



IAPD Report

EVELYN HARRISON DINKINS II

CRD# 1568422

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

EVELYN HARRISON DINKINS II (CRD# 1568422)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/03/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	12/09/1986
IA	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	03/20/2003

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	IDS LIFE INSURANCE COMPANY	6321	MINNEAPOLIS, MN	12/09/1986 - 07/03/2006
B	THE STUART-JAMES COMPANY, INC.	11691	MINNEAPOLIS, MN	10/22/1986 - 12/09/1986

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **18** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **AMERIPRISE FINANCIAL SERVICES, LLC**
Main Address: 901 3RD AVENUE SOUTH
MINNEAPOLIS, MN 55402
Firm ID#: 6363

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/09/1986
B	FINRA	General Securities Principal	Approved	10/04/1999
B	FINRA	Municipal Securities Principal	Approved	08/26/2002
B	FINRA	Municipal Securities Representative	Approved	08/26/2002
B	Alabama	Agent	Approved	02/13/2024
B	Florida	Agent	Approved	01/20/2005
B	Georgia	Agent	Approved	03/23/1988
IA	Georgia	Investment Adviser Representative	Approved	03/20/2003
B	Illinois	Agent	Approved	06/08/2005
B	Indiana	Agent	Approved	08/19/1999
B	Kentucky	Agent	Approved	07/05/2000
B	Louisiana	Agent	Approved	12/09/1986
B	Maryland	Agent	Approved	08/25/2004



Qualifications

Regulator	Registration	Status	Date
B Michigan	Agent	Approved	07/16/2024
B Mississippi	Agent	Approved	04/21/2025
B Missouri	Agent	Approved	11/20/2020
B North Carolina	Agent	Approved	08/21/2020
B Ohio	Agent	Approved	06/30/2017
B South Carolina	Agent	Approved	08/06/2003
B Tennessee	Agent	Approved	08/11/2010
B Texas	Agent	Approved	08/01/2003
IA Texas	Investment Adviser Representative	Restricted Approval	09/28/2006
B Virginia	Agent	Approved	06/28/1989
B Washington	Agent	Approved	05/14/1998

Branch Office Locations

AMERIPRISE FINANCIAL SERVICES, LLC
 1275 SHILOH RD NW
 STE 2320
 KENNESAW, GA 30144

AMERIPRISE FINANCIAL SERVICES, LLC
 6285 Barfield Rd
 Ste 250
 Atlanta, GA 30328-4321

AMERIPRISE FINANCIAL SERVICES, LLC
 1275 Shiloh Rd NW Ste 2321
 Kennesaw, GA 30144

AMERIPRISE FINANCIAL SERVICES, LLC
 Powder Springs, GA





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Municipal Securities Principal Examination (S53)	Series 53	08/23/2002
 General Securities Principal Examination (S24)	Series 24	10/01/1999

General Industry/Product Exams

Exam	Category	Date
 Municipal Securities Representative Examination (S52TO)	Series 52TO	09/25/2025
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/18/1986

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/27/1990
 Uniform Securities Agent State Law Examination (S63)	Series 63	03/22/1988

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/09/1986 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN
B	10/22/1986 - 12/09/1986	THE STUART-JAMES COMPANY, INC.	CRD# 11691	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/1986 - Present	Ameriprise Financial Service, LLC	Registered Rep	Y	Powder Springs, GA, United States
09/2005 - 03/2020	Ameriprise Financial Services, Inc.	Registered Rep	Y	Kennesaw, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Business Ownership; EBA Realty LLC; Manage the commercial real estate that houses my Ameriprise Franchise.; Own commercial rental property: 1275 Shiloh Rd NW Suites 2320 & 2321, Kennesaw, GA 30144; 1275 Shiloh Rd NW Suite 2321 Kennesaw, GA 30144, ; Not Investment-Related; 02/01/2004; 1 to 9 hours per month; 0 during trading hours / EHDII Management LLC; To operate and manage my Ameriprise franchise as outlined in the legal entities guide.; To operate and manage my Ameriprise franchise as outlined in the legal entities guide.; 1275 Shiloh Rd NW , Suite 2321, Kennesaw, GA, 30144; Not Investment-Related; 12/01/2017; 40 to 59 hours per month; 40 to 59 during trading hours / Arbonne International; Owner; Retail; 3727 Wilkins Cir, , Powder Springs, GA, 30127; Not Investment-Related; 08/01/2024; 0 hours per month; 0 during trading hours / Silver Lining Stables Inc.; I bring in & feed at night, returning to check on the horses before bedtime. I train my own horses to compete in dressage. Occasionally I import and sell a horse. I host about 5 clinics per year using clinicians from all over the world.; Own & manage a stable that offers boarding, training, lessons & sales: eventing, jumper & dressage disciplines. Also host clinics.; 3727 Wilkins Circle, , Powder Springs, GA, 30127; Not Investment-Related; 01/01/2000; 60 hours per month; 1 to 9 during trading hours. Outside Employment; Southeast Business Endeavors LLC; Consultant - Consulting on Procarian Wealth Advisors (Sean Carroll & Jake Bryant) team practice systems, procedures, service model & marketing. This company is replacing the Oxygen Inc company on 07/01/21.; ; 6285 Barfield Rd, STE 250, , Atlanta, GA, 30328; Not Investment-Related; 07/01/2021; 20 to 39 hours per month; 20 to 39 during trading hours / EHDII Management LLC; Managing Member - Manage the business end of my practice including employees and benefit plans.; I run the business of my practice through the LLC according to the Ameriprise guidelines.; 1275 Shiloh Rd NW STE 2320, , Kennesaw, GA, 30144; Not Investment-Related; 01/01/2018; 10 to 19 hours per month; 0 during trading hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AMERICAN EXPRESS FINANCIAL ADVISORS

