



IAPD Report

JEFFREY STEVEN SELTZER

CRD# 1522944

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6

i When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.
Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEFFREY STEVEN SELTZER (CRD# 1522944)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	10/14/2022
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	10/19/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	CETERA ADVISORS LLC	10299	Lauderdale by the Sea, FL	09/08/2022 - 10/26/2022
IA	CETERA INVESTMENT ADVISERS LLC	105644	LAUDERDALE BY THE SEA, FL	10/20/2020 - 10/26/2022
B	FIRST ALLIED SECURITIES, INC.	32444	Lauderdale by the Sea, FL	12/22/2014 - 09/08/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**
Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806
Firm ID#: 30833

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	10/14/2022
B	California	Agent	Approved	04/08/2024
B	Florida	Agent	Approved	10/18/2022
IA	Florida	Investment Adviser Representative	Approved	10/19/2022
B	Georgia	Agent	Approved	10/17/2022
B	Maryland	Agent	Approved	10/14/2022
B	New Hampshire	Agent	Approved	04/08/2024
B	New York	Agent	Approved	10/14/2022
IA	New York	Investment Adviser Representative	Approved	06/12/2025
B	Virginia	Agent	Approved	04/08/2024

Branch Office Locations

CENTAURUS FINANCIAL, INC.
275 Commercial Blvd.
Suite #200
Lauderdale by the Sea, FL 33308



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.




Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.


General Industry/Product Exams

Exam	Category	Date
------	----------	------

 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	02/03/1992
 National Commodity Futures Examination (S3)	Series 3	06/30/1986

State Securities Law Exams

Exam	Category	Date
------	----------	------

 Uniform Securities Agent State Law Examination (S63)	Series 63	02/25/1992
--	-----------	------------

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/08/2022 - 10/26/2022	CETERA ADVISORS LLC	CRD# 10299	Lauderdale by the Sea, F
IA	10/20/2020 - 10/26/2022	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	LAUDERDALE BY THE S FL
B	12/22/2014 - 09/08/2022	FIRST ALLIED SECURITIES, INC.	CRD# 32444	Lauderdale by the Sea, F
IA	12/22/2014 - 11/12/2020	FIRST ALLIED ADVISORY SERVICES, INC.	CRD# 137888	Lauderdale by the Sea, F
IA	06/29/2010 - 12/26/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	FORT LAUDERDALE, FL
B	06/25/2010 - 12/26/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	FORT LAUDERDALE, FL
IA	09/13/2005 - 07/02/2010	MORGAN KEEGAN & COMPANY, INC.	CRD# 4161	FT. LAUDERDALE, FL
B	09/09/2005 - 07/02/2010	MORGAN KEEGAN & COMPANY, INC.	CRD# 4161	FT. LAUDERDALE, FL
IA	11/02/2004 - 09/19/2005	H&R BLOCK FINANCIAL ADVISORS, INC.	CRD# 5979	FORT LAUDERDALE, FL
B	10/25/2004 - 09/19/2005	H&R BLOCK FINANCIAL ADVISORS, INC.	CRD# 5979	DETROIT, MI
B	06/20/1994 - 11/18/2004	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	ST. PETERSBURG, FL
IA	06/20/1994 - 11/18/2004	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	FT. LAUDERDALE, FL
B	07/31/1993 - 07/07/1994	SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY
B	04/05/1993 - 07/31/1993	LEHMAN BROTHERS INC.	CRD# 7506	NEW YORK, NY
B	02/05/1992 - 04/07/1993	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2022 - Present	Centaurus Financial Inc.	Registered Representative	Y	Anaheim, CA, United States
09/2022 - 10/2022	CETERA ADVISORS LLC	REGISTERED REPRESENTATIVE	Y	Lauderdale by the Sea, FL, United States
11/2020 - 09/2022	FIRST ALLIED ADVISORY SERVICES	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
12/2014 - 09/2022	FIRST ALLIED SECURITIES, INC	REGISTERED REPRESENTATIVE	Y	SAN DIEGO, CA, United States
12/2014 - 11/2020	First Allied Advisory Services, Inc.	Investment Advisory Representative	Y	CHESTERFIELD, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. GOLD COAST WEALTH MANAGEMENT

POSITION: President NATURE: DBA for branding purposes only INVESTMENT RELATED: No NUMBER OF HOURS: 0
SECURITIES TRADING HOURS: 0 START DATE: 11/1/2016
ADDRESS: 275 Commercial Blvd., Suite #200, Lauderdale by the Sea, FL 33308, United States
DESCRIPTION: DBA for branding purpose only

2. REAL ESTATE BROKER LICENSE

POSITION: Broker NATURE: Real Estate Broker, no activity. INVESTMENT RELATED: No NUMBER OF HOURS: 0
SECURITIES TRADING HOURS: 0 START DATE: 08/01/1980
ADDRESS: Fort Lauderdale FL 33308, United States
DESCRIPTION: I have a Real Estate Brokers License that I have held for over 30 years. I am not actively selling Real Estate and I am not presently earning commissions from this license.

3. SELTZER & COMPANY, INC.

POSITION: President NATURE: We are an agency for Florida Blue offering Health Insurance and Medicare. INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 11/01/2016
ADDRESS: 275 Commercial Blvd., Suite #200, Lauderdale by the Sea FL 33308, United States
DESCRIPTION: my duties are administrative. This corporation is used for paying office bills for Gold Coast Wealth Management and our authorized Florida Blue Health Agency.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	RAYMOND JAMES & ASSOCIATES, INC.
Allegations:	**SUITABILITY** CUSTOMER ALLEGES THAT MANAGED ACCOUNTS NEWBRIDGE AND MASTRAPASQUA WERE NOT SUITABLE AND THAT AN EQUITY TRANSACTION WAS NOT AUTHORIZED. NO DOLLAR AMOUNT SPECIFIED - FIRM ESTIMATES OVER \$5,000.
Product Type:	Other
Other Product Type(s):	MANAGED/WRAP ACCOUNTS
Alleged Damages:	\$5,000.00

Customer Complaint Information

Date Complaint Received:	01/14/2003
Complaint Pending?	No
Status:	Denied
Status Date:	03/04/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement	THERE WAS NOT AN UNAUTHORIZED TRADE, CLIENT WAS CONFUSED WITH A REORG OF HIS STOCK AND THE CLIENT'S MANAGED ACCOUNT
-------------------------	---



WAS SUITABLE AND UNDERSTOOD.**UPDATE - FIRM DENIED CLAIM**



End of Report

This page is intentionally left blank.