



IAPD Report

DOYLE LAVERN HOLMES

CRD# 1556260

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DOYLE LAVERN HOLMES (CRD# 1556260)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/10/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MISCHLER FINANCIAL GROUP, INC.	CRD# 37818	11/06/1996
IA	RAMPART ASSET MANAGEMENT LLC	CRD# 168549	05/16/2014

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MISCHLER FINANCIAL GROUP, INC.	37818	CHICAGO, IL	11/06/2007 - 04/02/2008
B	GILFORD SECURITIES INCORPORATED	8076	NEW YORK, NY	05/24/1995 - 07/08/1996
B	LIBERTY CAPITAL MARKETS, INC.	16534	NEW YORK, NY	06/25/1987 - 06/16/1995

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	4



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **MISCHLER FINANCIAL GROUP, INC.**
Main Address: 19800 MACARTHUR BLVD.
SUITE 600
IRVINE, CA 92612
Firm ID#: 37818

	Regulator	Registration	Status	Date
B	FINRA	Financial and Operations Principal	Approved	11/06/1996
B	FINRA	General Securities Principal	Approved	11/06/1996
B	FINRA	General Securities Representative	Approved	11/06/1996
B	FINRA	Municipal Securities Principal	Approved	11/06/1996
B	FINRA	Municipal Securities Representative	Approved	06/25/1997
B	FINRA	Investment Banking Representative	Approved	09/30/2011
B	FINRA	Operations Professional	Approved	12/12/2011
B	FINRA	Compliance Officer	Approved	10/01/2018
B	FINRA	Investment Banking Principal	Approved	10/01/2018
B	California	Agent	Approved	11/06/1996
B	Puerto Rico	Agent	Approved	02/22/2022
B	Utah	Agent	Approved	11/01/2018

Branch Office Locations



Qualifications

MISCHLER FINANCIAL GROUP, INC.
19800 MACARTHUR BLVD.
SUITE 600
IRVINE, CA 92612

MISCHLER FINANCIAL GROUP, INC.
19800 MacArthur Blvd.
Suite 600
Irvine, CA 92612

Employment 2 of 2

Firm Name: **RAMPART ASSET MANAGEMENT LLC**
Main Address: 46 SOUTHFIELD AVENUE
SUITE 200
STAMFORD, CT 06902
Firm ID#: 168549

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	05/16/2014

Branch Office Locations

RAMPART ASSET MANAGEMENT LLC
19800 MacArthur Blvd., Suite 600
Irvine, CA 92612








Qualifications

PASSED INDUSTRY EXAMS








This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 5 principal/supervisory exams, 7 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 Compliance Officer Examination (S14)	Series 14	01/02/2023
 Municipal Securities Principal Examination (S53)	Series 53	02/24/1988
 Financial and Operations Principal Examination (S27)	Series 27	06/24/1987
 General Securities Principal Examination (S24)	Series 24	06/09/1987
 Direct Participation Programs Principal Examination (S39)	Series 39	04/11/1984

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Banking Registered Representative Examination (S79)	Series 79	09/29/2011
 National Commodity Futures Examination (S3)	Series 3	04/28/2004
 General Securities Representative Examination (S7)	Series 7	05/16/1987
 Direct Participation Programs Representative Examination (S22)	Series 22	03/26/1984



