



IAPD Report

JOHN DAVITT EIFE

CRD# 1523614

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOHN DAVITT EIFE (CRD# 1523614)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	11/24/2023
IA	ALLSTATE FINANCIAL ADVISORS, LLC	CRD# 109524	01/09/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	NYLIFE SECURITIES LLC	5167	JACKSONVILLE, FL	03/11/2023 - 11/06/2023
B	COMMONWEALTH FINANCIAL NETWORK	8032	NEWTOWN SQUARE, PA	03/11/2005 - 12/31/2006
IA	COMMONWEALTH FINANCIAL NETWORK	8032	NEWTOWN SQUARE, PA	03/11/2005 - 12/31/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ALLSTATE FINANCIAL SERVICES, LLC**
Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508-1380
Firm ID#: 18272

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	11/24/2023
	FINRA	Invest. Co and Variable Contracts	Approved	11/24/2023
	Georgia	Agent	Approved	11/27/2023
	South Carolina	Agent	Approved	10/23/2025

Branch Office Locations

531 S Main St
Hinesville, GA 31313-4324

Employment 2 of 2

Firm Name: **ALLSTATE FINANCIAL ADVISORS, LLC**
Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508
Firm ID#: 109524

	Regulator	Registration	Status	Date
	Georgia	Investment Adviser Representative	Approved	01/09/2024

Branch Office Locations

ALLSTATE FINANCIAL ADVISORS, LLC
531 S Mian St
Hinesville, GA 31313-4324



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	08/01/1995

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	06/26/2023
B Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	03/10/2023
B Securities Industry Essentials Examination (SIE)	SIE	11/04/2022
B General Securities Representative Examination (S7)	Series 7	07/19/1986

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	04/07/2023
IA Uniform Investment Adviser Law Examination (S65)	Series 65	08/31/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/11/2023 - 11/06/2023	NYLIFE SECURITIES LLC	CRD# 5167	JACKSONVILLE, FL
B	03/11/2005 - 12/31/2006	COMMONWEALTH FINANCIAL NETWORK	CRD# 8032	NEWTOWN SQUARE, P.
IA	03/11/2005 - 12/31/2006	COMMONWEALTH FINANCIAL NETWORK	CRD# 8032	NEWTOWN SQUARE, P.
IA	07/02/2001 - 02/11/2005	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	NEWTON SQUARE, PA
B	06/09/2000 - 02/11/2005	SECURITIES AMERICA, INC.	CRD# 10205	LAVISTA, NE
B	04/24/1995 - 06/15/2000	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD
B	01/02/1991 - 05/01/1995	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	07/23/1986 - 01/30/1991	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	Allstate Financial Advisors LLC	Representative	Y	Lincoln, NE, United States
11/2023 - Present	Allstate Financial Services LLC	Agent	Y	Lincoln, NE, United States
11/2023 - Present	Allstate Insurance Co	Agent	N	Northbrook, IL, United States
04/2022 - 11/2023	St Marys Aquatic Club	Owner	N	St Mary's, GA, United States
02/2023 - 10/2023	NYLife Securities LLC	Registered Rep	Y	Jacksonville, FL, United States
12/2022 - 10/2023	New York Life Insurance Company	Agent	Y	Jacksonville, FL, United States
04/2022 - 01/2023	City of Saint Marys	Assistant Aquatics Manager	N	St Marys, GA, United States
04/2018 - 04/2022	Camden County PSA	Aquatics Supervisor	N	Kingsland, GA, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2017 - 04/2018	Camden Stingrays	Owner/Swim Coach	N	St Marys, GA, United States
04/2015 - 04/2017	Camden County Boomers	Swim Coach	N	Kings Bay, GA, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC,

Allegations: ACCOUNT RELATED-BREACH OF CONTRACT; ACCOUNT RELATED-NEGLIGENCE; BRCH OF FIDUCIARY DT; OTHER

Product Type:

