



## IAPD Report

# JEFFREY JOSEPH SCRIMENTI

CRD# 1451945

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JEFFREY JOSEPH SCRIMENTI (CRD# 1451945)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/22/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	INNOVATION PARTNERS LLC	CRD# 146344	10/13/2015
<b>IA</b>	IP FINANCIAL ADVISORY SERVICES LLC	CRD# 305772	01/10/2020

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	INNOVATION PARTNERS LLC	146344	CHARLOTTE, NC	10/09/2015 - 05/05/2021
<b>IA</b>	J P TURNER & COMPANY CAPITAL MANAGEMENT, LLC	124446	SALEM, OR	06/14/2010 - 10/09/2015
<b>B</b>	J.P. TURNER & COMPANY, L.L.C.	43177	Salem, OR	06/14/2010 - 10/09/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **INNOVATION PARTNERS LLC**  
Main Address: 5950 FAIRVIEW ROAD  
SUITE 140  
CHARLOTTE, NC 28210  
Firm ID#: 146344

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Principal	Approved	10/13/2015
<b>B</b>	FINRA	General Securities Representative	Approved	10/13/2015
<b>B</b>	Arizona	Agent	Approved	10/13/2015
<b>B</b>	Oklahoma	Agent	Approved	02/27/2023
<b>B</b>	Oregon	Agent	Approved	10/20/2015
<b>B</b>	Tennessee	Agent	Approved	04/22/2026
<b>B</b>	Texas	Agent	Approved	09/27/2016

#### Branch Office Locations

**INNOVATION PARTNERS LLC**  
Salem, OR

#### Employment 2 of 2

Firm Name: **IP FINANCIAL ADVISORY SERVICES LLC**  
Main Address: 5950 FAIRVIEW ROAD, SUITE 140  
CHARLOTTE, NC 28210  
Firm ID#: 305772



### Qualifications

Regulator	Registration	Status	Date
IA Arizona	Investment Adviser Representative	Approved	12/08/2021
IA Idaho	Investment Adviser Representative	Approved	08/09/2021
IA Oregon	Investment Adviser Representative	Approved	01/10/2020
IA Pennsylvania	Investment Adviser Representative	Approved	01/10/2020
IA Washington	Investment Adviser Representative	Approved	01/10/2020

### Branch Office Locations

**IP FINANCIAL ADVISORY SERVICES LLC**  
Salem, OR




## Qualifications

### PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	01/19/2010

#### General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	03/15/1986

#### State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	01/18/2007
	Uniform Securities Agent State Law Examination (S63)	Series 63	10/09/1986

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/09/2015 - 05/05/2021	INNOVATION PARTNERS LLC	CRD# 146344	CHARLOTTE, NC
IA	06/14/2010 - 10/09/2015	J P TURNER & COMPANY CAPITAL MANAGEMENT, LLC	CRD# 124446	SALEM, OR
B	06/14/2010 - 10/09/2015	J.P. TURNER & COMPANY, L.L.C.	CRD# 43177	Salem, OR
IA	08/06/2008 - 06/15/2010	NEWBRIDGE FINANCIAL SERVICES GROUP, INC.	CRD# 130814	SALEM, OR
B	06/27/2008 - 06/15/2010	NEWBRIDGE SECURITIES CORPORATION	CRD# 104065	SALEM, OR
IA	01/22/2007 - 07/01/2008	WORKMAN SECURITIES CORPORATION	CRD# 31898	SALEM, OR
B	04/04/2006 - 07/01/2008	WORKMAN SECURITIES CORPORATION	CRD# 31898	SALEM, OR
B	07/23/2004 - 12/31/2004	MML INVESTORS SERVICES, INC.	CRD# 10409	SPRINGFIELD, MA
B	05/02/2001 - 07/22/2002	CENTAURUS FINANCIAL, INC.	CRD# 30833	ANAHEIM, CA
B	02/18/1997 - 12/31/2000	WASHINGTON SQUARE SECURITIES, INC.	CRD# 2882	WINDSOR, CT
B	05/08/1996 - 01/28/1997	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA
B	09/08/1993 - 03/14/1995	USLIFE EQUITY SALES CORP.	CRD# 7962	NEW YORK, NY
B	12/24/1991 - 09/25/1992	MUTUAL SERVICE CORPORATION	CRD# 4806	BOSTON, MA
B	05/08/1990 - 11/27/1991	KEOGLER, MORGAN & COMPANY, INC.	CRD# 16546	ATLANTA, GA
B	01/29/1987 - 05/16/1990	MUTUAL SERVICE CORPORATION	CRD# 4806	BOSTON, MA
B	03/19/1986 - 02/12/1987	PRUCO SECURITIES CORPORATION	CRD# 5685	



