



IAPD Report

JOHN ANDREW PASSARO

CRD# 1574451

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOHN ANDREW PASSARO (CRD# 1574451)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/20/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	02/22/2002
IA	SNYDER WEALTH GROUP	CRD# 106425	11/18/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LONG ISLAND FINANCIAL ADVISORS, INC.	123439	MEDFORD, NY	04/16/2021 - 12/31/2021
B	NFB INVESTMENT SERVICES CORP.	25658	MELVILLE, NY	02/05/1996 - 02/25/2002
B	FN INVESTMENT CENTER	19631	SACRAMENTO, CA	11/09/1993 - 03/25/1996

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1
Judgment/Lien	10



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **SNYDER WEALTH GROUP**

Main Address: 1733 N OCEAN AVE
SUITE B
MEDFORD, NY 11763

Firm ID#: 106425

Regulator	Registration	Status	Date
IA New York	Investment Adviser Representative	Approved	11/18/2021

Branch Office Locations

SNYDER WEALTH GROUP

Manorville, NY

Employment 2 of 2

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	02/22/2002
B Florida	Agent	Approved	03/13/2002
B New York	Agent	Approved	02/22/2002

Branch Office Locations

OSAIC WEALTH, INC.

14 VICTORIA LANE
MANORVILLE, NY 11949



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
 Financial and Operations Principal Examination (S27)	Series 27	10/16/1989
 General Securities Principal Examination (S24)	Series 24	08/15/1988

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/18/1986

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	11/04/1986

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/16/2021 - 12/31/2021	LONG ISLAND FINANCIAL ADVISORS, INC.	CRD# 123439	MEDFORD, NY
B	02/05/1996 - 02/25/2002	NFB INVESTMENT SERVICES CORP.	CRD# 25658	MELVILLE, NY
B	11/09/1993 - 03/25/1996	FN INVESTMENT CENTER	CRD# 19631	SACRAMENTO, CA
B	03/25/1993 - 04/13/1993	CHARLES SCHWAB & CO., INC.	CRD# 5393	WESTLAKE, TX
B	12/10/1992 - 03/23/1993	JOSEPHTHAL LYON & ROSS INCORPORATED	CRD# 3227	NEW YORK, NY
B	03/27/1989 - 03/01/1991	PREScott STEINAM INC.	CRD# 16872	
B	04/18/1989 - 05/13/1989	U.S. ADVISORS, INC.	CRD# 10721	
B	04/14/1989 - 05/05/1989	WELLSHIRE SECURITIES, INC.	CRD# 17939	
B	10/22/1986 - 03/10/1989	INVESTORS CENTER, INC.	CRD# 14670	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2021 - Present	MARK J SNYDER FINANCIAK SERVICES INC.	IAR	Y	MEDFORD, NY, United States
02/2002 - Present	ROYAL ALLIANCE ASSOCIATE, INC.	REGISTERED REPRESENTATIVE	Y	GLEN CLOVE, NY, United States
05/2003 - 11/2021	LONG ISLAND FINANCIAL ADVISORS, INC.	IAR	Y	MEDFORD, NY, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) JOHN PASSARO; INVESTMENT RELATED; 14 VICTORIA LANE MANORVILLE NY 11949; STATUTORY AGENT; STARTED 01/01/2006; 1 HR/MTH; 0 HRS/MTH DURING ST HOURS; LIFE INSURANCE SALES. FIXED ANNUITY SALES.

2) JOHN PASSARO; NON-INVESTMENT; 14 VICTORIA LANE MANORVILLE NY 11949; OWNER; STARTED 01/01/2005; 2 HRS/MTH; 0 HRS/MTH DURING ST HOURS; PREPARATION OF TAX RETURNS.

3) JOHN PASSARO; NOT INVESTMENT-RELATED; 14 VICTORIA LANE; AUTHOR; STARTED 06/06/2013; 16 HOURS/ 0 SECURITIES; AUTHOR.

4) JOHN PASSARO

POSITION: Caregiver NATURE: Individual INVESTMENT RELATED: No NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 0 START DATE: 03/01/2016

ADDRESS: 14 Victoria Lane, Manorville NY 11949, United States

DESCRIPTION: I am a caregiver for my daughter who is [REDACTED] and [REDACTED] suffering from a [REDACTED] injury. She requires 24-hour care 365 days a year. I change her, feed her, suction her, monitor her, exercise her and provide any and all health care to help her survive as she is [REDACTED] and [REDACTED]. Predominately the hours I provide these services are from midnight to 6 am.

5) JOHN PASSARO

POSITION: Owner NATURE: Buy and Sell Sports Cards INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 10/30/2020

ADDRESS: 14 Victoria Lane, Manorville NY 11949-2030, United States

DESCRIPTION: Buy and Sell sports cards as a hobby.

6) MARK J SNYDER FINANCIAL SERVICES INC.

