



## IAPD Report

# Christopher A Cokinis

CRD# 1527389

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Christopher A Cokinis (CRD# 1527389)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/02/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	LINCOLN INVESTMENT	CRD# 519	11/07/2025
<b>IA</b>	LINCOLN INVESTMENT	CRD# 519	11/12/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	CALTON & ASSOCIATES, INC.	20999	TAMPA, FL	06/16/2021 - 11/10/2023
<b>IA</b>	CALTON & ASSOCIATES, INC.	20999	TAMPA, FL	05/11/2021 - 11/10/2023
<b>B</b>	AMERIPRISE FINANCIAL SERVICES, LLC	6363	SARASOTA, FL	01/29/2021 - 05/06/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **LINCOLN INVESTMENT**  
Main Address: 601 OFFICE CENTER DRIVE  
SUITE 300  
FORT WASHINGTON, PA 19034-3232  
Firm ID#: 519

Regulator	Registration	Status	Date
B FINRA	Compliance Officer	Approved	11/07/2025
B FINRA	Financial and Operations Principal	Approved	11/07/2025
B FINRA	General Securities Principal	Approved	11/07/2025
B FINRA	General Securities Representative	Approved	11/07/2025
B FINRA	General Securities Sales Supervisor	Approved	11/07/2025
B FINRA	Municipal Securities Principal	Approved	11/07/2025
B FINRA	Municipal Securities Representative	Approved	11/07/2025
B FINRA	Registered Options Principal	Approved	11/07/2025
B Florida	Agent	Approved	11/10/2025
IA Florida	Investment Adviser Representative	Approved	11/12/2025
B Kansas	Agent	Approved	01/05/2026

### Branch Office Locations

**LINCOLN INVESTMENT**  
16241 N. FLORIDA AVE



## Qualifications

LUTZ, FL 33549










## Qualifications

### PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 7 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**


#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 Compliance Officer Examination (S14)	Series 14	01/02/2023
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 Financial and Operations Principal Examination (S27)	Series 27	07/06/1989
 Registered Options Principal Examination (S4)	Series 4	09/22/1987
 Municipal Securities Principal Examination (S53)	Series 53	11/24/1986
 General Securities Principal Examination (S24)	Series 24	08/04/1986

#### General Industry/Product Exams

Exam	Category	Date
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018

#### State Securities Law Exams


Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/08/1996



## Qualifications

### PASSED INDUSTRY EXAMS

#### State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	07/14/1986

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/16/2021 - 11/10/2023	CALTON & ASSOCIATES, INC.	CRD# 20999	TAMPA, FL
IA	05/11/2021 - 11/10/2023	CALTON & ASSOCIATES, INC.	CRD# 20999	TAMPA, FL
B	01/29/2021 - 05/06/2021	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	SARASOTA, FL
IA	01/22/2021 - 05/06/2021	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	SARASOTA, FL
B	09/09/2020 - 01/25/2021	ONEAMERICA SECURITIES, INC.	CRD# 4173	TAMPA, FL
IA	09/09/2020 - 01/25/2021	ONEAMERICA SECURITIES, INC.	CRD# 4173	TAMPA, FL
IA	03/23/2018 - 10/23/2019	WORLD EQUITY GROUP, INC.	CRD# 29087	ARLINGTON HEIGHTS,
B	03/21/2018 - 10/23/2019	WORLD EQUITY GROUP, INC.	CRD# 29087	ARLINGTON HEIGHTS,
IA	04/07/2016 - 03/01/2018	INDEPENDENT FINANCIAL PARTNERS	CRD# 125112	Tampa, FL
B	02/29/2016 - 02/27/2018	LPL FINANCIAL LLC	CRD# 6413	TAMPA, FL
IA	06/09/2016 - 06/10/2016	LPL FINANCIAL LLC	CRD# 6413	TAMPA, FL
IA	12/20/2013 - 12/31/2015	VSR ADVISORY SERVICES	CRD# 14503	OVERLAND PARK, KS
B	12/10/2013 - 12/31/2015	VSR FINANCIAL SERVICES, INC.	CRD# 14503	OVERLAND PARK, KS
IA	12/09/2013 - 12/10/2013	VSR ADVISORY SERVICES	CRD# 14503	OVERLAND PARK, KS
IA	03/22/2012 - 12/05/2013	ARGENTUS ADVISORS, LLC	CRD# 116083	DALLAS, TX
B	03/22/2012 - 12/05/2013	ARGENTUS SECURITIES, LLC	CRD# 45915	DALLAS, TX
IA	10/07/2003 - 02/03/2012	ING FINANCIAL PARTNERS, INC	CRD# 2882	DES MOINES, IA



