



IAPD Report

PAUL JEFFREY STAADEKER

CRD# 1556885

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6 - 7
Disclosure Information	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PAUL JEFFREY STAADEKER (CRD# 1556885)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/31/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	STEWART PARTNERS INVESTMENT ADVISORY, LLC	CRD# 283004	07/26/2017
B	STEWART PARTNERS INVESTMENT SOLUTIONS, LLC	CRD# 1254	05/27/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	McLean, VA	06/15/2017 - 05/27/2022
IA	WELLS FARGO CLEARING SERVICES, LLC	19616	MCLEAN, VA	12/09/2005 - 06/16/2017
B	WELLS FARGO CLEARING SERVICES, LLC	19616	MCLEAN, VA	12/08/2005 - 06/16/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **27** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STEWART PARTNERS INVESTMENT SOLUTIONS, LLC**
Main Address: 15495 SW SEQUOIA PARKWAY
SUITE 150
PORTLAND, OR 97224
Firm ID#: 1254

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/27/2022
B	Arizona	Agent	Approved	05/27/2022
B	California	Agent	Approved	05/27/2022
B	Colorado	Agent	Approved	05/27/2022
B	District of Columbia	Agent	Approved	05/27/2022
B	Florida	Agent	Approved	05/31/2022
B	Georgia	Agent	Approved	05/27/2022
B	Illinois	Agent	Approved	05/27/2022
B	Indiana	Agent	Approved	05/27/2022
B	Kentucky	Agent	Approved	05/27/2022
B	Maine	Agent	Approved	10/20/2022
B	Maryland	Agent	Approved	05/27/2022
B	Massachusetts	Agent	Approved	05/27/2022



Qualifications

	Regulator	Registration	Status	Date
B	Missouri	Agent	Approved	05/27/2022
B	New Hampshire	Agent	Approved	05/27/2022
B	New Jersey	Agent	Approved	05/27/2022
B	New York	Agent	Approved	05/27/2022
B	North Carolina	Agent	Approved	05/27/2022
B	Ohio	Agent	Approved	05/27/2022
B	Oregon	Agent	Approved	11/19/2024
B	Pennsylvania	Agent	Approved	05/27/2022
B	South Carolina	Agent	Approved	05/27/2022
B	Tennessee	Agent	Approved	05/27/2022
B	Texas	Agent	Approved	05/27/2022
B	Utah	Agent	Approved	02/16/2023
B	Virginia	Agent	Approved	05/27/2022
B	West Virginia	Agent	Approved	05/27/2022
B	Wisconsin	Agent	Approved	08/20/2024

Branch Office Locations

STEWART PARTNERS INVESTMENT SOLUTIONS, LLC
1800 Tysons Blvd
Suite 360
McLean, VA 22102

STEWART PARTNERS INVESTMENT SOLUTIONS, LLC
8044 MONTGOMERY ROAD
SUITE 700
CINCINNATI, OH 45236

STEWART PARTNERS INVESTMENT SOLUTIONS, LLC
CINCINNATI, OH



Qualifications

Employment 2 of 2

Firm Name: **STEWARD PARTNERS INVESTMENT ADVISORY, LLC**
Main Address: 400 ATLANTIC STREET
FLOOR 10, SUITE 1020
STAMFORD, CT 06901-3512
Firm ID#: 283004

	Regulator	Registration	Status	Date
IA	Ohio	Investment Adviser Representative	Approved	11/21/2017
IA	Texas	Investment Adviser Representative	Restricted Approval	07/26/2017
IA	Virginia	Investment Adviser Representative	Approved	07/11/2020

Branch Office Locations

STEWARD PARTNERS INVESTMENT ADVISORY, LLC
1800 TYSONS BLVD
SUITE 360
MCLEAN, VA 22102

STEWARD PARTNERS INVESTMENT ADVISORY, LLC
Cincinnati, OH

STEWARD PARTNERS INVESTMENT ADVISORY, LLC
8044 MONTGOMERY RD
SUITE 700
DEER PARK, OH 45236



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B National Commodity Futures Examination (S3)	Series 3	10/27/1986
B General Securities Representative Examination (S7)	Series 7	09/20/1986

