



IAPD Report

CURTIS BRIAN WILSON

CRD# 1517272

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CURTIS BRIAN WILSON (CRD# 1517272)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/06/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CREATIVEONE WEALTH, LLC	CRD# 281213	01/04/2021

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SECURITIES AMERICA, INC.	10205	TULSA, OK	07/09/2015 - 12/31/2020
IA	BEAM ASSET MANAGEMENT, LLC	109867	Tulsa, OK	11/20/2012 - 12/31/2020
B	LPL FINANCIAL LLC	6413	TULSA, OK	11/19/2012 - 07/21/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **3** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CREATIVEONE WEALTH, LLC**
Main Address: 6330 SPRINT PKWY
SUITE 400
OVERLAND PARK, KS 66211
Firm ID#: 281213

	Regulator	Registration	Status	Date
	Louisiana	Investment Adviser Representative	Approved	03/09/2021
	Oklahoma	Investment Adviser Representative	Approved	03/05/2021
	Texas	Investment Adviser Representative	Restricted Approval	01/04/2021

Branch Office Locations

CREATIVEONE WEALTH, LLC
Tulsa, OK



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	11/16/2012
 Futures Managed Funds Examination (S31)	Series 31	08/31/1993

State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	12/01/2012
 Uniform Investment Adviser Law Examination (S65)	Series 65	01/19/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/09/2015 - 12/31/2020	SECURITIES AMERICA, INC.	CRD# 10205	TULSA, OK
IA	11/20/2012 - 12/31/2020	BEAM ASSET MANAGEMENT, LLC	CRD# 109867	Tulsa, OK
B	11/19/2012 - 07/21/2015	LPL FINANCIAL LLC	CRD# 6413	TULSA, OK
IA	12/12/2006 - 12/31/2012	SUMMIT INVESTMENT GROUP, LLC	CRD# 142452	TULSA, OK
IA	06/19/2006 - 03/14/2007	FWC WEALTH ADVISORS LLP	CRD# 131044	TULSA, OK
IA	03/31/2004 - 12/31/2004	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	TULSA, OK
B	03/29/2004 - 12/31/2004	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	ENGLEWOOD, CO
IA	01/11/1999 - 04/01/2004	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	TULSA, OK
B	01/11/1999 - 04/01/2004	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY
B	09/12/1989 - 01/08/1999	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	04/12/1989 - 10/04/1989	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	
B	07/23/1986 - 10/04/1989	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	ChangePath, LLC	Investment Adviser Representative	Y	Leawood, KS, United States
12/2006 - Present	SUMMIT INVESTMENT GROUP, LLC	Owner	Y	TULSA, OK, United States
07/2015 - 12/2020	SECURITIES AMERICA INC	REGISTERED REPRESENTATIVE	Y	TULSA, OK, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2012 - 12/2020	BEAM ASSET MANAGEMENT	INVESTMENT ADVISOR REPRESENTATIVE	Y	COVINGTON, LA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

***Summit Investment Group, LLC - DBA

***CURTIS WILSON

POSITION: Owner NATURE: Insurance Sales INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 10 START DATE: 01/01/2004 ADDRESS: 10505 S. 68Th E. Ave, Tulsa OK 74133 DESCRIPTION: Retirement Planning. Needs based selling of Life Insurance, Fixed Indexed Annuities, possible health insurance to meet the retirement goals of pre-retirees and retirees.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4
Financial	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CreativeOne Wealth, LLC

Allegations: The complaint alleged that Mr. Wilson traded S&P 500 put options in this advisory account on or about September 30, 2022, that were inappropriate, unsuitable, without the proper disclosures, advice or authorizations. While the Firm and Mr. Wilson deny these allegations, the parties are currently engaged in discussions with counsel for the claimant.

Product Type: Options

Alleged Damages: \$761,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 06/04/2024

Complaint Pending? No

Status: Settled

Status Date: 06/20/2025

Settlement Amount: \$425,000.00



Individual Contribution Amount: \$0.00

Disclosure 2 of 4

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: CreativeOne Wealth, LLC

Allegations: Customer alleges that representative's option-based trading strategy was not suitable after losses were incurred. Customer also alleges that risks associated with options trading were not adequately disclosed.

Product Type: Options

Alleged Damages: \$484,905.26

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 03/02/2023

Complaint Pending? No

Status: Settled

Status Date: 07/07/2023

Settlement Amount: \$250,000.00

Individual Contribution Amount: \$0.00

Disclosure 3 of 4

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: BEAM Asset Management

Allegations: failure to execute trades in a timely manner

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$25,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 10/08/2020

Complaint Pending? No



Status: Settled
Status Date: 10/19/2020
Settlement Amount: \$25,000.00
Individual Contribution Amount: \$25,000.00

Disclosure 4 of 4

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations: DENVER MEACHAM III, A CO-TRUSTEE ALLEGED UNAUTHORIZED TRANSACTIONS IN THE CUSTOMER TRUST.
Product Type: Equity-OTC
Alleged Damages: \$10,500.00
Is this an oral complaint? No
Is this a written complaint? Yes
Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 09/29/2000
Complaint Pending? No
Status: Denied
Status Date: 10/03/2000
Settlement Amount:
Individual Contribution Amount:

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Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: MERRILL LYNCH
Allegations: DENVER MEACHAM III, A CO-TRUSTEE ALLEGED UNAUTHORIZED TRANSACTIONS IN THE CUSTOMER TRUST.
Product Type: Equity - OTC
Alleged Damages: \$10,500.00

Customer Complaint Information

Date Complaint Received: 09/29/2000
Complaint Pending? Yes
Settlement Amount:



**Individual Contribution
Amount:**



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Compromise
Action Date:	10/03/2017
Organization Investment-Related?	
Type of Court:	No Court Action
Name of Court:	
Location of Court:	
Docket/Case #:	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	10/03/2017
If a compromise with creditor, provide:	
Name of Creditor:	Bank of America
Original Amount Owed:	\$15,447.53
Terms Reached with Creditor:	One time payment of \$7,524.00



End of Report

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