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/03/2003 - 02/03/2012	ING FINANCIAL PARTNERS, INC.	CRD# 2882	DES MOINES, IA
B	01/02/2003 - 12/31/2003	LOCUST STREET SECURITIES, INC.	CRD# 1703	DES MOINES, IA
IA	01/02/2003 - 12/31/2003	LOCUST STREET SECURITIES, INC.	CRD# 1703	DES MOINES, IA
B	03/30/2001 - 08/12/2002	TERRA SECURITIES CORPORATION	CRD# 10358	SCHAUMBURG, IL
B	09/05/2000 - 08/01/2002	CAPITAL BROKERAGE CORPORATION	CRD# 10465	GLEN ALLEN, VA
B	09/22/1997 - 09/25/2000	AMERICAN UNITED LIFE INSURANCE COMPANY	CRD# 1075	INDIANAPOLIS, IN
B	09/22/1997 - 09/25/2000	AUL EQUITY SALES CORP.	CRD# 4173	INDIANAPOLIS, IN
B	05/17/1995 - 08/27/1997	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	05/17/1995 - 08/27/1997	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN
B	01/10/1994 - 04/21/1995	TRANSAMERICA FINANCIAL RESOURCES, INC.	CRD# 3600	LOS ANGELES, CA
B	12/01/1992 - 01/01/1994	INVEST FINANCIAL CORPORATION	CRD# 12984	APPLETON, WI
B	05/07/1991 - 11/16/1992	HAMILTON INVESTMENTS, INC.	CRD# 821	
B	09/24/1990 - 04/22/1991	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN
B	09/20/1990 - 04/22/1991	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	06/23/1989 - 03/12/1990	DRAKE & COMPANY INVESTMENT SERVICES, INC.	CRD# 10148	
B	10/13/1988 - 05/11/1989	KURK FINANCIAL SECURITIES, INC.	CRD# 23098	
B	02/01/1988 - 09/01/1988	OBERWEIS SECURITIES, INC.	CRD# 7739	
B	06/24/1986 - 02/01/1988	ENGLER-BUDD & COMPANY INC.	CRD# 1743	



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

Registration Dates	Firm Name	ID#	Branch Location
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### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Lincoln Investment	Registered Representative	Y	Fort Washington, PA, United States
11/2023 - 11/2025	Retired	Retired	N	Tampa, FL, United States
05/2021 - 11/2023	Calton & Associates, Inc.	SVP, Head of Legal and Regulatory Audits	Y	Tampa, FL, United States
01/2021 - 05/2021	AMERIPRISE FINANCIAL SERVICES, LLC	Registered Rep	Y	Sarasota, FL, United States
09/2020 - 01/2021	OneAmerica Securities	Registered Rep	Y	Tampa, FL, United States
08/2020 - 01/2021	American United Life	Agent	Y	Tampa, FL, United States
10/2019 - 09/2020	Edwin Watts Golf	Sales Associate	N	Tampa, FL, United States
03/2018 - 10/2019	World Equity Group	Chief Compliance Officer	Y	Arlington Heights, IL, United States
03/2016 - 02/2018	Independent Financial Partners	Investment Adviser Representative	Y	Tampa, FL, United States
02/2016 - 02/2018	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	TAMPA, FL, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

West coast Financial Group Inc.; Investment Related: Yes; Address: 16241 N. Florida Avenue, ; Nature: Financial Services and Insurance; Title: Director of Supervision and Business Development; Start: 11/03/2025; 160; 130; Duties: Supervising investment professionals and helping them with their business.;



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	MASSACHUSETTS SECURITIES DIVISION
<b>Sanction(s) Sought:</b>	Denial
<b>Other Sanction(s) Sought:</b>	
<b>Date Initiated:</b>	12/29/1987
<b>Docket/Case Number:</b>	E-87-175
<b>Employing firm when activity occurred which led to the regulatory action:</b>	ENGLER-BUDD & COMPANY, INC.
<b>Product Type:</b>	No Product
<b>Other Product Type(s):</b>	
<b>Allegations:</b>	COKINIS SUBMITTED FALSE STATEMENTS TO THE DIVISION
<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Resolution Date:</b>	12/29/1987
<b>Sanctions Ordered:</b>	
<b>Other Sanctions Ordered:</b>	THERE IS A FINDING THAT MR. COKINIS SUBMITTED FALSE STATEMENTS TO THE DIVISION. BASED IN PART ON MR. COKINIS FALSE STATEMENTS, THE REGISTRATION OF ENGLER-BUDD WAS DENIED.
<b>Sanction Details:</b>	APPLICATION FOR REGISTRATION WAS DENIED TO ENGLER-BUDD &



