



## IAPD Report

### Marc G Linsky

CRD# 1450006

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Marc G Linsky (CRD# 1450006)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/06/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	CENTAURUS FINANCIAL, INC.	CRD# 30833	03/25/2022
<b>IA</b>	CENTAURUS FINANCIAL, INC.	CRD# 30833	03/25/2022

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **22** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	CONCOURSE FINANCIAL GROUP ADVISORS	15708	MARLTON, NJ	07/27/2018 - 04/18/2022
<b>B</b>	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	15708	MARLTON, NJ	07/25/2018 - 04/18/2022
<b>IA</b>	CONCORDE ASSET MANAGEMENT, LLC	140367	MARLTON, NJ	07/07/2015 - 08/06/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **22** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**  
Main Address: 2300 EAST KATELLA AVE  
SUITE 200  
ANAHEIM, CA 92806  
Firm ID#: 30833

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	03/25/2022
<b>B</b>	Arizona	Agent	Approved	04/12/2022
<b>B</b>	California	Agent	Approved	03/25/2022
<b>IA</b>	California	Investment Adviser Representative	Approved	03/27/2026
<b>B</b>	Connecticut	Agent	Approved	03/25/2022
<b>IA</b>	Connecticut	Investment Adviser Representative	Approved	06/25/2025
<b>B</b>	Delaware	Agent	Approved	03/25/2022
<b>IA</b>	Delaware	Investment Adviser Representative	Approved	04/19/2022
<b>B</b>	Florida	Agent	Approved	04/06/2022
<b>IA</b>	Florida	Investment Adviser Representative	Approved	04/06/2022
<b>B</b>	Georgia	Agent	Approved	03/25/2022
<b>IA</b>	Georgia	Investment Adviser Representative	Approved	04/13/2022
<b>B</b>	Illinois	Agent	Approved	08/25/2025



### Qualifications

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	08/25/2025
B Indiana	Agent	Approved	04/17/2023
IA Indiana	Investment Adviser Representative	Approved	04/26/2023
B Maryland	Agent	Approved	03/25/2022
IA Maryland	Investment Adviser Representative	Approved	12/05/2023
B Michigan	Agent	Approved	02/02/2024
IA Michigan	Investment Adviser Representative	Approved	02/02/2024
B Minnesota	Agent	Approved	04/19/2022
IA Minnesota	Investment Adviser Representative	Approved	03/19/2024
B Nevada	Agent	Approved	03/29/2022
IA Nevada	Investment Adviser Representative	Approved	04/28/2022
B New Jersey	Agent	Approved	03/25/2022
IA New Jersey	Investment Adviser Representative	Approved	03/25/2022
B New York	Agent	Approved	03/25/2022
IA New York	Investment Adviser Representative	Approved	04/12/2022
IA North Carolina	Investment Adviser Representative	Approved	04/18/2022
B North Carolina	Agent	Approved	04/22/2022
B Ohio	Agent	Approved	03/25/2022
B Pennsylvania	Agent	Approved	04/12/2022



### Qualifications

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	04/13/2022
B South Carolina	Agent	Approved	03/25/2022
B South Dakota	Agent	Approved	04/07/2026
IA South Dakota	Investment Adviser Representative	Approved	04/07/2026
B Texas	Agent	Approved	03/26/2022
B Virginia	Agent	Approved	04/08/2022
IA Virginia	Investment Adviser Representative	Approved	04/13/2022
IA Wisconsin	Investment Adviser Representative	Approved	07/29/2024
B Wisconsin	Agent	Approved	07/30/2024

### Branch Office Locations

**CENTAURUS FINANCIAL, INC.**  
7108 Fairway Drive  
Suite #120  
Palm Beach Gardens, FL 33418

**CENTAURUS FINANCIAL, INC.**  
111 3RD Avenue S  
Unit 225  
Minneapolis, MN 55401



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	12/09/1994

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	01/18/1986

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	04/02/1992
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	02/20/1986

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

#### Certified Financial Planner

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/27/2018 - 04/18/2022	CONCOURSE FINANCIAL GROUP ADVISORS	CRD# 15708	MARLTON, NJ
B	07/25/2018 - 04/18/2022	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CRD# 15708	MARLTON, NJ
IA	07/07/2015 - 08/06/2018	CONCORDE ASSET MANAGEMENT, LLC	CRD# 140367	MARLTON, NJ
B	07/06/2015 - 08/06/2018	CONCORDE INVESTMENT SERVICES, LLC	CRD# 151604	Marlton, NJ
IA	10/23/2014 - 07/06/2015	SUMMIT FINANCIAL GROUP INC	CRD# 109485	MARLTON, NJ
B	10/21/2014 - 07/06/2015	SUMMIT BROKERAGE SERVICES, INC.	CRD# 34643	Marlton, NJ
B	01/11/2008 - 11/06/2014	LINCOLN FINANCIAL SECURITIES CORPORATION	CRD# 3870	MARLTON, NJ
IA	01/11/2008 - 11/06/2014	LINCOLN FINANCIAL SECURITIES CORPORATION	CRD# 3870	MARLTON, NJ
IA	12/18/2003 - 01/14/2008	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	CHERRY HILL, NJ
B	10/30/2003 - 01/14/2008	SECURITIES AMERICA, INC.	CRD# 10205	CHERRY HILL, NJ
IA	06/06/2002 - 10/31/2003	MORGAN STANLEY	CRD# 7556	MOUNT LAUREL, NJ
B	09/08/1999 - 10/31/2003	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
B	04/11/1997 - 09/16/1999	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY
B	07/31/1992 - 04/28/1997	PAINWEBBER INCORPORATED	CRD# 8174	WEEHAWKEN, NJ
B	03/27/1989 - 08/26/1992	LEHMAN BROTHERS INC.	CRD# 7506	NEW YORK, NY
B	12/22/1987 - 04/06/1989	SMITH BARNEY, HARRIS UPHAM & CO., INCORPORATED	CRD# 7059	
B	01/21/1986 - 01/15/1988	E. F. HUTTON & COMPANY INC	CRD# 235	



