



IAPD Report

CHRISTOPHER BOSCHERT

CRD# 1461209

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CHRISTOPHER BOSCHERT (CRD# 1461209)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/08/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	03/24/2022
IA	STRATEGIC ADVISERS LLC	CRD# 104555	03/31/2025

QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIDELITY PERSONAL AND WORKPLACE ADVISORS	288590	ST CHARLES, MO	04/13/2022 - 03/31/2025
IA	WELLS FARGO CLEARING SERVICES, LLC	19616	ST. LOUIS, MO	03/06/2017 - 02/23/2022
B	WELLS FARGO CLEARING SERVICES, LLC	19616	ST. LOUIS, MO	11/11/2016 - 02/23/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 53 jurisdiction(s) and 2 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STRATEGIC ADVISERS LLC**

Main Address: 155 SEAPORT BLVD
BOSTON, MA 02210-2698

Firm ID#: 104555

Regulator	Registration	Status	Date
IA Missouri	Investment Adviser Representative	Approved	03/31/2025
IA Texas	Investment Adviser Representative	Restricted Approval	01/13/2026

Branch Office Locations

STRATEGIC ADVISERS LLC
ST CHARLES, MO

Employment 2 of 2

Firm Name: **FIDELITY BROKERAGE SERVICES LLC**

Main Address: 900 SALEM STREET
SMITHFIELD, RI 02917

Firm ID#: 7784

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	03/24/2022
B FINRA	General Securities Representative	Approved	03/24/2022
B FINRA	Securities Trader	Approved	03/24/2022
B New York Stock Exchange	General Securities Principal	Approved	03/24/2022
B New York Stock Exchange	General Securities Representative	Approved	03/24/2022



Qualifications

Regulator	Registration	Status	Date
B New York Stock Exchange	Securities Trader	Approved	03/24/2022
B Alabama	Agent	Approved	03/24/2022
B Alaska	Agent	Approved	03/24/2022
B Arizona	Agent	Approved	03/24/2022
B Arkansas	Agent	Approved	03/24/2022
B California	Agent	Approved	03/24/2022
B Colorado	Agent	Approved	03/24/2022
B Connecticut	Agent	Approved	03/24/2022
B Delaware	Agent	Approved	03/24/2022
B District of Columbia	Agent	Approved	03/24/2022
B Florida	Agent	Approved	03/24/2022
B Georgia	Agent	Approved	03/24/2022
B Hawaii	Agent	Approved	03/24/2022
B Idaho	Agent	Approved	03/24/2022
B Illinois	Agent	Approved	03/24/2022
B Indiana	Agent	Approved	03/24/2022
B Iowa	Agent	Approved	03/24/2022
B Kansas	Agent	Approved	03/24/2022
B Kentucky	Agent	Approved	03/24/2022



Qualifications

Regulator	Registration	Status	Date
B Louisiana	Agent	Approved	03/24/2022
B Maine	Agent	Approved	03/24/2022
B Maryland	Agent	Approved	03/24/2022
B Massachusetts	Agent	Approved	03/24/2022
B Michigan	Agent	Approved	03/24/2022
B Minnesota	Agent	Approved	03/24/2022
B Mississippi	Agent	Approved	03/24/2022
B Missouri	Agent	Approved	03/24/2022
B Montana	Agent	Approved	03/24/2022
B Nebraska	Agent	Approved	03/24/2022
B Nevada	Agent	Approved	03/24/2022
B New Hampshire	Agent	Approved	03/24/2022
B New Jersey	Agent	Approved	03/24/2022
B New Mexico	Agent	Approved	03/24/2022
B New York	Agent	Approved	03/24/2022
B North Carolina	Agent	Approved	03/24/2022
B North Dakota	Agent	Approved	03/24/2022
B Ohio	Agent	Approved	03/24/2022
B Oklahoma	Agent	Approved	03/24/2022



Qualifications

Regulator	Registration	Status	Date
B Oregon	Agent	Approved	03/24/2022
B Pennsylvania	Agent	Approved	03/24/2022
B Puerto Rico	Agent	Approved	03/24/2022
B Rhode Island	Agent	Approved	03/24/2022
B South Carolina	Agent	Approved	03/24/2022
B South Dakota	Agent	Approved	03/24/2022
B Tennessee	Agent	Approved	03/24/2022
B Texas	Agent	Approved	03/24/2022
B Utah	Agent	Approved	03/24/2022
B Vermont	Agent	Approved	03/24/2022
B Virgin Islands	Agent	Approved	03/24/2022
B Virginia	Agent	Approved	03/24/2022
B Washington	Agent	Approved	03/24/2022
B West Virginia	Agent	Approved	03/24/2022
B Wisconsin	Agent	Approved	03/24/2022
B Wyoming	Agent	Approved	03/24/2022

Branch Office Locations

FIDELITY BROKERAGE SERVICES, LLC
ST CHARLES, MO



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	09/25/2002

General Industry/Product Exams

Exam	Category	Date
 Securities Trader Exam (S57TO)	Series 57TO	01/02/2023
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Limited Representative-Equity Trader Exam (S55)	Series 55	03/22/1999
 General Securities Representative Examination (S7)	Series 7	09/19/1987

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	02/12/2016
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/12/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/13/2022 - 03/31/2025	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	ST CHARLES, MO
IA	03/06/2017 - 02/23/2022	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	ST. LOUIS, MO
B	11/11/2016 - 02/23/2022	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	ST. LOUIS, MO
B	08/11/2016 - 11/11/2016	FIRST CLEARING, LLC	CRD# 17344	ST. LOUIS, MO
IA	02/19/2016 - 02/24/2016	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	St. Charles, MO
B	10/15/2015 - 02/24/2016	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	SAINT CHARLES, MO
B	06/03/2014 - 10/24/2014	J.A. GLYNN INVESTMENTS, LLC.	CRD# 22011	ST LOUIS, MO
B	10/01/2011 - 04/28/2014	SUNGARD BROKERAGE & SECURITIES SERVICES LLC	CRD# 104162	GENEVA, IL
B	01/25/2002 - 09/30/2011	SUNGARD INSTITUTIONAL BROKERAGE INC.	CRD# 8509	ST. LOUIS, MO
B	07/07/1993 - 01/17/2002	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. LOUIS, MO
B	09/24/1987 - 07/07/1993	EDWARD D. JONES & CO., L.P.	CRD# 250	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	Fidelity Investments	Mass Transfer	Y	BOSTON, MA, United States
03/2022 - Present	FIDELITY INVESTMENTS	SSG SR SERVICE SUPPORT SPECIALIST	Y	ST CHARLES, MO, United States
11/2016 - 02/2022	WELLS FARGO CLEARING SERVICES, LLC	Mass Transfer	Y	ST. LOUIS, MO, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2016 - 11/2016	WELLS FARGO ADVISORS, LLC	Mass Transfer	Y	ST. LOUIS, MO, United States
07/2016 - 11/2016	FIRST CLEARING	Registered Rep	Y	St Louis, MO, United States
03/2016 - 07/2016	Unemployed	Unemployed	N	St. Charles, MO, United States
02/2016 - 03/2016	Cambridge Investment Research Advisors, Inc.	Investment Adviser Representative	Y	Fairfield, IA, United States
10/2015 - 03/2016	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



End of Report

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