



IAPD Report

ROBERT JAMES HALLDIN

CRD# 1458098

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ROBERT JAMES HALLDIN (CRD# 1458098)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/07/2023**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
IA VESTECH ASSET MANAGEMENT INC.	CRD# 285685	08/08/2017

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
IA AMERICAN PORTFOLIOS ADVISORS, INC	112697	HOLBROOK, NY	02/28/2012 - 07/06/2017
B AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	18487	Newington, CT	02/28/2012 - 07/06/2017
IA PACIFIC WEST FINANCIAL CONSULTANTS INC	108728	RENTON, WA	08/03/2009 - 02/15/2012

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3
Financial	3
Judgment/Lien	2




Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **VESTECH ASSET MANAGEMENT INC.**
Main Address: 11477 OLDE CABIN ROAD
SUITE 310
SAINT LOUIS, MO 63141
Firm ID#: 285685

	Regulator	Registration	Status	Date
	Connecticut	Investment Adviser Representative	Approved	08/08/2017

Branch Office Locations

VESTECH ASSET MANAGEMENT INC.
Bonita Springs, FL



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	03/07/1995

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	07/06/2017
	General Securities Representative Examination (S7)	Series 7	03/15/1986

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	01/26/1996
	Uniform Securities Agent State Law Examination (S63)	Series 63	03/26/1986

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/28/2012 - 07/06/2017	AMERICAN PORTFOLIOS ADVISORS, INC	CRD# 112697	HOLBROOK, NY
B	02/28/2012 - 07/06/2017	AMERICAN PORTFOLIOS FINANCIAL SERVICES, INC.	CRD# 18487	Newington, CT
IA	08/03/2009 - 02/15/2012	PACIFIC WEST FINANCIAL CONSULTANTS INC	CRD# 108728	RENTON, WA
B	07/31/2009 - 02/15/2012	PACIFIC WEST SECURITIES, INC.	CRD# 6390	WINDSOR, CT
B	11/10/2005 - 08/03/2009	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	WEST HARTFORD, CT
IA	11/10/2005 - 08/03/2009	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	WEST HARTFORD, CT
B	01/01/2005 - 11/11/2005	BANCNORTH INVESTMENT GROUP, INC.	CRD# 31299	ST. CLOUD, MN
IA	03/04/2003 - 11/11/2005	PRIMEVEST FINANCIAL SERVICES, INC.	CRD# 15340	NEWINGTON, CT
B	03/04/2003 - 01/01/2005	PRIMEVEST FINANCIAL SERVICES, INC.	CRD# 15340	ST. CLOUD, MN
B	08/23/2000 - 03/06/2003	NATIONAL PLANNING CORPORATION	CRD# 29604	LOS ANGELES, CA
IA	08/23/2000 - 03/06/2003	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	NEWINGTON, CA
B	06/26/2000 - 08/28/2000	WEBSTER INVESTMENT SERVICES, INC.	CRD# 46588	KENSINGTON, CT
B	06/30/1997 - 06/23/2000	MECHANICS INVESTMENT SERVICES, INC.	CRD# 42738	HARTFORD, CT
B	12/12/1994 - 07/17/1997	U.S. CLEARING CORP.	CRD# 13071	DALLAS, TX
B	04/30/1991 - 12/13/1994	BANCA IMI SECURITIES CORP.	CRD# 19418	NEW YORK, NY
B	06/13/1988 - 04/30/1991	MABON, NUGENT & CO.	CRD# 2617	



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/24/1987 - 06/14/1988	ISFA CORPORATION	CRD# 12984	
B	03/19/1986 - 11/20/1987	DEAN WITTER REYNOLDS INC.	CRD# 7556	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2017 - Present	Vestech Asset Management, Inc.	Investment Adviser Representative	Y	Saint Louis, MO, United States
02/2012 - 07/2017	AMERICAN PORTFOLIOS FINANCIAL SERVICES INC	REGISTERED REPRESENTATIVE	Y	HOLBROOK, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3
Financial	3
Judgment/Lien	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	
Date Initiated:	12/03/2020
Docket/Case Number:	2017056119601
Employing firm when activity occurred which led to the regulatory action:	American Portfolio Financial Service, Inc
Product Type:	No Product
Allegations:	Without admitting or denying the findings, Halldin consented to the sanction and to the entry of findings that he refused to appear for on-the-record testimony requested by FINRA as a part of an investigation that originated from its review of a series of Form U5 amendments filed by his former member firm. The findings stated that the Form U5s disclosed complaints and arbitrations filed against Halldin alleging that he traded securities in individuals' brokerage accounts held away from the firm.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

Resolution Date:

12/03/2020

Sanctions Ordered:

Bar (Permanent)

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?

