IAPD Report

KEVIN BLAKE PERLBERG

CRD# 1424365

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

KEVIN BLAKE PERLBERG (CRD# 1424365)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	02/11/2011
IA	BLACKHAWK CAPITAL PARTNERS, LLC	CRD# 158020	07/15/2011

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **17** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	20804	SCOTTSDALE, AZ	02/14/2011 - 06/22/2012
IA	QA3 FINANCIAL LLC	104957	THIENSVILLE, WI	11/25/2003 - 02/11/2011
B	QA3 FINANCIAL CORP.	14754	THIENSVILL, WI	11/18/2003 - 02/11/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **17** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**

Main Address: 7333 EAST DOUBLETREE RANCH RD, SUITE 120
SCOTTSDALE, AZ 85258

Firm ID#: 20804

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	02/11/2011
B	FINRA	General Securities Representative	Approved	02/11/2011
B	Arizona	Agent	Approved	02/11/2011
B	Colorado	Agent	Approved	06/29/2011
B	Florida	Agent	Approved	02/11/2011
B	Georgia	Agent	Approved	01/06/2023
B	Idaho	Agent	Approved	01/18/2023
B	Illinois	Agent	Approved	02/11/2011
B	Indiana	Agent	Approved	02/14/2011
B	Massachusetts	Agent	Approved	02/11/2011
B	Minnesota	Agent	Approved	02/11/2011
B	New Jersey	Agent	Approved	07/13/2016
B	New York	Agent	Approved	11/09/2021

Qualifications

	Regulator	Registration	Status	Date
B	North Carolina	Agent	Approved	01/03/2012
B	Tennessee	Agent	Approved	11/26/2025
B	Texas	Agent	Approved	01/04/2018
B	Utah	Agent	Approved	12/11/2015
B	Washington	Agent	Approved	02/11/2011
B	Wisconsin	Agent	Approved	02/11/2011

Branch Office Locations

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
167 S MAIN ST
THIENSVILLE, WI 53092

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA LIMITED PARTNER
21090 N PIMA RD
SCOTTSDALE, AZ 85255

Employment 2 of 2

Firm Name: **BLACKHAWK CAPITAL PARTNERS, LLC**

Main Address: 167 S. MAIN STREET
THIENSVILLE, WI 53092

Firm ID#: 158020

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	07/15/2011
IA	Texas	Investment Adviser Representative	Restricted Approval	07/07/2023
IA	Wisconsin	Investment Adviser Representative	Approved	08/09/2024

Branch Office Locations

BLACKHAWK CAPITAL PARTNERS, LLC
167 S. MAIN STREET
THIENSVILLE, WI 53092

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	02/01/1996

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/15/1987
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/07/1987

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	03/10/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/14/2011 - 06/22/2012	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	SCOTTSDALE, AZ
IA	11/25/2003 - 02/11/2011	QA3 FINANCIAL LLC	CRD# 104957	THIENSVILLE, WI
B	11/18/2003 - 02/11/2011	QA3 FINANCIAL CORP.	CRD# 14754	THIENSVILL, WI
IA	08/14/2000 - 08/09/2004	K. P. FINANCIAL, INC.	CRD# 111312	THIENSVILLE, WI
B	12/21/1994 - 11/17/2003	FSC SECURITIES CORPORATION	CRD# 7461	ATLANTA, GA
B	01/05/1990 - 01/06/1995	HARBOUR INVESTMENTS, INC.	CRD# 19258	MADISON, WI
B	08/28/1987 - 01/10/1990	DREHER & ASSOCIATES, INC.	CRD# 8665	OAKBROOK TERRACE,
B	04/08/1987 - 09/04/1987	B.C. CHRISTOPHER SECURITIES CO.	CRD# 60	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2019 - Present	Kblake126	Owner	N	SCOTTSDALE, AZ, United States
01/2015 - Present	KPDT, LLC	PARTNER	N	THIENSVILLE, WI, United States
08/2013 - Present	LION STREET	Owner/Producer	N	Thiensville, WI, United States
06/2011 - Present	BLACKHAWK CAPITAL PARTNERS, LLC	MANAGING PARTNER	Y	THIENSVILLE, WI, United States
02/2011 - Present	UNITED PLANNERS' FINANCIAL SERVICES	REG REP	Y	SCOTTSDALE, AZ, United States
01/2011 - Present	Blackhawk Capital Partners, LLC	Managing Partner	Y	Thiensville, WI, United States
01/1984 - Present	BLACKHAWK CAPITAL PARTNERS, LLC	PARTNER	N	THIENSVILLE, WI, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2014 - 12/2024	DAKTORI	NONE	N	DALLAS, TX, United States
06/2013 - 05/2021	CALAMO, LLC	OWNER	N	THIENSVILLE, WI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.) BLACKHAWK CAPITAL PARTNERS, LLC - MANAGING PARTNER - DBA NAME FOR MARKETING PURPOSES ONLY - THIENSVILLE, WI - SINCE 01/2011 - INVESTMENT RELATED
2.) LION STREET - OWNER/PRODUCER - NON-VARIABLE INSURANCE - THIENSVILLE, WI - SINCE 08/2013 - NOT INVESTMENT RELATED
3.) KPDT, LLC - PARTNER - REAL ESTATE SALES/RENTAL PROPERTIES/PROPERTY MANAGEMENT - THIENSVILLE, WI - SINCE 01/2015 - NOT INVESTMENT RELATED
4.) BLACKHAWK CAPITAL PARTNERS, LLC - PARTNER - NON-VARIABLE INSURANCE - THIENSVILLE, WI - SINCE 01/1984 - INVESTMENT RELATED
5.) BLACKHAWK CAPITAL PARTNERS, LLC - MANAGING PARTNER - REGISTERED INVESTMENT ADVISOR - THIENSVILLE, WI - SINCE 06/2011 - INVESTMENT RELATED
6.) ASSOCIATE PERSON OF UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER, A FINRA AND SEC REGISTERED BROKER-DEALER AND MEMBER OF SIPC. 7333 E. DOUBLETREE RANCH ROAD, SUITE 120, SCOTTSDALE, AZ 85258.
7.) KBLAKE126 - OWNER - HOME OFFICE FOR PERSONAL AFFAIRS IE ASSET PROTECTION AND ESTATE PLANNING - SCOTTSDALE, AZ - SINCE 12/2019 - NOT INVESTMENT RELATED

