 - 03/04/1993	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY
B	10/02/1986 - 03/04/1991	F & G SECURITIES, INC.	CRD# 16364	
B	11/18/1985 - 09/22/1986	TRAVELERS EQUITIES SALES, INC.	CRD# 833	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2006 - Present	CENTURION ADVISORY GROUP, LLC	PRESIDENT	Y	SUWANEE, GA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	GEORGIA SECRETARY OF STATE, COMMISSIONER OF SECURITIES.
<b>Sanction(s) Sought:</b>	Cease and Desist
<b>Other Sanction(s) Sought:</b>	SUSPENSION FROM THE SOLICITATION OF NEW CLIENTS ONLY. (60 DAYS BEGINNING JULY 6, 2006.) RESPONDENT HAD TO PAY A \$15,000 CIVIL PENALTY AND \$2,500.00 INVESTIGATIVE COSTS AND RETAKE THE SERIES 66 EXAM DURING THE SAME 60 DAY PERIOD.
<b>Date Initiated:</b>	07/06/2006
<b>Docket/Case Number:</b>	ENSC-00521
<b>Employing firm when activity occurred which led to the regulatory action:</b>	BRUNSON FINANCIAL MANAGEMENT, INC.
<b>Product Type:</b>	Other
<b>Other Product Type(s):</b>	POOLED REAL ESTATE INVESTMENTS. PROMISSORY NOTES.
<b>Allegations:</b>	WHILE REGISTERED AS AN INVESTMENT ADVISORY REP WITH BRUNSON FINANCIAL, HE OFFERED FOR SALE AND SOLD SECURITIES KNOWN AS POOLED REAL ESTATE INVESTMENTS AND PROMISSORY NOTES TO HIS IA CLIENTS WHO WERE RESIDENTS OF THE STATE OF GEORGIA.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Consent



**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** Yes

**Resolution Date:** 07/06/2006

**Sanctions Ordered:** Cease and Desist/Injunction  
Monetary/Fine \$15,000.00  
Suspension

**Other Sanctions Ordered:** FAILURE ON THE PART OF BRUNSON TO COMPLY WITH THE PROVISIONS TO PAY CIVIL PENALTY, RETAKE THE NASD SERIES 65 OR 66 EXAM AND PAY THE COMMISSIONER OF SECURITIES INVESTIVATIVE COST OF \$2,500 WILL EXTEND THE 60 DAY SUSPENSION UNTIL BRUNSON COMPLIES.

**Sanction Details:** ORDER AMENDED ON 9/13/2006 TO EXTEND THE 60 DAY SUSPENSION PERIOD IN ORDER TO PAY MONETARY PENALTIES AND TO RETAKE EXAM. RESPONDENT COMPLIED WITH ALL PROVISIONS OF ORIGINAL SANCTIONS ON 12/6/2006 AND 60 DAY SUSPENSION ENDED. GENERAL APPROVAL WAS MADE ON HIS CRD RECORD.

**Regulator Statement** ORIGINAL ORDER ISSUED ON JULY 6, 2006. ORDER AMENDED ON SEPTEMBER 13, 2006 TO REFLECT AN EXTENSION OF THE 60 DAY SUSPENSION. ORDER AMENDED AGAIN ON DECEMBER 6, 2006 TO REFLECT COMPLIANCE WITH ORIGINAL ORDER. FINAL COMPLIANCE ON JANUARY 16,2007 TO REFLECT ALL REGISTRATION STATUS FOR BRUNSON, BRUNSON FINANCIAL MANAGAEMENT, INC., AND CENTURION ADVISORY GROUP, INC.

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**Reporting Source:** Individual

**Regulatory Action Initiated By:** GEORGIA STATE SECURITIES COMMISSION

**Sanction(s) Sought:** Civil and Administrative Penalty(ies)/Fine(s)  
Monetary Penalty other than Fines  
Suspension

**Date Initiated:** 12/20/2004

**Docket/Case Number:** ENSC-00521

**Employing firm when activity occurred which led to the regulatory action:** BRIARCLIFF ADVISORS

**Product Type:** Unit Investment Trust

**Allegations:** ADVISORY AFFILIATE'S PARTICIPATION WAS THROUGH BRIARCLIFF ADVISORS, A SUB S ENTITY CREATED TO MANAGE SOUTHERN EQUITY GROUP, LLC. SEG, LLC WAS AN INVESTMENT POOL THAT PROVIDED SOME OF THE START UP FUNDING FOR THE DEVELOPMENT. ADVISORY AFFILIATE RECOMMENDED TO MATTHEW AND NANCY ROSS THAT THEY PARTICIPATE. REAL ESTATE DEVELOPMENT PROJECT THAT TOOK SUBSTANTIALLY LONGER TO BEGIN THAN PLANNED AND EVENTUALLY WAS FORECLOSED. THE GEORGIA STATE SECURITIES COMMISSION IS REVIEWING WHETHER THERE HAS BEEN A VIOLATION OF APPLICABLE LAW FOR THE SALE OF UNREGISTERED SECURITIES THAT DID NOT FALL WITHIN THE DE MINIMUM GUIDELINES.