Qualifications

PASSED INDUSTRY EXAMS

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	08/13/2013
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/05/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/06/2007 - 04/02/2008	MISCHLER FINANCIAL GROUP, INC.	CRD# 37818	CHICAGO, IL
B	05/24/1995 - 07/08/1996	GILFORD SECURITIES INCORPORATED	CRD# 8076	NEW YORK, NY
B	06/25/1987 - 06/16/1995	LIBERTY CAPITAL MARKETS, INC.	CRD# 16534	
B	03/06/1992 - 06/02/1995	MISCHLER LIBERTY, INC.	CRD# 22453	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2013 - Present	RAMPART ASSET MANAGEMENT LLC	Investment Advisor Representative / President / CCO / CFO	Y	Irvine, CA, United States
08/1996 - Present	MISCHLER FINANCIAL GROUP, INC.	PRESIDENT/CFO	Y	Irvine, CA, United States
01/2018 - 04/2025	Real Property Strategies	President/Director/Owner (Inactive company)	N	Corona del Mar, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) Rampart Asset Management, LLC; Yes, investment related; 46 Southfield Ave, Ste. 200, Stamford, CT 06902; Registered Investment Advisor firm; Registered Advisor/President/CCO/CFO; Started 12/2013, company became active 11/2024; 4-6 hrs monthly; 4-6 hrs. during securities trading hrs; Investment advisory services
- 2) Mischler Financial Group, Inc; Yes, investment related; 19800 MacArthur Blvd, Ste. 600, Irvine, CA 92612; Registered Broker Dealer; President/CFO/CCO/COO/Secretary/Owner; Started 8/1996; 160 hrs monthly; 160 hrs during securities trading hrs.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	4

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source: Individual

Regulatory Action Initiated By: STATE OF NEW MEXICO SECURITIES DIVISION

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 12/23/1988

Docket/Case Number:

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):

Allegations: THE NOTICE OF INTENT TO REVOKE OR SUSPEND ALLEGED VIOLATIONS OF THE NEW MEXICO SECURITIES ACT OF 1986. NO AMOUNTS OF ALLEGED PENALTIES WERE SPECIFIED IN THE COMPLAINT.

Current Status: Final

Resolution: Consent

Resolution Date: 08/01/1989

Sanctions Ordered: Monetary/Fine \$15,000.00

Other Sanctions Ordered:

Sanction Details: SETTLEMENT TERMS: THE DEFENDANTS VOLUNTARILY



AGREED TO RESTRICT THEIR ACTIVITIES IN NEW MEXICO FOR 5 YEARS; \$15,000 FINE TO NEW MEXICO STATE; \$5,000 DONATION TO NASAA; ESTABLISH A \$75,000 LINE OF CREDIT TOWARD PAYMENT OF SETTLEMENT OF THE 3 PENDING LAWSUITS IN NEW MEXICO.

Broker Statement

WITHOUT ADMITTING ANY ACTIVITY IN VIOLATION OF THE NEW MEXICO SECURITIES ACT OF 1986, THE APPLICANT AND OTHER RESPONDENTS SETTLED THE ABOVE MATTER. NO FINDING OF ANY VIOLATIVE ACTIVITY WAS MADE BY THE NEW MEXICO SECURITIES DIVISION.

Disclosure 2 of 2

Reporting Source: Regulator

Regulatory Action Initiated By: CALIFORNIA

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 04/18/1978

Docket/Case Number: 501 8761

Employing firm when activity occurred which led to the regulatory action: NOW PUBLICATIONS, INC

Product Type:

Other Product Type(s):

Allegations:

Current Status: Final

Resolution: Decision

Resolution Date: 04/18/1978

Sanctions Ordered: Cease and Desist/Injunction

Other Sanctions Ordered:

Sanction Details:

Regulator Statement 04-18-78, STATE OF CA: DESIST AND REFRAIN ORDER.

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Reporting Source: Individual

