



IAPD Report

Adrienne Barrett Tubridy

CRD# 1570968

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 6
Registration and Employment History	7
Disclosure Information	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Adrienne Barrett Tubridy (CRD# 1570968)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/09/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MORGAN STANLEY	CRD# 149777	10/31/2018
IA	MORGAN STANLEY	CRD# 149777	02/07/2019

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **52** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	RAYMOND JAMES & ASSOCIATES, INC.	705	Boston, MA	11/21/2017 - 04/03/2018
B	RAYMOND JAMES & ASSOCIATES, INC.	705	Boston, MA	09/06/2016 - 04/03/2018
B	DEUTSCHE BANK SECURITIES INC.	2525	BOSTON, MA	01/13/2001 - 09/06/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **52** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MORGAN STANLEY**
Main Address: 2000 WESTCHESTER AVENUE
PURCHASE, NY 10577-2530
Firm ID#: 149777

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	10/31/2018
	FINRA	General Securities Sales Supervisor	Approved	10/31/2018
	NYSE American LLC	General Securities Representative	Approved	10/31/2018
	NYSE American LLC	General Securities Sales Supervisor	Approved	10/31/2018
	Nasdaq Stock Market	General Securities Representative	Approved	10/31/2018
	Nasdaq Stock Market	General Securities Sales Supervisor	Approved	10/31/2018
	New York Stock Exchange	General Securities Representative	Approved	10/31/2018
	New York Stock Exchange	General Securities Sales Supervisor	Approved	10/31/2018
	Alabama	Agent	Approved	10/31/2018
	Alaska	Agent	Approved	11/14/2019
	Arizona	Agent	Approved	01/29/2019
	Arkansas	Agent	Approved	11/13/2019
	California	Agent	Approved	10/31/2018



Qualifications

	Regulator	Registration	Status	Date
B	Colorado	Agent	Approved	01/31/2019
B	Connecticut	Agent	Approved	02/07/2019
IA	Connecticut	Investment Adviser Representative	Approved	02/07/2019
B	Delaware	Agent	Approved	02/08/2019
B	District of Columbia	Agent	Approved	12/21/2018
B	Florida	Agent	Approved	12/17/2018
B	Georgia	Agent	Approved	04/15/2019
B	Hawaii	Agent	Approved	01/29/2019
B	Idaho	Agent	Approved	12/17/2018
B	Illinois	Agent	Approved	12/17/2018
B	Indiana	Agent	Approved	11/25/2019
B	Iowa	Agent	Approved	01/31/2019
B	Kansas	Agent	Approved	12/26/2018
B	Kentucky	Agent	Approved	12/06/2018
B	Louisiana	Agent	Approved	01/31/2019
B	Maine	Agent	Approved	11/05/2018
B	Maryland	Agent	Approved	12/17/2018
B	Massachusetts	Agent	Approved	01/29/2019
B	Michigan	Agent	Approved	12/18/2018



Qualifications

	Regulator	Registration	Status	Date
B	Minnesota	Agent	Approved	07/16/2019
B	Mississippi	Agent	Approved	11/12/2019
B	Missouri	Agent	Approved	12/17/2018
B	Montana	Agent	Approved	02/04/2019
B	Nebraska	Agent	Approved	11/13/2019
B	Nevada	Agent	Approved	01/31/2019
B	New Hampshire	Agent	Approved	12/20/2018
B	New Jersey	Agent	Approved	01/31/2019
B	New Mexico	Agent	Approved	01/29/2019
B	New York	Agent	Approved	01/30/2019
B	North Carolina	Agent	Approved	01/31/2019
B	North Dakota	Agent	Approved	11/18/2019
B	Ohio	Agent	Approved	10/31/2018
B	Oklahoma	Agent	Approved	01/29/2019
B	Oregon	Agent	Approved	02/14/2019
B	Pennsylvania	Agent	Approved	12/22/2018
B	Puerto Rico	Agent	Approved	11/25/2019
B	Rhode Island	Agent	Approved	12/19/2018
B	South Carolina	Agent	Approved	02/01/2019



Qualifications

	Regulator	Registration	Status	Date
B	South Dakota	Agent	Approved	11/14/2019
B	Tennessee	Agent	Approved	11/13/2019
B	Texas	Agent	Approved	12/17/2018
IA	Texas	Investment Adviser Representative	Restricted Approval	04/02/2020
B	Utah	Agent	Approved	11/19/2019
B	Vermont	Agent	Approved	01/29/2019
B	Virginia	Agent	Approved	01/30/2019
B	Washington	Agent	Approved	01/30/2019
B	West Virginia	Agent	Approved	01/31/2019
B	Wisconsin	Agent	Approved	01/31/2019
B	Wyoming	Agent	Approved	11/12/2019

Branch Office Locations

MORGAN STANLEY
35 Village Road
Suite 601
Middleton, MA 01949



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	03/08/1993

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	04/03/2018
	General Securities Representative Examination (S7)	Series 7	03/17/1990