COMPANY, INC., BASED ON A FINDING OF FALSE AND MISLEADING STATEMENTS MADE IN THE APPLICATION, FAILURE TO PROVIDE INFORMATION, DEFICIENCIES IN THE APPLICATION. DOCKET/CASE NO. E-87-175, DATED DECEMBER 29, 1987.

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**Reporting Source:** Individual

**Regulatory Action Initiated By:** COMMONWEALTH OF MASSACHUSETTS

**Sanction(s) Sought:** Other: CONSENT ORDER AGAINST ENGLER-BUDD AND COMPANY, INC.

**Date Initiated:** 06/08/1988

**Docket/Case Number:** E-87-175

**Employing firm when activity occurred which led to the regulatory action:** ENGLER BUDD AND COMPANY, INC.

**Product Type:** No Product

**Allegations:** THE COMMONWEALTH OF MASSACHUSETTS ALLEGED THAT IN THE FILING OF A BROKER/DEALER APPLICATION ON BEHALF OF ENGLER-BUDD THAT I MADE A STATEMENT WHICH WAS MISLEADING. NASDR HAD AGREED WITH MY POSITION THAT THIS ACTION WAS AGAINST THE FIRM, BUT HAS CHANGED THEIR POSITION REQUIRING THIS DISCLOSURE. NEGOTIATIONS BETWEEN THE FIRM'S LEGAL COUNSEL AND THE STATE WERE IN PROGRESS TO WITHDRAW THE APPLICATION PRIOR TO THIS ORDER. THE STATE REFUSED TO ALLOW THE FIRM TO WITHDRAW ITS APPLICATION AND ORDERED THAT THE REGISTRATION BE DENIED.

**Current Status:** Final

**Resolution:** Order

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 06/08/1988

**Sanctions Ordered:** Other: THE ORDER WAS TO DENY THE BROKER/DEALER REGISTRATION OF ENGLER-BUDD IN THE STATE OF MASSACHUSETTS ONLY.

**Broker Statement** The new amended disclosures to 14D(1)(b), 14D(1)(d) and 14D(2)(b) pertain to a matter already disclosed for 34 years dating back to December 29, 1987. A matter that I was assured by FINRA staff had been closed and no further disclosures were required. [Redacted] FINRA staff's new position is that in addition to Engler-Budd & Company, Inc. ("EB") violating sections of the MA General Laws and the MA Uniform Securities Act that I personally violated those sections as well. The Final Order was to deny the Broker/Dealer registration of EB in MA. [Redacted] I strongly deny that I ever made any misleading statement. This was reviewed again by FINRA staff in 2016. I was specifically told and assured that the matter was closed, I didn't have to answer any additional questions YES except 14D(1)(a), and I wouldn't have to address this matter ever again with FINRA. I requested the decision in writing and was told by [Redacted], it was not necessary as it was in my permanent CRD record. [Redacted]now states he "does not recall" that



conversation. However, his statement is not true, as there were compliance staff from LPL Financial on two of the three calls who can confirm that it was him. As further evidence, FINRA didn't require me to answer these questions YES after the calls with [Redacted]. I intend to pursue this matter legally against [Redacted] and FINRA. FINRA has literally held my registrations in hostage until I answered these additional questions that I have NEVER answered YES in 34 years. [Redacted] The Order was to deny the Broker/Dealer registration with MA. I was named as a Respondent only after a Notice to Show Cause to Deny the Broker/Dealer Application was sent to EB's counsel and the original Order had already been drafted by MA. The original Order did not contain my name as a Respondent on it. A heated discussion occurred between me and an attorney in MA and then my name was then added to the revised Order. I am in the process of having MA review the Order and FINRA's interpretation of it. This disclosure is being made solely to activate my FINRA registration. I disagree with FINRA staff's new interpretation of the MA Order, as did numerous other FINRA staff over 34 years. I unequivocally deny that these additional questions are applicable.] [Redacted]



## End of Report

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