Regulatory Action Initiated By: CALIFORNIA COMMISSIONER OF CORPORATIONS

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 04/18/1978

Docket/Case Number: 501 8761



Employing firm when activity occurred which led to the regulatory action:	NOW PUBLICATIONS, INC
Product Type:	
Other Product Type(s):	
Allegations:	OFFER AND/OR SALE OF UNQUALIFIED SECURITIES (COMMON STOCK)
Current Status:	Final
Resolution:	Decision
Resolution Date:	04/18/1978
Sanctions Ordered:	Cease and Desist/Injunction
Other Sanctions Ordered:	
Sanction Details:	NOT APPLICABLE; APPLICANT HAD ALREADY CEASED ACTIVITIES AND WAS NO LONGER IN BUSINESS AT THE TIME THE ORDER WAS SERVED.
Broker Statement	ON APRIL 18, 1978, THE CA COMMISSIONER OF CORPORATIONS ISSUED A DESIST AND REFRAIN ORDER (THE "ORDER") AGAINST NOW PUBLICATIONS, INC. A CALIFORNIA CORPORATION (THE "COMPANY"), ROBERT C HOLMES AND DOYLE L. HOLMES, DIRECTING THEM TO REFRAIN FROM THE OFFER AND SALE IN CALIFORNIA OF THE COMMON STOCK OF THE COMPANY. A TRUE AND CORRECT COPY OF THE ORDER IS ATTACHED TO HERETO. THE ORDER WAS ISSUED AND SERVED APPROXIMATELY TWO YEARS AFTER THE COMPANY VOLUNTARILY LIQUIDATED ITS BUSINESS IN 1976. SINCE, AT THE TIME OF THE RECEIPT OF THE ORDER THE COMPANY WAS NO LONGER IN BUSINESS AND THERE WERE NO ONGOING SALES OF ITS STOCK, THE COMPANY, ROBERT C. HOLMES AND DOYLE L HOLMES CHOSE, FOR ECONOMIC REASONS, NOT TO CONTEST THE ORDER.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:

Allegations: BREACH OF CONTRACT, BREACH OF WARRANT, FRAUD, SALE OF UNQUALIFIED SECURITIES AND BREACH OF FIDUCIARY DUTY.

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: SUPERIOR; CONTRA COSTA COUNTY, CA; 162248

Date Notice/Process Served:

Litigation Pending? No

Disposition: Settled

Disposition Date: 03/01/1984

Broker Statement

PLAINTIFF'S CLAIMS FOR COMPENSATORY AND PUNITIVE DAMAGES WERE SETTLED AGAINST BANK OF AMERICA AND IT'S EMPLOYEE.

I WAS NAMED A DEFENDANT IN AN ACTION ARISING FROM THE 1973-1975 SALE OF PROMISSORY NOTES ISSUED IN LIEU OF 2ND TD'S TO PAY PART OF THE PURCHASE PRICE FOR RESIDENCES ACQUIRED BY ME AND MY BROTHER. A CASH FLOW SHORTAGE DEVELOPED. I USED PERSONAL FUNDS TO TRY CORRECTING IT BUT DEFAULTED ON 5 PROMISSORY NOTES W/AN AGGREGATE VALUE OF UNDER \$50K. A VERDICT WAS RENDERED AGAINST ALL DEFENDANTS, BUT NO SPECIAL VERDICT OR FINDINGS OR FACT/CONCLUSION LAW WERE MADE. IT'S IMPOSSIBLE TO DETERMINE THE LEGAL BASIS FOR THE VERDICT. THE TRIAL COURT DECLINED TO ENTER JUDGEMENT ON THE VERDICT, ENTERED JUDGEMENT



NOV (RE: PUNITIVE DAMAGES) & GRANTED A MOTION FOR RE-TRIAL. CIRCA 2/84 THE ORIGINAL VERDICT (W/REDUCED PUNITIVE DAMAGES) WAS REINSTATED. IT'S UNCLEAR IF A JUDGEMENT WAS ENTERED AGAINST ME; JUDICIAL RECORDS DON'T REFLECT A LIEN, I BELIEVE THE SETTLEMENT WITH B OF A SETTLED THIS COMPLETELY

Disclosure 2 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: BANK OF AMERICA

Allegations: BREACH OF CONTRACT, BREACH OF WARRANTY, FRAUD, SALE OF UNQUALIFIED SECURITIES, AND BREACH OF FIDUCIARY DUTY.

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: SUPERIOR; CONTRA COSTA COUNTY, CA; 161352

Date Notice/Process Served:

Litigation Pending? No

Disposition: Settled

Disposition Date: 03/01/1984

Broker Statement

PLAINTIFF'S CLAIMS FOR COMPENSATORY AND PUNITIVE DAMAGE WERE SETTLED AGAINST BANK OF AMERICA AND IT'S EMPLOYEE.