No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type: Bar (Permanent)

Capacities Affected: All Capacities

Duration: Indefinite

Start Date: 12/03/2020

End Date:

Reporting Source: Individual

Regulatory Action Initiated By: FINRA

Sanction(s) Sought: Bar

Date Initiated: 12/03/2020

Docket/Case Number: [2017056119601](#)

Employing firm when activity occurred which led to the regulatory action: American Portfolios

Product Type: Other: Brokerage Account

Allegations: Trading securities in individuals' brokerage accounts held away from the firm.

Current Status: Final

Resolution: Acceptance, Waiver & Consent(AWC)

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 12/03/2020

Sanctions Ordered: Bar (Permanent)



Sanction 1 of 1

Sanction Type: Bar (Permanent)

Capacities Affected: All Capacities

Duration: Permanent

Start Date: 12/03/2020

End Date:



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	American Portfolios Financial Services, Inc.
Allegations:	Breach of fiduciary duty, violation of Florida Securities Investor Protection Act, negligence, failure to supervise.
Product Type:	Other: Unknown
Alleged Damages:	\$2,200,000.00
Alleged Damages Amount Explanation (if amount not exact):	\$2.2M plus unspecified amounts
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	19-00834
Filing date of arbitration/CFTC reparation or civil litigation:	04/03/2019

Customer Complaint Information

Date Complaint Received:	04/05/2019
Complaint Pending?	No
Status:	Denied
Status Date:	12/09/2020
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 2 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	American Portfolios Financial Services, Inc.



Allegations: On or about 9/5/2014 until on or about 9/2017 the allegations are that the representative made unsuitable investments in accounts held away from the firm.

Product Type: Other: Stocks, Exchange Traded Funds

Alleged Damages: \$106,739.00

Alleged Damages Amount Explanation (if amount not exact): The allegations are of at least 106,739 in compensatory damages.

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 17-02888

Filing date of arbitration/CFTC reparation or civil litigation: 10/27/2017

Customer Complaint Information

Date Complaint Received: 01/15/2018

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 02/08/2018

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 17-02888

Date Notice/Process Served: 01/15/2018

Arbitration Pending? No

Disposition: Settled

Disposition Date: 04/23/2019

Monetary Compensation Amount: \$47,500.00

Individual Contribution Amount: \$0.00

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Reporting Source: Individual



Employing firm when activities occurred which led to the complaint: American Portfolios Financial Services, Inc.

Allegations: On or about 09/05/2014 until on or about 09/2017 the allegations are that the representative made unsuitable investments in accounts held away from the firm.

Product Type: Other: Stocks, Exchange Traded Funds

Alleged Damages: \$106,739.00

Alleged Damages Amount Explanation (if amount not exact): The allegations are of at least 106,739 in compensatory damages.

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 17-02888

Filing date of arbitration/CFTC reparation or civil litigation: 10/27/2017

Customer Complaint Information

Date Complaint Received: 01/15/2018

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 02/08/2018

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: 17-02888

Date Notice/Process Served: 01/15/2018

Arbitration Pending? Yes

Broker Statement I adamantly deny these allegations. The complain has never been a customer or ever had an account with me.

Disclosure 3 of 3

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint:	American Portfolios Financial Services Inc.
Allegations:	Churning, unsuitable investments , unauthorized trading , overconcentration.
Product Type:	Equity-OTC Equity Listed (Common & Preferred Stock) Other: ETF's
Alleged Damages:	\$751,414.00
Alleged Damages Amount Explanation (if amount not exact):	Alternative to compensatory damages , claimant is seeking over \$1,000,000.00 based on market adjustment claim,fees and charges.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA - Hartford, CT.
Docket/Case #:	16-01146
Filing date of arbitration/CFTC reparation or civil litigation:	04/22/2016
Customer Complaint Information	
Date Complaint Received:	05/16/2016
Complaint Pending?	No
Status:	Settled
Status Date:	12/10/2016
Settlement Amount:	\$400,000.00
Individual Contribution Amount:	\$0.00
Broker Statement	Regarding my first complaint in 30 yrs in the business. This complaint was initiated by an individual who I've never meet or spoken to and had never sat in on any of my customer meetings. This complaint was from a distant relative of my clients, a client of which I worked on his portfolio for over 16 years. I've always looked out for the best interests of my clients and was deeply surprised by the initiation of the dispute. I was not looking to settle and therefore contributed nothing towards the final settlement.