State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	03/25/1997
	Uniform Securities Agent State Law Examination (S63)	Series 63	02/21/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/21/2017 - 04/03/2018	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	Boston, MA
B	09/06/2016 - 04/03/2018	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	Boston, MA
B	01/13/2001 - 09/06/2016	DEUTSCHE BANK SECURITIES INC.	CRD# 2525	BOSTON, MA
B	09/01/1997 - 01/13/2001	DB ALEX. BROWN LLC	CRD# 17790	BALTIMORE, MD
B	03/20/1990 - 09/01/1997	ALEX. BROWN & SONS INCORPORATED	CRD# 20	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2018 - Present	Morgan Stanley	Wealth Management Associate	Y	Middleton, MA, United States
03/2018 - 10/2018	Unemployed	Unemployed	N	Marblehead, MA, United States
09/2016 - 03/2018	RAYMOND JAMES & ASSOCIATES, INC.	REGISTERED ASSOCIATE	Y	Boston, MA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: FINRA

Sanction(s) Sought: Other: N/A

Date Initiated: 06/28/2011

Docket/Case Number: [2008013864402](#)

Employing firm when activity occurred which led to the regulatory action: DEUTSCHE BANK SECURITIES INC.

Product Type: No Product

Allegations: NASD RULES 2110, 3010 - ADRIENNE TUBRIDY'S MEMBER FIRM HELD CONTRACTUAL AGREEMENTS WITH THIRD PARTY INVESTMENT ADVISERS WHO PROVIDED FINANCIAL SERVICES TO FIRM CUSTOMERS THROUGH THE FIRM'S ADVISER SELECT PROGRAM FOR A FEE PAID BY THE CUSTOMERS AND THE FIRM CUSTOMERS GRANTED DISCRETIONARY TRADING AUTHORITY TO THE THIRD PARTY ADVISERS. THE AGREEMENTS CONTAINED A CONFIDENTIALITY CLAUSE PROHIBITING FIRM EMPLOYEES FROM USING THE THIRD PARTY ADVISORS' PORTFOLIO RECOMMENDATIONS FOR OTHER CLIENTS. THE FIRM INSTITUTED A WRITTEN POLICY AND PROCEDURE MANUAL DISTRIBUTED TO FIRM EMPLOYEES, INCLUDING TUBRIDY, THAT CONTAINED GUIDELINES RELATED TO THE ADVISER SELECT ACCOUNT AND PROHIBITED SHADOWING ADVISER SELECT ACCOUNTS BUT THE FIRM DID NOT IMPLEMENT ANY SPECIFIC SYSTEMS TO DETECT AND PREVENT SHADOWING; NO EXCEPTION REPORTS WERE CREATED TO IDENTIFY SHADOWING, NO TRAINING WAS CONDUCTED, AND NO SUPERVISORY SYSTEMS WERE PUT IN PLACE TO MONITOR ACCOUNTS FOR POSSIBLE



SHADOWING. IN ONE BRANCH OFFICE WHILE TUBRIDY WAS RESPONSIBLE FOR PERFORMING TRADE REVIEWS, SHADOWING WAS EGREGIOUS AND CONTINUED FOR YEARS. ALTHOUGH THE FIRM DID NOT IMPLEMENT EXCEPTION REPORTS TO IDENTIFY SHADOWING, SHADOWED TRADES WERE FLAGGED FOR OTHER REASONS WHICH REQUIRED TUBRIDY TO FOLLOW UP; SHE EXAMINED AND APPROVED SHADOWED TRADES ON THE EXCEPTION REPORTS, MADE NOTATIONS ON CERTAIN TRADES WHICH INDICATED AN AWARENESS OF SHADOWING BUT FAILED TO FOLLOW UP ON THE INFORMATION AND NEGLECTED TO RAISE THE ISSUE WITH COMPLIANCE OR HER SUPERVISORS. THROUGH SHADOWING, FIRM REGISTERED REPRESENTATIVES CIRCUMVENTED THE FEE ARRANGEMENT THE FIRM HAD IN PLACE FOR THE ADVISER SELECT PROGRAM AND VIOLATED THE PROVISIONS OF CONFIDENTIALITY AGREEMENTS PROHIBITING THE USE OF THE THIRD PARTY INVESTMENT ADVISERS' PROPRIETARY INFORMATION. THE FIRM AND INVOLVED REGISTERED REPRESENTATIVES FAILED TO PAY A COMBINED TOTAL OF OVER \$200,000 TO THIRD PARTY INVESTMENT ADVISERS. THE FIRM FAILED TO ESTABLISH, MAINTAIN AND ENFORCE AN ADEQUATE SUPERVISORY SYSTEM TO DETECT AND PREVENT SHADOWING AND TUBRIDY FAILED TO RECOGNIZE AND FOLLOW UP ON RED FLAGS OF SHADOWING. ONCE THE FIRM LEARNED THAT SHADOWING HAD OCCURRED, THE FIRM, WITH TUBRIDY'S ASSISTANCE, CONDUCTED AN EXTENSIVE AND IMMEDIATE INTERNAL INVESTIGATION ACROSS ALL BRANCH OFFICES TO IDENTIFY AND HALT ANY OTHER SHADOWING ACTIVITY.

Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	06/28/2011
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension Other: UNDERTAKING
If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?	No



(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or

(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type:	Suspension
Capacities Affected:	ANY SUPERVISORY CAPACITY
Duration:	10 DAYS
Start Date:	08/01/2011



End Date: 08/10/2011

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$10,000.00

Portion Levied against individual: \$10,000.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 07/01/2011

Was any portion of penalty waived? No

Amount Waived:

Regulator Statement WITHOUT ADMITTING OR DENYING THE FINDINGS, TUBRIDY CONSENTED TO THE DESCRIBED SANCTIONS AND TO THE ENTRY OF FINDINGS; THEREFORE, SHE IS FINED \$10,000, SUSPENDED FROM ASSOCIATION WITH ANY FINRA MEMBER IN ANY SUPERVISORY CAPACITY FOR 10 DAYS AND REQUIRED TO COOPERATE WITH FINRA IN ITS PROSECUTION OF ANY OTHER DISCIPLINARY ACTION RELATED TO THESE EVENTS BY, AMONG OTHER THINGS, MEETING WITH AND BEING INTERVIEWED BY FINRA STAFF WITHOUT THE NEED OF STAFF TO RESORT TO FINRA RULE 8210, AND TESTIFYING TRUTHFULLY AT ANY RELATED HEARING. THE SUSPENSION IS IN EFFECT FROM AUGUST 1, 2011 THROUGH AUGUST 10, 2011.

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Reporting Source: Individual

Regulatory Action Initiated By: FINANCIAL INDUSTRY REGULATORY AUTHORITY

Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)
Suspension
Undertaking

Date Initiated: 06/28/2011

Docket/Case Number: CASE NO. 2008013864402

Employing firm when activity occurred which led to the regulatory action: DEUTSCHE BANK SECURITIES INC. ("DBSI")

Product Type: Equity Listed (Common & Preferred Stock)

Allegations: ON MAY 17, 2011 DEUTSCHE BANK SECURITIES INC. (DBSI) AND ANDRIENNE TUBRIDY SUBMITTED AN OFFER OF ACCEPTANCE, WAIVER & CONSENT (AWC) TO FINDINGS BY FINRA THAT DBSI AND TUBRIDY DID NOT ADEQUATELY SUPERVISE THE TRADING ACTIVITY OF CERTAIN FORMER CLIENT ADVISORS WHO ENGAGED IN A PROHIBITED TRADING PRACTICE AT A TIME WHEN ADRIENNE TUBRIDY WAS THEIR BRANCH ADMINISTRATIVE MANAGER. DBSI AND ADRIENNE TUBRIDY NEITHER ADMITTED NOR DENIED THE FINDINGS. DBSI WAS INFORMED OF THE AWC'S ACCEPTANCE ON JUNE 28, 2011. THE AWC CONTAINED FINDINGS THAT BETWEEN JANUARY 2005 AND MAY 2008 THE FORMER CLIENT ADVISORS "SHADOWED" THE CONFIDENTIAL TRADING STRATEGIES OF



THIRD-PARTY INVESTMENT ADVISERS, IN VIOLATION OF FIRM POLICY AND AGREEMENTS BETWEEN DBSI AND THE INVESTMENT ADVISERS. THE AWC ALSO FOUND THAT ADRIENNE TUBRIDY FAILED TO FOLLOW UP ON RED FLAGS THAT INDICATED THAT TRADES WERE BEING SHADOWED BY CLIENT ADVISORS IN HER BRANCH, IN VIOLATION OF NASD RULES 2110 AND 3010. ADRIENNE TUBRIDY'S AWC WAS LIMITED TO HER ROLE AS A SUPERVISOR AND THERE WAS NO FINDING THAT ADRIENNE TUBRIDY PERSONALLY ENGAGED IN THE UNDERLYING MISCONDUCT.

Current Status: Final

Resolution: Acceptance, Waiver & Consent(AWC)

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 06/28/2011

Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)
Suspension
Undertaking

Sanction 1 of 1

Sanction Type: Suspension

Capacities Affected: GENERAL SECURITIES SALES SUPERVISOR

Duration: 10 DAYS

Start Date: 08/01/2011

End Date: 08/10/2011

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$10,000.00

Portion Levied against individual: \$10,000.00

Payment Plan: SINGLE PAYMENT

Is Payment Plan Current: Yes

Date Paid by individual: 06/30/2011

Was any portion of penalty waived? No

Amount Waived:



End of Report

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