POSITION: Owner NATURE: Investment Advisory Services INVESTMENT RELATED: Yes NUMBER OF HOURS: 160

SECURITIES TRADING HOURS: 160 START DATE: 01/01/2022

ADDRESS: 14 Victoria Ln, Manorville NY 11949, United States

DESCRIPTION: Investment Advisor



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1
Judgment/Lien	10

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	POSTAL INSPECTOR & U.S. ATTORNEY 92-CR 391
Charge Date:	05/11/1992
Charge Details:	PERJURY OBSTRUCTION OF JUSTICE
Felony?	
Current Status:	Final
Status Date:	11/30/1992
Disposition Details:	ACQUITTAL
Broker Statement	ON 5/11/92, I WAS ARRESTED AND CHARGED WITH PERJURY AND OBSTRUCTION OF JUSTICE STEMMING FROM MY TESTIMONY I GAVE TO THE S.E.C. ON 7/24/89. ON 1/19/92, I WAS ACQUITTED OF ALL CHARGES. A COPY OF THE CERTIFIED FINAL DISPOSITION IS ATTACHED.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	NFB INVESTMENT SERVICES CORP
Allegations:	CUSTOMER ALLEGED MISREPRESENTATION
Product Type:	Mutual Fund(s)
Alleged Damages:	\$16,000.00

Customer Complaint Information

Date Complaint Received:	05/29/2002
Complaint Pending?	No
Status:	Denied
Status Date:	07/01/2002
Settlement Amount:	
Individual Contribution Amount:	
Firm Statement	CUSTOMER'S LAWYER REQUESTED COPIES OF DOCUMENTS. ONCE RECEIVED, LAWYER/CUSTOMER NEVER CONTACTED US AGAIN.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 10

Reporting Source: Individual
Judgment/Lien Holder: NYS Taxation Department
Judgment/Lien Amount: \$7,843.11
Judgment/Lien Type: Tax
Date Filed with Court: 12/09/2022
Date Individual Learned: 04/03/2023
Type of Court: State Court
Name of Court: Suffolk County
Location of Court: Suffolk County, NY
Docket/Case #: E001357102W010
Judgment/Lien Outstanding? Yes
Broker Statement My daughter lost [REDACTED] to her [REDACTED] and this has caused financial hardship.

Disclosure 2 of 10

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$73,989.56
Judgment/Lien Type: Tax
Date Filed with Court: 07/16/2019
Date Individual Learned: 07/30/2019
Type of Court: County
Name of Court: Suffolk County Court
Location of Court: Riverhead, NY
Judgment/Lien Outstanding? Yes

Disclosure 3 of 10

Reporting Source: Individual
Judgment/Lien Holder: CACH LLC.
Judgment/Lien Amount: \$5,745.00
Judgment/Lien Type: Civil
Date Filed with Court: 12/13/2009
Type of Court: N/A
Name of Court: N/A



Location of Court: N/A

Docket/Case #: N/A

Judgment/Lien Outstanding? Yes

Disclosure 4 of 10

Reporting Source: Individual

Judgment/Lien Holder: CAPITOL ONE BANK

Judgment/Lien Amount: \$8,922.00

Judgment/Lien Type: Civil

Date Filed with Court: 04/15/2008

Type of Court: N/A

Name of Court: N/A

Location of Court: N/A

Docket/Case #: N/A

Judgment/Lien Outstanding? Yes

Disclosure 5 of 10

Reporting Source: Individual

Judgment/Lien Holder: NY FINANCIAL SERVICES

Judgment/Lien Amount: \$11,774.00

Judgment/Lien Type: Civil

Date Filed with Court: 05/09/2008

Type of Court: N/A

Name of Court: N/A

Location of Court: N/A

Docket/Case #: N/A

Judgment/Lien Outstanding? Yes

Disclosure 6 of 10

Reporting Source: Individual

Judgment/Lien Holder: NY FINANCIAL SERVICES

Judgment/Lien Amount: \$5,754.00

Judgment/Lien Type: Civil

Date Filed with Court: 08/26/2008

Type of Court: N/A

Name of Court: N/A

Location of Court: N/A

Docket/Case #: N/A



Judgment/Lien Outstanding? Yes

Disclosure 7 of 10

Reporting Source: Individual
Judgment/Lien Holder: UNIFUND CCR PARTNERS
Judgment/Lien Amount: \$4,714.00
Judgment/Lien Type: Civil
Date Filed with Court: 04/23/2009
Type of Court: N/A
Name of Court: N/A
Location of Court: N/A
Docket/Case #: N/A
Judgment/Lien Outstanding? Yes

Disclosure 8 of 10

Reporting Source: Individual
Judgment/Lien Holder: CAPITOL ONE BANK
Judgment/Lien Amount: \$1,123.00
Judgment/Lien Type: Civil
Date Filed with Court: 06/16/2009
Type of Court: N/A
Name of Court: N/A
Location of Court: N/A
Docket/Case #: N/A
Judgment/Lien Outstanding? Yes

Disclosure 9 of 10

Reporting Source: Individual
Judgment/Lien Holder: CHASE BANK
Judgment/Lien Amount: \$3,081.00
Judgment/Lien Type: Civil
Date Filed with Court: 02/24/2010
Type of Court: N/A
Name of Court: N/A
Location of Court: N/A
Docket/Case #: N/A
Judgment/Lien Outstanding? Yes

**Disclosure 10 of 10**

Reporting Source: Individual
Judgment/Lien Holder: CAPITOL ONE BANK
Judgment/Lien Amount: \$9,073.00
Judgment/Lien Type: Civil
Date Filed with Court: 04/15/2008
Type of Court: N/A
Name of Court: N/A
Location of Court: N/A
Docket/Case #: N/A
Judgment/Lien Outstanding? Yes



End of Report

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