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 3

Reporting Source: Individual

Action Type: Bankruptcy

Bankruptcy: Chapter 7

Action Date: 08/18/2020

Organization Investment-Related?

Type of Court: Federal Court

Name of Court: Bankruptcy Court Southern District of Florida

Location of Court: Southern District of Florida

Docket/Case #: 20-18848-PDR

Action Pending? Yes

Disclosure 2 of 3

Reporting Source: Regulator

Action Type: Bankruptcy

Bankruptcy: Chapter 13

Action Date: 10/30/2017

Organization Investment-Related?

Type of Court: Federal Court

Name of Court: United States Bankruptcy Court

Location of Court: FLORIDA SOUTHERN - FT LAUDERDALE

Docket/Case #: 17-23081

Action Pending? No

Disposition: DENYING CONFIRMATION AND DISMISSING CHAPTER 13 CASE

Disposition Date: 08/20/2018

Regulator Statement

Proceedings in FINRA Arbitration Case #17-02888 against Robert James Halldin were stayed by Bankruptcy proceedings in United States Bankruptcy Court, Florida Southern - Ft Lauderdale, Case #17-23081.

On August 20, 2018, the Court ordered as follows: 1) Confirmation of the proposed chapter 13 plan is denied. 2) This case is dismissed with prejudice as to the filing of any bankruptcy case in any federal bankruptcy court in the United States of America by the above-named debtor for 180 days from entry of this order, or the expiration of any prejudice period set in any previous order, whichever is later.



Reporting Source: Individual
Action Type: Bankruptcy
Bankruptcy: Chapter 11
Action Date: 11/20/2017
Organization Investment-Related?
Type of Court: Federal Court
Name of Court: United States Bankruptcy Court Southern District of Florida
Location of Court: Fort Lauderdale, Florida
Docket/Case #: 17-23081-RBR
Action Pending? No
Disposition: Dismissed
Disposition Date: 04/25/2019

Disclosure 3 of 3

Reporting Source: Individual
Action Type: Bankruptcy
Bankruptcy: Chapter 13
Action Date: 02/13/2017
Organization Investment-Related?
Type of Court: Federal Court
Name of Court: United States Bankruptcy Court
Location of Court: Southern District of Florida
Docket/Case #: 17-11716-RBR
Action Pending? No
Disposition: Dismissed
Disposition Date: 06/19/2017
Broker Statement This motion was applied in accordance with a re-modification.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 2

Reporting Source: Individual
Judgment/Lien Holder: Harbourage Place Condominium Association
Judgment/Lien Amount: \$67,275.71
Judgment/Lien Type: Civil
Date Filed with Court: 08/05/2020
Date Individual Learned: 08/05/2020
Type of Court: State Court
Name of Court: 17th Judicial Circuit Court
Location of Court: Broward County, Florida
Docket/Case #: CACE19025863
Judgment/Lien Outstanding? Yes

Disclosure 2 of 2

Reporting Source: Individual
Judgment/Lien Holder: HARBOURAGE PLACE CONDOMINIUM ASSOCIATION
Judgment/Lien Amount: \$195,903.34
Judgment/Lien Type: Civil
Date Filed with Court: 01/14/2014
Date Individual Learned: 01/31/2014
Type of Court: State Court
Name of Court: 17TH JUDICIAL CIRCUIT COURT BROWARD COUNTY
Location of Court: BROWARD COUNTY, FLORIDA
Docket/Case #: 10-36419
Judgment/Lien Outstanding? Yes

Broker Statement

OVER THE PAST SEVERAL YEARS I HAVE BEEN ATTEMPTING TO SELL AN EXPENSIVE CONDOMINIUM IN SOUTH FLORIDA WITH LITTLE SUCCESS. I THOUGHT I HAD THE SITUATION RESOLVED WITH A PURCHASE CONTRACT IN PLACE FOR OVER FOUR MONTHS. THIS PAST DECEMBER, AS THE CLOSING APPROACHED ON MY PROPERTY THE DEAL ENDED UP FALLING THROUGH. I DO HAVE A COMMITMENT TO RESOLVE/SELL MY PROPERTY AS QUICKLY AS POSSIBLE, AND I HAVE BEEN WORKING WITH SEVERAL PROFESSIONALS TO RESOLVE THIS MATTER.



End of Report

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