



IAPD Report

CURTIS RICHARD EDMARK

CRD# 1596961

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CURTIS RICHARD EDMARK (CRD# 1596961)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/12/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	11/27/2017
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	12/19/2017

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	USALLIANZ SECURITIES, INC.	40875	MINNEAPOLIS, MN	02/22/2001 - 10/01/2002
B	GUARDIAN INVESTOR SERVICES CORPORATION	6635	NEW YORK, NY	11/26/1991 - 03/24/1998
B	MUTUAL OF OMAHA FUND MANAGEMENT COMPANY	611	OMAHA, NE	12/08/1986 - 11/11/1991

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **4** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**

Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806

Firm ID#: 30833

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/19/2017
B	Arizona	Agent	Approved	10/12/2018
IA	Arizona	Investment Adviser Representative	Approved	10/22/2018
B	California	Agent	Approved	03/01/2024
IA	Florida	Investment Adviser Representative	Approved	07/19/2018
B	Florida	Agent	Approved	07/23/2018
IA	Wisconsin	Investment Adviser Representative	Approved	11/27/2017
B	Wisconsin	Agent	Approved	12/19/2017

Branch Office Locations

CENTAURUS FINANCIAL, INC.
4811 S. 76TH STREET
SUITE #401
GREENFIELD, WI 53220



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	07/13/2017
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/21/2001

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	11/27/2017
B Uniform Securities Agent State Law Examination (S63)	Series 63	02/23/2001



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/22/2001 - 10/01/2002	USALLIANZ SECURITIES, INC.	CRD# 40875	MINNEAPOLIS, MN
B	11/26/1991 - 03/24/1998	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	NEW YORK, NY
B	12/08/1986 - 11/11/1991	MUTUAL OF OMAHA FUND MANAGEMENT COMPANY	CRD# 611	OMAHA, NE

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2017 - Present	CENTAURUS FINANCIAL INC.	REGISTERED REPRESENTATIVE	Y	ANAHEIM, CA, United States
09/1991 - Present	PIONEER FINANCIAL GROUP	AGENT	N	RACINE, WI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. PIONEER FINANCIAL GROUP LLC, NON-INVESTMENT RELATED, 542 STATE STREET, SUITE #6, RACINE, WI 53402, DBA, INSURANCE SALES (ANNUITY), AGENT, SINCE 1/1986, DEVOTED TIME IS 160 HOURS A MONTH, PROSPECT THROUGH PUBLIC MEETINGS, MEET WITH POTENTIAL CLIENTS AND PRESENT ANNUITY RECOMMENDATIONS.

2. CURTISEDMARK.COM

POSITION: ADVISOR NATURE: Some business, some personal. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/01/2026

ADDRESS: 4811 South 76th Street, Kenosha, Greenfield WI 53220, United States

DESCRIPTION: This is a website that was created for me. I hired a company to push down negative Google material. They need to put content on various websites with my name on it to do this. This is one of the websites. We will be publishing material on it. But we will be clearing all of this material through compliance before it goes live on this website.

3. ABOUTCURTISEDMARK.COM

POSITION: ADVISOR NATURE: Business material with my name on it. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/01/2026

ADDRESS: 4811 South 76th Street, Greenfield WI 53220, United States

DESCRIPTION: We will be posting articles on this with both business content and secular content. The purpose of this is to flood the Internet with material with my name on it to push down negative Google material. I have hired a company to do this.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	3

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF WISCONSIN OFFICE OF THE COMMISSIONER OF INSURANCE
Sanction(s) Sought:	Monetary Penalty other than Fines Restitution
Date Initiated:	01/12/2009
Docket/Case Number:	05-C29817
Employing firm when activity occurred which led to the regulatory action:	NONE
Product Type:	Annuity-Fixed Annuity-Variable
Allegations:	THE COMMISSIONER OF INSURANCE ALLEGED VIOLATIONS OF STATE STATUTE 628.34 (UNFAIR MARKETING PRACTICES) AND ADMINISTRATIVE CODE 2.16 (ADVERTISEMENTS OF AND DECEPTIVE PRACTICES IN LIFE INSURANCE AND ANNUITIES).
Current Status:	Final
Resolution:	Stipulation and Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	Yes



Resolution Date: 01/12/2009
Sanctions Ordered: Monetary Penalty other than Fines
Restitution

Monetary Sanction 1 of 2

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$5,000.00

Portion Levied against individual: \$5,000.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 11/01/2013

Was any portion of penalty waived? No

Amount Waived:

Monetary Sanction 2 of 2

Monetary Related Sanction: Restitution

Total Amount: \$5,000.00

Portion Levied against individual: \$5,000.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 02/01/2009

Was any portion of penalty waived? No

Amount Waived:

Disclosure 2 of 2

Reporting Source: Regulator

Regulatory Action Initiated By: WISCONSIN

Sanction(s) Sought: Prohibition

Other Sanction(s) Sought:

Date Initiated: 12/23/2005

Docket/Case Number: S-05221

Employing firm when activity occurred which led to the regulatory action:

Product Type: Other

Other Product Type(s): VIATICAL SETTLEMENT CONTRACTS

Allegations: CONSENT ORDER OF PROHIBITION WAS ISSUED BASED ON ALLEGATIONS



Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 12/23/2005

Regulator Statement OF ACTING AS AN UNLICENSED SECURITIES AGENT AND FOR SELLING UNREGISTERED SECURITIES IN THE FORM OF VIATICAL SETTLEMENT CONTRACTS ON BEHALF OF BENEFICIAL ASSURANCE, LTD.