I WAS NAMED A DEFENDANT IN AN ACTION ARISING FROM THE 1973-1975 SALE OF PROMISSORY NOTES ISSUED IN LIEU OF 2ND TD'S TO PAY PART OF THE PURCHASE PRICE FOR RESIDENCES ACQUIRED BY ME AND MY BROTHER. A CASH FLOW SHORTAGE DEVELOPED. I USED PERSONAL FUNDS TO TRY CORRECTING IT BUT DEFAULTED ON 5 PROMISSORY NOTES W/AN AGGREGATE VALUE OF UNDER \$50K. A VERDICT WAS RENDERED AGAINST ALL DEFENDANTS, BUT NO SPECIAL VERDICT OR FINDINGS OR FACT/CONCLUSION OF LAW WERE MADE. IT'S IMPOSSIBLE TO DETERMINE THE LEGAL BASIS FOR THE VERDICT. THE TRAIL COURT DECLINED TO ENTER JUDGEMENT ON THE VERDICT, ENTERED JUDGEMENT



NOV (RE: PUNITIVE DAMAGE) & GRANTED A MOTION FOR RE-TRIAL. CIRCA 2/84 THE ORIGINAL VERDICT (W/REDUCED PUNITIVE DAMAGES) WAS REINSTATED. IT'S UNCLEAR IF A JUDGEMENT WAS ENTERED AGAINST ME; JUDICIAL RECORDS DON'T REFLECT A LIEN, I BELIEVE THE SETTLEMENT WITH B OF A SETTLED THIS COMPLETELY.

Disclosure 3 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:

Allegations: THE COMPLAINT ALLEGED VIOLATIONS OF THE SECURITIES EXCHANGE ACT OF 1933, THE SECURITIES EXCHANGE ACT OF 1934, THE NASD RULES OF FAIR PRACTICE, THE NEW MEXICO SECURITIES ACT OF 1978, AND THE NEW MEXICO SECURITIES ACT OF 1986.

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date: 08/01/1989

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: CIV 89-0172M

Date Notice/Process Served: 02/08/1989

Litigation Pending? No

Disposition: Settled

Disposition Date: 08/01/1989

Monetary Compensation Amount: \$25,000.00

Individual Contribution Amount:

Broker Statement COURT DISMISSED ALL CLAIMS AGAINST THE APPLICANT.
Not Provided

Disclosure 4 of 4

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint:

Allegations: THE COMPLAINT ALLEGED VIOLATIONS OF THE SECURITIES EXCHANGE ACT OF 1934 AND THE NEW MEXICO SECURITIES ACT OF 1986. PLAINTIFFS ALLEGED DAMAGES IN EXCESS OF \$7.5 MILLION DOLLARS, HOWEVER THEY SETTLED FOR \$120,000. (CONSISTING OF A \$95,000 PAYMENT AND \$25,000 OF THE LINE OF CREDIT ESTABLISHED WITH THE NEW MEXICO SECURITIES DIVISION)

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: CIV 88-1534-JB

Date Notice/Process Served: 03/10/1988

Litigation Pending? No

Disposition: Settled

Disposition Date: 08/25/1989

Monetary Compensation Amount: \$95,000.00

Individual Contribution Amount:

Broker Statement

AS PART OF THE SETTLEMENT AGREEMENT, ALL PARTIES AGREED THAT THE TERMS OF THE SETTLEMENT WOULD REMAIN CONFIDENTIAL.
THE APPLICANT WAS NAMED AS ONE OF TH DEFENDANTS IN THE ABOVE MATTER. WITHOUT ANY ADMISSION OF ANY OF THE ALLEGATIONS BY THE DEFENDANTS, THE CASE WAS SETTLED. IN ACCORDANCE WITH THE TERMS OF THE SETTLEMENT AGREEMENT, THE COURT ENTERED ITS ORDER OF DISMISSAL WITH PREJUDICE ON AUGUST 25, 1989



End of Report

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