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	07/17/1992
B Uniform Securities Agent State Law Examination (S63)	Series 63	10/07/1986



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/15/2017 - 05/27/2022	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	McLean, VA
IA	12/09/2005 - 06/16/2017	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	MCLEAN, VA
B	12/08/2005 - 06/16/2017	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	MCLEAN, VA
IA	11/26/1999 - 01/03/2006	LEGG MASON WOOD WALKER INC	CRD# 6555	KENWOOD, OH
B	05/25/1999 - 01/03/2006	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD
B	07/31/1993 - 05/20/1999	SALOMON SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY
B	09/29/1986 - 07/31/1993	LEHMAN BROTHERS INC.	CRD# 7506	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2022 - Present	STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC	Registered Representative	Y	McLean, VA, United States
06/2017 - Present	STEWARD PARTNERS GLOBAL ADVISORY	EMPLOYEE	N	McLean, VA, United States
06/2017 - Present	Steward Partners Investment Advisory, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	Mc Lean, VA, United States
06/2017 - 05/2022	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVSIOR	Y	WASHINGTON, DC, United States
11/2016 - 06/2017	WELLS FARGO CLEARING SERVICES, LLC	FINANCIAL ADVISOR	Y	KENWOOD, OH, United States
05/2009 - 11/2016	WELLS FARGO ADVISORS LLC	FINANCIAL ADVISOR	Y	KENWOOD, OH, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1)Name of Business: NOVA Retirement Strategies @ Steward Partners Address: 1800 Tysons Blvd, Ste 360, McLean, VA, 22102, United States Activity Type: Support Company - Non Owner Position/Title: Other Investment Related: No Start Date: 03/03/2021 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 81+ Description of duties: Financial Advisors operating under Team name

(2)Name of Business: Rosalyn Staadeker Trust Address: 1800 Tysons Blvd Ste 360, Mc Lean, VA, United States Activity Type: Control Person Position/Title: Trustee Investment Related: No Start Date: 06/15/2017 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: Trustee and Advisor on the account. This is my spouse's living Trust.

(3)Name of Business: Staadeker Wealth Management Group Address: 1800 Tysons Blvd Ste 360, Mc Lean, VA, United States Activity Type: Support Company - Non Owner Position/Title: Investment Related: No Start Date: 06/15/2017 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 81+ Description of duties: counsel high net worth clients with financial planning and investment advice

(4)Name of Business: STEWARD PARTNERS GLOBAL ADVISORY Address: 1800 Tysons Blvd Ste 360, Mc Lean, VA, 22102, United States Activity Type: Non-variable Insurance Position/Title: Agent Investment Related: Yes Start Date: 10/02/2018 Hours per month devoted to this business: 2-10 Hours per month devoted to this business during trading hours: 2-10 Description of duties: Term and Universal Life Insurance, including variable and index products. Long Term Care and Life with Long-term Care riders

(5)Name of Business: Steward Partners Investment Advisory LLC Address: 1800 Tysons Blvd Ste 360, Mc Lean, VA, 22102, United States Activity Type: Independent RIA Position/Title: Advisor Investment Related: Yes Start Date: 06/15/2017 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 81+ Description of duties: Plan and advise high net worth client on their financial planning and investment needs



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS, LLC
Allegations:	CLAIMANT ALLEGES THAT INVESTMENT RECOMMENDATIONS MADE BETWEEN DECEMBER 2007 AND MARCH 2009 WERE UNSUITABLE.
Product Type:	Other: MISCELLANEOUS
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	DAMAGES IN EXCESS OF \$150,000
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	14-00467
Filing date of arbitration/CFTC reparation or civil litigation:	03/18/2014

Customer Complaint Information



Date Complaint Received:	03/18/2014
Complaint Pending?	No
Status:	Settled
Status Date:	11/04/2015
Settlement Amount:	\$30,000.00
Individual Contribution Amount:	\$0.00
Broker Statement	Without admitting any liability, the Firm settled the matter for \$30,000.00 to avoid further arbitration. The FA did not contribute to the settlement amount.



End of Report

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