<b>Current Status:</b>	Final
<b>Resolution:</b>	Consent
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	Yes
<b>Resolution Date:</b>	07/06/2006
<b>Sanctions Ordered:</b>	Civil and Administrative Penalty(ies)/Fine(s) Monetary Penalty other than Fines Suspension Other: FAILURE ON THE PART OF BRUNSON TO COMPLY WITH THE PROVISIONS TO PAY CIVIL PENALTY, RETAKE THE NASD SERIES 65 OR 66 EXAM AND PAY THE COMMISSIONER OF SECURITIES INVESTIVATIVE COST OF \$2,500 WILL EXTEND THE 60 DAY SUSPENSION UNTIL BRUNSON COMPLIES.
<b>Sanction 1 of 1</b>	
<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	ALL CAPACITIES
<b>Duration:</b>	60 DAYS FROM EFFECTIVE DATE OF ORDER
<b>Start Date:</b>	07/06/2006
<b>End Date:</b>	12/06/2006
<b>Requalification 1 of 1</b>	
<b>Requalification Type:</b>	Requalification by Exam
<b>Length of time given to requalify:</b>	60 DAYS OF EFFECTIVE DATE OF ORDER; ORDER AMENDED ON 9/13/06 TO EXTEND THE 60 DAY ORDER TO RETAKE EXAM.
<b>Type of exam required :</b>	SERIES 65
<b>Has condition been satisfied:</b>	Yes
<b>Monetary Sanction 1 of 2</b>	
<b>Monetary Related Sanction:</b>	Monetary Penalty other than Fines
<b>Total Amount:</b>	\$15,000.00
<b>Portion Levied against individual:</b>	\$15,000.00
<b>Payment Plan:</b>	ORDER AMENDED ON 9/13/2006 TO EXTEND THE 60 DAY SUSPENSION PERIOD IN ORDER TO PAY MONETARY PENALTIES
<b>Is Payment Plan Current:</b>	Yes
<b>Date Paid by individual:</b>	12/06/2006
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	
<b>Monetary Sanction 2 of 2</b>	
<b>Monetary Related Sanction:</b>	Civil and Administrative Penalty(ies)/Fine(s)



<b>Total Amount:</b>	\$2,500.00
<b>Portion Levied against individual:</b>	\$2,500.00
<b>Payment Plan:</b>	ORDER AMENDED ON 9/13/06 TO EXTEND THE 60 DAY SUSPENSION PERIOD IN ORDER TO PAY MONETARY PENALTIES.
<b>Is Payment Plan Current:</b>	Yes
<b>Date Paid by individual:</b>	12/06/2006
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	



## Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

### Disclosure 1 of 2

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** BRIARCLIFF ADVISORS

**Allegations:** REAL ESTATE DEVELOPEMENT PROJECT THAT TOOK SUBSTANTIALLY LONGER TO BEGIN THAN PLANNED. IN THE SPRING 2003, THE PALINTIFFS FILED FOR DIORCE AND NANCY ROSS' ATTORNEY FILED SUIT AGAINST RANDY BRUNSON, BRUNSON FINANCIAL MANAGEMENT, BRIARCLIFF ADVISORS, RON MERCER, DARRYL SEGRAVES, DOTSON BENEFIELD, SOUTHER EQUITY GROUP, LLC. HOTEL INVESTMENT ADVISORS, HOTEL MANAGEMENT PARTNERS, GREATER ATLANTA CONSTRUCTION COMPANY AND LEGENDS OF WHITEWATER. AS A RESULT OF THE LAWSUIT, REGIONSBANK CALLED THEIR LOAN OF \$3 MILLION AND FORCED THE PROJECT INTO FORECLOSURE.

**Product Type:** Other

**Other Product Type(s):** REAL ESTATE INVESTMENT

**Alleged Damages:**

### Customer Complaint Information

**Date Complaint Received:** 12/20/2004

**Complaint Pending?** Yes

**Settlement Amount:**

**Individual Contribution Amount:**

### Disclosure 2 of 2

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** BRUNSON FINANCIAL

**Allegations:** FRAUD, THEFT BY TAKING

**Product Type:** Other: REAL ESTATE INVESTMENT

**Alleged Damages:** \$105,000.00

### Customer Complaint Information

**Date Complaint Received:** 01/01/2004

**Complaint Pending?** No

**Status:** Settled



**Status Date:** 07/09/2004

**Settlement Amount:** \$131,000.00

**Individual Contribution Amount:** \$26,000.00

**Arbitration Information**

**Disposition:** Settled

**Disposition Date:** 07/09/2004

**Civil Litigation Information**

**Type of Court:** State Court

**Name of Court:** SUPERIOR COURT OF FULTON COUNTY

**Location of Court:** FULTON COUNTY, US

**Docket/Case #:** SUPERIOR COURT OF DEKALB COUNTY; FILE 03CV6652-7 & THE SUPERIOR COURT OF FULTON COUNTY: FILE 2004CV80016

**Date Notice/Process Served:** 01/01/2004

**Litigation Pending?** No

**Disposition:** Settled

**Disposition Date:** 07/09/2004

**Monetary Compensation Amount:** \$131,000.00

**Individual Contribution Amount:** \$26,000.00

**Broker Statement** SETTLEMENT INCLUDED AMOUNT OF ORIGINAL INVESTMENT \$105,000 PLUS \$26,000 IN LEGAL FEES.



## End of Report

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