Alleged Damages: \$142,957.20

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #96-04774

Date Notice/Process Served: 11/08/1996

Arbitration Pending? No

Disposition: Settled

Disposition Date: 08/14/1997

Disposition Detail: CLOSED - PARTIES SETTLED THRU MEDIATION
ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; TREBLE DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY; PUNITIVE/EXEMPLARY DAMAGES, RELIEF REQUEST IS



WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;
INTEREST, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD
AMOUNT
JOINTLY AND SEVERALLY; OTHER COSTS, RELIEF REQUEST IS
WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;
ATTORNEY'S FEES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC,
AWARD
AMOUNT JOINTLY AND SEVERALLY

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Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC,

Allegations: CLIENTS ALLEGE UNSUITABLE INVESTMENTS IN ALLIANCE SHORT TERM MULTI-MARKET AND KRUPP GOV'T INCOME TRUST II AND ALLEGES COMPENSATORY DAMAGES OF \$53,000. CLAIMANTS ALSO SOUGHT TRBLE DAMAGES AND RETURN OF COMMISSIONS.

Product Type:

Alleged Damages: \$142,957.20

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: National Assoc. of Securities Dealers; 96-04774

Date Notice/Process Served: 11/08/1996

Arbitration Pending? No

Disposition: Settled

Disposition Date: 08/14/1997

Monetary Compensation Amount: \$30,000.00

Individual Contribution Amount: \$5,000.00

Firm Statement TO AVOID THE RISK AND UNCERTAINTY OF LITIGATION AND WITHOUT ANY ADMISSION OF LIABILITY, DEAN WITTER AREED TO PAY CLAIMANTS \$25,000. FOR THE SAME REASON, MR. EIFE INDIVIDUALLY SETTLED WITH CLAIMANTS FOR \$5,000 WITHOUT ANY ADMISSION OF WRONGDOING AND OR LIABILITY.
Not Provided

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC,

Allegations: [CUSTOMER] ALLEGES THAT BETWEEN 1991 AND 1995, WHILE MR. EIFE WAS EMPLOYED BY DEAN WITTER, MR. EIFE RECOMMENDED THE PURCHASE OF TWO UNSUITABLE INVESTMENTS (A FIXED INCOME MUTUAL FUND AND KRUPP GOVERNMENT INCOME TRUST II) AND RECOMMENDED THAT CERTAIN PURCHASES AND SALES BE MADE FOR THE PRIMARY PURPOSE OF GENERATING COMMISSIONS. SHE ALLEGES DAMAGES IN EXCESS OF \$49,000.

Product Type: Mutual Fund
Other: GOVERNMENT INCOME TRUST

Alleged Damages: \$142,957.20

Customer Complaint Information

Date Complaint Received: 11/08/1996

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 08/14/1997

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA f/k/a NATIONAL ASSOC. OF SECURITIES DEALERS

Docket/Case #: 96-04774

Date Notice/Process Served: 11/08/1996

Arbitration Pending? No

Disposition: Settled

Disposition Date: 08/14/1997

Monetary Compensation Amount: \$30,000.00

Individual Contribution Amount: \$5,000.00

Broker Statement WITHOUT ADMITTING OR DENYING LIABILITY, MR. EIFE AGREED TO PAY THE [CUSTOMERS] \$5,000 IN SETTLEMENT OF THEIR CLAIM. BASED ON THE INFORMATION PROVIDED BY THE CLIENT AND FROM OTHER SOURCES AVAILABLE TO ME AT THE TIME, ALL INVESTMENT RECOMMENDATIONS WERE SUITABLE. IN ADDITION, ALL RECOMMENDATIONS WERE MADE FOR THE PRIMARY PURPOSE OF MEETING THE CLIENTS STATED OBJECTIVES. I AGREED TO SETTLE THIS MATTER SOLELY FOR THE PURPOSE OF AVOIDING THE TIME AND EXPENSE OF GOING TO ARBITRATION.



End of Report

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