## Registration & Employment History

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2019 - Present	IP Financial Advisory Services LLC	Investment Advisor Representative	Y	Charlotte, NC, United States
10/2015 - Present	Innovation Partners LLC	Registered Representative	Y	Charlotte, NC, United States
12/2000 - Present	SELF EMPLOYED	INDEPENDENT INSURANCE AGENT	N	CULVER CITY, CA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) Independent Insurance Broker; 7575 Heron Street NE, Salem, OR; 1-2 hrs/month; Health and life insurance; Service existing clients and Sell products; Start 1985.
- 2.) CARLOVANNA VINEYARDS, WINE MARKETING, SALEM, OR. OWNER, START 2006, WIFE RUNS BUSINESS, I AM PART OWNER/ PRESIDENT. NON-INVESTMENT RELATED.
- 3.) JEFF & LISA SCRIMENTI FARM, SALEM OR, OWNER, FARM, NON-INVESTMENT RELATED. DEVOTE 1-2 HOURS A WEEK OUTSIDE TRADING HOURS. MAINTAIN NON FARMING AREA AND EQUIPMENT MAINTENANCE. START 2005.
- 4.) The Society for Financial Awareness - Currently Not Yet Active. 3914 Murphy Canyon Road, Suite A125, San Diego CA. Non-profit organization which promotes financial literacy, present varying financial power points on different topics to groups and organizations. Public Speaker. Investment Related. 1 hr/month.
5. Innovation Partners LLC - Registered Representative - Investment Related



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	NEWBRIDGE
<b>Allegations:</b>	CLIENT ALLEGES MISREPRESENTATION AND POOR RECOMMENDATION
<b>Product Type:</b>	Annuity-Variable
<b>Alleged Damages:</b>	\$7,400.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	03/26/2012
<b>Complaint Pending?</b>	No
<b>Status:</b>	Closed/No Action
<b>Status Date:</b>	01/22/2013

#### Settlement Amount:

**Individual Contribution Amount:**

**Broker Statement** ON 01/22/2013 WE WERE NOTIFIED BY FINRA THAT THIS ITEM WAS CLOSED OUT WITH NO ACTION.

**Disclosure 2 of 2**

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** SELF-EMPLOYED

**Allegations:** I AM NAMED AS ONE OF 84 DEFENDANTS IN A LAWSUIT FILED BY THE RECEIVER FOR THE MID-AMERICA FOUNDATION. I HAVE FILED AN ANSWER TO THE LAWSUIT, DENYING ANY WRONGDOING. THE RECEIVER'S CLAIMS AGAINST ME ARE SPURIOUS AND CANNOT BE PROVEN. I ACTED WITH ALL GOOD FAITH AND DUE DILIGENCE. ALL WRONGDOING AND DECEIT WAS DONE BY ROBERT DILLIE WHO DEFRAUDED MID-AMERICA AND STOLE THE MONEY. THE RECEIVER SEEKS TO RECOVER COMMISSIONS PAID TO ME OF APPROX. \$9000 FOR CHARITABLE GIFT ANNUITIES SOLD BETWEEN 1996 AND 2001. THE RECEIVER HAS REFUSED MY REASONABLE ATTEMPTS TO SETTLE THE MATTER AND SO I AM FIGHTING THESE FALSE CLAIMS.

**Product Type:** Other

**Other Product Type(s):** CHARITABLE GIFT ANNUITIES

**Alleged Damages:** \$9,696.81

**Customer Complaint Information**

**Date Complaint Received:** 03/14/2004

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 06/15/2005

**Settlement Amount:** \$5,000.00

**Individual Contribution Amount:** \$5,000.00

**Civil Litigation Information**

**Court Details:** UNITED STATES DISTRICT COURT FOR THE DISTRICT OF ARIZONA CASE NO. CV 03-2390 PHX JAT

**Date Notice/Process Served:** 03/14/2004

**Litigation Pending?** No

**Disposition:** Settled

**Disposition Date:** 06/05/2005

**Monetary Compensation Amount:** \$5,000.00

**Individual Contribution Amount:** \$5,000.00

**Broker Statement** THERE IS NO CUSTOMER COMPLAINT. LITIGATION WAS INITIATED BY THE RECEIVER FOR THE BANKRUPT MID AMERICA FOUNDATION FOLLOWING ROBERT DILLIE'S FRAUD AND THEFT.



## End of Report

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