



IAPD Report

CHARLES FREDERICK HEMMER II

CRD# 1438351

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CHARLES FREDERICK HEMMER II (CRD# 1438351)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/17/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	WELLS FARGO ADVISORS	CRD# 11025	11/08/2013
B	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	11/08/2013

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **45** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WELLS FARGO ADVISORS, LLC	19616	PEORIA, IL	08/12/2005 - 11/08/2013
IA	WELLS FARGO ADVISORS, LLC	19616	PEORIA, IL	08/12/2005 - 11/08/2013
IA	MORGAN STANLEY	7556	PEORIA, IL	09/05/2000 - 08/17/2005

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **45** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **WELLS FARGO ADVISORS**
Main Address: ONE NORTH JEFFERSON AVENUE
MAIL CODE: H0004-05E
ST. LOUIS, MO 63103-2205
Firm ID#: 11025

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	11/08/2013
B	FINRA	General Securities Representative	Approved	11/08/2013
B	FINRA	General Securities Sales Supervisor	Approved	11/08/2013
B	Alabama	Agent	Approved	04/30/2020
B	Arizona	Agent	Approved	11/08/2013
B	Arkansas	Agent	Approved	11/17/2017
B	California	Agent	Approved	11/08/2013
B	Colorado	Agent	Approved	11/08/2013
B	Connecticut	Agent	Approved	04/05/2022
B	Delaware	Agent	Approved	04/28/2016
B	Florida	Agent	Approved	11/08/2013
B	Georgia	Agent	Approved	11/08/2013
B	Hawaii	Agent	Approved	05/29/2018



Qualifications

	Regulator	Registration	Status	Date
B	Idaho	Agent	Approved	11/29/2013
B	Illinois	Agent	Approved	11/08/2013
IA	Illinois	Investment Adviser Representative	Approved	11/08/2013
B	Indiana	Agent	Approved	11/08/2013
B	Iowa	Agent	Approved	11/08/2013
B	Kansas	Agent	Approved	12/04/2013
B	Kentucky	Agent	Approved	11/08/2013
B	Louisiana	Agent	Approved	02/07/2019
B	Maine	Agent	Approved	04/05/2022
B	Maryland	Agent	Approved	04/15/2014
B	Massachusetts	Agent	Approved	02/18/2020
B	Michigan	Agent	Approved	07/19/2017
B	Minnesota	Agent	Approved	04/15/2014
B	Mississippi	Agent	Approved	11/08/2013
B	Missouri	Agent	Approved	11/08/2013
B	Montana	Agent	Approved	10/05/2021
B	Nebraska	Agent	Approved	02/12/2021
B	Nevada	Agent	Approved	01/22/2021
B	New Hampshire	Agent	Approved	03/30/2022



Qualifications

	Regulator	Registration	Status	Date
B	New Jersey	Agent	Approved	12/21/2017
B	New York	Agent	Approved	07/28/2021
B	North Carolina	Agent	Approved	12/10/2013
B	Ohio	Agent	Approved	11/08/2013
B	Oklahoma	Agent	Approved	07/16/2024
B	Oregon	Agent	Approved	07/31/2018
B	Pennsylvania	Agent	Approved	02/17/2015
B	South Carolina	Agent	Approved	12/16/2013
IA	South Carolina	Investment Adviser Representative	Approved	02/25/2019
B	South Dakota	Agent	Approved	11/05/2020
B	Tennessee	Agent	Approved	11/08/2013
B	Texas	Agent	Approved	11/08/2013
IA	Texas	Investment Adviser Representative	Approved	11/08/2013
B	Utah	Agent	Approved	12/21/2017
B	Virginia	Agent	Approved	11/08/2013
B	Washington	Agent	Approved	11/08/2013
B	West Virginia	Agent	Approved	08/19/2019
B	Wisconsin	Agent	Approved	11/08/2013
B	Wyoming	Agent	Approved	04/17/2025