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
Allegations:	An arbitration claim was received by the respondent, United Planners, where the Registered Representative sold the product at issue. the following claims were made against United Planners: Unsuitability, common law fraud, breach of contract, negligent supervision, breach of fiduciary duty, negligence and aiding and abetting fraud.
Product Type:	Other: Alternative Investment
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	No damage amount is specified and firm determination indicates that amount would not be less than $5,000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-01545

Filing date of arbitration/CFTC reparation or civil litigation: 06/17/2021

Customer Complaint Information

Date Complaint Received: 06/17/2021

Complaint Pending? No

Status: Settled

Status Date: 01/25/2022

Settlement Amount: $45,000.00

Individual Contribution Amount: $0.00

Disclosure 2 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: United Planners Financial Services of America

Allegations: Unsuitability

Product Type: Insurance
Oil & Gas
Real Estate Security

Alleged Damages: $0.00

Alleged Damages Amount Explanation (if amount not exact): Firm believes that the alleged unspecified amount may exceed $5,000.00.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 20-01477

Filing date of arbitration/CFTC reparation or civil litigation: 05/08/2020

Customer Complaint Information

Date Complaint Received: 08/18/2020

Complaint Pending? No

Status: Closed/No Action

Status Date: 05/14/2021

Settlement Amount:

Individual Contribution Amount:

Amount:

Disclosure 3 of 4

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	QA3 FINANCIAL CORP.
Allegations:	CLIENT WAS MAD HE COULD NOT CASH IN HIS INLAND AMERICAN REIT. HE CALLED IT A PONZIE SCHEME
Product Type:	Real Estate Security
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO MONEY HAS BEEN LOST; CLIENT WAS MAD HE COULD NOT CASH IN HIS INLAND AMERICAN REIT.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	01/26/2010
Complaint Pending?	No
Status:	Withdrawn
Status Date:	04/22/2010
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	ON 4/22/10 [CUSTOMER] SENT KEVIN AN EMAIL ASKING HIM TO "..DISREGARD MY PREVIOUS MESSAGE AND CONTINUE TO TRADE MY ACCOUNTS AS YOU AND THE VERY CAPABLE PEOPLE OF SILVERHAWK SEE FIT."

Disclosure 4 of 4

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	FSC SECURITIES CORPORATION
Allegations:	CLIENT ALLEGES THAT REPRESENTATIVE DID NOT INFORM HIM THAT HE WOULD BE RESPONSIBLE FOR FUTURE PREMIUM PAYMENTS ON VARIABLE UNIVERSAL LIFE POLICY.
Product Type:	Insurance
Other Product Type(s):	VARIABLE LIFE
Alleged Damages:	$10,168.46

Customer Complaint Information

Date Complaint Received:	01/26/2001
Complaint Pending?	No
Status:	Settled
Status Date:	04/02/2001
Settlement Amount:	$10,168.46
Individual Contribution Amount:	$0.00

End of Report

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