Allegations: THE CLIENT ALLEGED WHILE I WAS HER ADVISOR, BEGINNING IN 1998, HER IRA DID NOT RECEIVE ADEQUATE OVERSIGHT. AT ONE POINT, HER IRA WAS VALUED AT \$250,000 AND NOW IS VALUED AT \$116,000.

Product Type: Mutual Fund(s)

Other Product Type(s): AXP MUTUAL FUNDS

Alleged Damages: \$134,000.00

Customer Complaint Information

Date Complaint Received: 10/04/2004

Complaint Pending? No

Status: Denied

Status Date: 11/02/2004

Settlement Amount:

Individual Contribution Amount:

Broker Statement WE FOUND THE ADVISOR SENT REGULAR LETTERS TO THE CLIENT REQUESTING SERVICE APPOINTMENTS. THE CLIENT RESPONDED IN 2000 STATING SHE DID NOT WISH TO HAVE AN APPOINTMENT AT THIS TIME. THE CLIENT CONTINUED TO MAKE IRA CONTRIBUTIONS BY MAIL AND IN



HER INTERVIEW STATED SHE REVIEWED THE CONFIRMATIONS AND STATEMENTS NOTING THE RETURNS. WE FOUND NO EVIDENCE THE ADVISOR FAILED TO RESPOND TO THE CLIENT OR THAT THE CLIENT CONTACTED THE ADVISOR WITH CONCERNS REGARDING HER INVESTMENTS.

Disclosure 2 of 2

Reporting Source:

Individual

Employing firm when activities occurred which led to the complaint:

AMERICAN EXPRESS FINANCIAL ADVISORS

Allegations:

THE CLIENT FILED A COMPLAINT THROUGH THE SEC ALLEGING THE INVESTMENTS SHE PURCHASED WERE TOO COMPLEX AND UNSUITABLE GIVEN HER FINANCIAL SITUATION. FURTHER, SHE ALLEGED SHE WAS PUT IN MARGIN POSITION WITHOUT HER AUTHORIZATION. SHE CLAIMED SHE INITIALLY INVESTED OVER \$468000.00 WHEN SHE BEGAN WORKING WITH ME IN 1997 AND SHE RECENTLY CLOSED HER ACCOUNTS AND RECEIVED "VITRUALLY NOTHING".

Product Type:

Other

Other Product Type(s):

WRAP ACCOUNT

Alleged Damages:

\$468,000.00

Customer Complaint Information

Date Complaint Received:

07/07/2003

Complaint Pending?

No

Status:

Denied

Status Date:

07/30/2003

Settlement Amount:**Individual Contribution Amount:****Broker Statement**

THE FIRM FOUND THE CLIENT'S ALLEGATIONS WERE UNFOUNDED. THE SECURITIES SHE HELD IN HER ACCOUNT TRANSFERRED FROM HER PRIOR FIRM. IN ADDITION, SHE HAD A MARGIN BALANCE AT HER PREVIOUS FIRM. THE DECLINE IN HER PORTFOLIO WAS DUE TO CASH WITHDRAWALS MADE BY THE CLIENT. THESE WITHDRAWALS WERE MADE AT A MUCH FASTER PACE AND IN LARGER AMOUNTS ANTICIPATED. THE CLIENT ADMITTED TO NOT READING HER MONTHLY STATEMENTS AND TRACKING THE BALANCE OF HER ACCOUNTS.



End of Report

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