Qualifications

Regulator	Registration	Status	Date
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Branch Office Locations

WELLS FARGO ADVISORS
2426 W CORNERSTONE CT
STE 2A
PEORIA, IL 61614

WELLS FARGO ADVISORS
Peoria, IL

WELLS FARGO ADVISORS
1541 FORDING ISLAND ROAD UNIT 4
[SATELLITE]
HILTON HEAD ISLAND, SC 29926



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	03/20/2000
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	02/28/2000
B	General Securities Principal Examination (S24)	Series 24	05/04/1995

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	02/23/1996
B	General Securities Representative Examination (S7)	Series 7	12/14/1985

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	03/23/1998
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/10/1991



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/12/2005 - 11/08/2013	WELLS FARGO ADVISORS, LLC	CRD# 19616	PEORIA, IL
IA	08/12/2005 - 11/08/2013	WELLS FARGO ADVISORS, LLC	CRD# 19616	PEORIA, IL
IA	09/05/2000 - 08/17/2005	MORGAN STANLEY	CRD# 7556	PEORIA, IL
B	10/03/1995 - 08/17/2005	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
B	12/03/1992 - 10/12/1995	COMMERCE BROKERAGE SERVICES, INC.	CRD# 17140	CLAYTON, MO
B	12/04/1991 - 12/03/1992	AMCORE INVESTMENT SERVICES, INC	CRD# 27708	ROCKFORD, IL
B	09/14/1989 - 01/25/1991	INVEST FINANCIAL CORPORATION	CRD# 12984	APPLETON, WI
B	02/26/1987 - 09/01/1989	MML INVESTORS SERVICES, INC.	CRD# 10409	SPRINGFIELD, MA
B	02/26/1987 - 01/02/1988	MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY	CRD# 2682	
B	12/17/1985 - 12/18/1986	BROOK INVESTMENTS, INC.	CRD# 7876	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2013 - Present	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	REGISTERED REP	Y	PEORIA, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

TRUSTEE FOR PARENT, INV RELATED, PEORIA IL, 1 HOUR PER MONTH, 0 DURING MARKET TIME, START 02/01/2012.;



Registration & Employment History



OTHER BUSINESS ACTIVITIES

DUNLAP AVIATION, NOT INV RELATED, DUNLAP, IL, 50% OF AIRPLANE, START 12/2011, 0 HOURS PER MONTH, DUTIES: OWN AIRPLANE FOR PERSONAL USE.;

CFH II, INC, INV RELATED, PEORIA, IL, SPOUSE 100% OWNER, START 11/2013, 5 HOURS PER MONTH/0 DURING TRADING, DUTIES: REAL ESTATE INVESTMENT.;

CORNERSTONE STRATERGIC WEALTH MANAGEMENT LLC, INV RELATED, PEORIA, IL, 33% OWNERSHIP, START 8/10/2021, 2 HOURS PER MONTH, ZERO HOURS DURING TRADING, FINET PRACTICE.;

EXECUTOR FOR FATHER'S ESTATE, INV RELATED, PEORIA, IL, START 9/26/2019, 0 HRS/MONTH, 0 HRS DURING TRADING.;

HEMMER FAMILY LLC; NOT INVESTMENT RELATED; PEORIA, IL; 100% OWNERSHIP; START 8/21/2020; ZERO HOURS PER MONTH; ZERO HOURS DURING TRADING; HOLDING LLC FOR FARMLAND PURCHASE.;

POWER OF ATTORNEY FOR FATHER, INV RELATED, PEORIA, IL, START: 3/6/2012, 2 HOURS A MONTH, 0 HOURS DURING TRADING.

POWER OF ATTORNEY FOR SPOUSE; INV. RELATED; PEORIA, IL; START DATE 9/4/2024; 1 HR PER MONTH; 0 HRS DURING TRADING.



End of Report

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