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Reporting Source: Individual

Regulatory Action Initiated By: WISCONSIN DEPT. OF FINANCIAL INSTITUTIONS, DIVISION OF SECURITIES

Sanction(s) Sought: Cease and Desist

Other Sanction(s) Sought:

Date Initiated: 11/18/2005

Docket/Case Number: S-05221

Employing firm when activity occurred which led to the regulatory action: NONE

Product Type: Other

Other Product Type(s): VIATICAL SETTLEMENTS

Allegations: THAT I SOLD A SECURITY IN 1998 THAT WAS NOT REGISTERED UNDER SEC551. THAT I THUS ACTED AS SECURITIES AGENT WITHOUT BEING LICENSED AS SUCH.

Current Status: Final

Resolution: Order

Resolution Date: 12/23/2005

Sanctions Ordered:

Other Sanctions Ordered: PROHIBITION

Sanction Details: ORDER OF PROHIBITION WAS ISSUED BASED ON ALLEGATION OF ACTING AS AN UNLICENSED SECURITIES AGENT AND FOR SELLING UNREGISTERED SECURITIES IN THE FORM OF VIATICAL SETTLEMENT CONTRACTS ON BEHALF OF BENEFICIAL ASSURANCE, LTD.

SANCTIONS ASSOCIATED WITH 14D(1)(E) DO NOT APPLY. THE ORDER DID NOT 'PREVENT' OR 'RESTRICT' ACTIVITIES, RATHER IT STATED FOLLOWING REGULATIONS WITH PROPER REGISTRATION AS A SECURITIES AGENT



AND FOR ONLY SELLING OR OFFERING REGISTERED SECURITIES OR SECURITIES THAT ARE PROPERLY EXEMPT FROM REGISTRATION. WITH THIS ORDER, THERE IS NO RESTRICTION, JUST INFORMEMENT OF REGULATIONS WITH THESE RESPECTS.

Broker Statement

WHEN I RECEIVED THE INQUIRY OF 11/18/05 THE EXAMINER NOTIFIED ME THAT I HAD TO REPORT THE ORDER OF PROHIBITION TO THE COMMISSIONER OF INSURANCE, WHICH I DID PROMPTLY. I WASN'T TOLD I HAD TO REPORT IT TO PACKERLAND BROKERAGE, AND WASN'T AWARE I HAD TO, AS I WASN'T FULLY LICENSED (ONLY SCHEDULED EXAMS). I WOULD HAVE HAD I KNOWN.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	CENTAURUS FINANCIAL, INC.
Allegations:	The customer alleges that, the Registered Representative's original product recommendation was unsuitable and the continuing advise to remain invested caused loss of principal. No specific dates for the alleged activity were identified in the Statement of Claim.
Product Type:	Other: Investment Contract - Interval Fund (Closed End Management Investment Company)
Alleged Damages:	\$100,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	26-02009
Filing date of arbitration/CFTC reparation or civil litigation:	09/03/2026

Customer Complaint Information

Date Complaint Received:	09/14/2026
Complaint Pending?	Yes

Settlement Amount:

Individual Contribution Amount:

Broker Statement	I vehemently deny any wrongdoing and assert that the allegations are completely without merit. The investments about which the customer complained were suitable and were recommended based on the customer's objectives, goals and financial circumstances and were offered only after his review of all material documentation related to the investment. The customer confirmed in writing that they not only received the requisite investment documentation/disclosures, but that he fully understood the characteristics and risks of the investments. At all times, I put the customer's interest first and I will vigorously defend this matter to the fullest extent of the law.
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Disclosure 2 of 3



Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: CENTAURUS FINANCIAL, INC.

Allegations: In March of 2019, and in May of 2020, the customer alleges that the Registered Representative recommended unsuitable, high-risk, speculative, illiquid investments and breached his fiduciary duty.

Product Type: Debt-Corporate

Alleged Damages: \$150,000.00

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 24-01088

Filing date of arbitration/CFTC reparation or civil litigation: 05/17/2024

Customer Complaint Information

Date Complaint Received: 05/29/2024

Complaint Pending? No

Status: Settled

Status Date: 04/16/2025

Settlement Amount: \$60,000.00

Individual Contribution Amount: \$0.00

Broker Statement I vehemently denied any wrongdoing and assert that the customer's allegations were completely without merit. Notwithstanding, in an effort to avoid protracted proceedings, and the time and financial resources required, and in an effort to reach an expedited resolution with the customer, my broker/dealer unilaterally and without my agreement, settled with the customer, to which I made no monetary contribution.

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: None. Not securities licensed at the time.

Allegations: Allegation: Did not disclose all features of a fixed annuity contract. Explanation: Wife of [customer] wished to liquidate his annuity at his death to transfer to another company. The contract would not allow her to do this without penalty. The contract did allow her to do a spousal continuation or 5 year payout without penalty. She opted to surrender the contract for a penalty and then filed a complaint.



Product Type: Annuity-Fixed

Alleged Damages: \$10,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 02/01/2005

Complaint Pending? No

Status: Settled

Status Date: 01/12/2009

Settlement Amount: \$5,000.00

Individual Contribution Amount: \$5,000.00

Broker Statement

This consumer-initiated complaint resulted in a \$5,000.00 restitution for the consumer, not \$10,000.00 as previously denoted. Therefore, it does not meet the criteria as set forth in disclosure question 14I(2)(1).

Complaint was initiated by competing broker who wished to have money transferred to his company without penalty. The insurance company rejected his complaint request, explaining that the client could do a spousal continuation or request a 5 year payout without penalty. Instead of advising her to take one of these 2 options, he advised her to proceed with the surrender, pay the penalty, and then transfer to his company. He then helped her file the complaint to the state.



End of Report

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