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

Registration Dates	Firm Name	ID#	Branch Location
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### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	CENTAURUS FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	ANAHEIM, CA, United States
10/2003 - Present	(dba) E STREET FINANCIAL	PRESIDENT / INSURANCE AGENT	Y	MARLTON, NJ, United States
07/2018 - 03/2022	CONCOURSE FINANCIAL GROUP SECURITIES	REGISTERED REPRESENTATIVE	Y	BIRMINGHAM, AL, United States
07/2018 - 09/2021	PROEQUITIES, INC.	REGISTERED REP / INVESTMENT ADVISOR REP	Y	MARLTON, NJ, United States
07/2015 - 07/2018	CONCORDE ASSET MANAGEMENT, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	TROY, MI, United States
07/2015 - 07/2018	CONCORDE INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	TROY, MI, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. PRESIDENT, E STREET FINANCIAL, 102-H CENTRE BLVD., MARLTON, NJ 08053. NON INVESTMENT RELATED. DBA FOR BRANDING PURPOSES ONLY.

2. AGENT, LINSKY FINANCIAL GROUP, LLC. 102-H CENTRE BLVD. NJ, 08053. INVESTMENT RELATED AND I SPEND APPRX. 16 HOURS PER MONTH THIS ACTIVITY. PREPARING PRESENTATIONS TO CLIENTS ABOUT IUL'S, WHOLE LIFE AND LTC.

3. L3 FAMILY WEALTH PARTNERS  
POSITION: managing partner NATURE: Disclosing for branding purposes only INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2022  
ADDRESS: 1655 palm beach lakes BLVD, unit 402, west palm beach FL 33401, United States  
DESCRIPTION: Disclosing for branding purposes only

4. E STREET FINANCIAL SOUTH, LLC  
POSITION: MFR NATURE: S Corp only for purpose of accounting. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/20/2024  
ADDRESS: 7108 fairway Dr, Palm Beach Gardens FL 33418, United States  
DESCRIPTION: S Corp only for the purposes of accounting.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Employing firm when activities occurred which led to the complaint:</b>	Concorde Investment Services, LLC
<b>Allegations:</b>	The Claim alleges negligence, breach of fiduciary duty, violation of Pennsylvania Securities Act, violations of the Pennsylvania Unfair Trade Practices and Consumer Protection Law, and breach of contract in relation to investment recommendation in GPB Auto made by representative in October of 2016.
<b>Product Type:</b>	Direct Investment-DPP & LP Interests
<b>Alleged Damages:</b>	\$80,000.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	\$80,000.00 plus fees and damages.
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA
<b>Docket/Case #:</b>	19-03723
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	12/17/2019



### Customer Complaint Information

**Date Complaint Received:** 01/06/2020  
**Complaint Pending?** No  
**Status:** Settled  
**Status Date:** 01/04/2021  
**Settlement Amount:** \$75,000.00  
**Individual Contribution Amount:** \$0.00

**Reporting Source:** Individual  
**Employing firm when activities occurred which led to the complaint:** Concorde Investment Services, LLC

**Allegations:** The Claim alleges negligence, breach of fiduciary duty, violation of Pennsylvania Securities Act, violations of the Pennsylvania Unfair Trade Practices and Consumer Protection Law, and breach of contract in relation to investment recommendation in GPB Auto made by representative in October of 2016.

**Product Type:** Direct Investment-DPP & LP Interests

**Alleged Damages:** \$80,000.00

**Alleged Damages Amount Explanation (if amount not exact):** \$80,000 plus fees and damages

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 19-03723

**Filing date of arbitration/CFTC reparation or civil litigation:** 12/17/2019

### Customer Complaint Information

**Date Complaint Received:** 01/21/2020  
**Complaint Pending?** No  
**Status:** Settled  
**Status Date:** 01/04/2021  
**Settlement Amount:** \$75,000.00  
**Individual Contribution Amount:** \$0.00

**Broker Statement** I vehemently deny any wrongdoing and assert that the customer's allegations are completely without merit. Notwithstanding, in an effort to avoid protracted



proceedings, and the time and financial resources required, and in an effort to reach an expedited resolution with the customer, my broker/dealer unilaterally and without my agreement, settled with the customer, to which I made no monetary contribution.



## End of Report

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