



IAPD Report

DREW ELDRED NIXON

CRD# 1610065

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DREW ELDRED NIXON (CRD# 1610065)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	DOMINION PORTFOLIO MANAGEMENT, INC.	CRD# 106918	06/23/2017
B	CALTON & ASSOCIATES, INC.	CRD# 20999	06/30/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	DOMINION INVESTOR SERVICES, INC.	21548	CARTHAGE, TX	06/23/2017 - 07/21/2023
B	SUMMIT BROKERAGE SERVICES, INC.	34643	CARTHAGE, TX	09/01/2016 - 06/30/2017
IA	SUMMIT FINANCIAL GROUP INC	109485	CARTHAGE, TX	09/01/2016 - 06/30/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CALTON & ASSOCIATES, INC.**
Main Address: 2701 N. ROCKY POINT DRIVE
SUITE 1000
TAMPA, FL 33607
Firm ID#: 20999

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	06/30/2023
B FINRA	General Securities Representative	Approved	06/30/2023
B FINRA	Invest. Co and Variable Contracts	Approved	06/30/2023
B Alaska	Agent	Approved	06/30/2023
B Arizona	Agent	Approved	06/30/2023
B California	Agent	Approved	06/30/2023
B Colorado	Agent	Approved	06/30/2023
B Georgia	Agent	Approved	08/10/2023
B Louisiana	Agent	Approved	06/30/2023
B Oklahoma	Agent	Approved	06/30/2023
B Pennsylvania	Agent	Approved	06/30/2023
B Texas	Agent	Approved	06/30/2023

Branch Office Locations



Qualifications

CALTON & ASSOCIATES, INC.


1509 West Panola Street
Carthage, TX 75633

Employment 2 of 2

Firm Name: **DOMINION PORTFOLIO MANAGEMENT, INC.**

Main Address: 809 12TH STREET
SUITE C3 - B & C
MARBLE FALLS, TX 78654

Firm ID#: 106918

Regulator	Registration	Status	Date
 Texas	Investment Adviser Representative	Approved	06/23/2017

Branch Office Locations

DOMINION PORTFOLIO MANAGEMENT, INC.

1509 WEST PANOLA
CARTHAGE, TX 75633



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	06/17/2000

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	07/18/1987
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/16/1987

State Securities Law Exams

	Exam	Category	Date
	Uniform Combined State Law Examination (S66)	Series 66	04/27/2001
	Uniform Securities Agent State Law Examination (S63)	Series 63	01/16/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/23/2017 - 07/21/2023	DOMINION INVESTOR SERVICES, INC.	CRD# 21548	CARTHAGE, TX
B	09/01/2016 - 06/30/2017	SUMMIT BROKERAGE SERVICES, INC.	CRD# 34643	CARTHAGE, TX
IA	09/01/2016 - 06/30/2017	SUMMIT FINANCIAL GROUP INC	CRD# 109485	CARTHAGE, TX
IA	09/02/2016 - 11/02/2016	VSR ADVISORY SERVICES	CRD# 14503	CARTHAGE, TX
B	07/11/2005 - 11/02/2016	VSR FINANCIAL SERVICES, INC.	CRD# 14503	CARTHAGE, TX
IA	07/11/2005 - 09/01/2016	VSR ADVISORY SERVICES	CRD# 14503	CARTHAGE, TX
B	06/18/1989 - 07/18/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
IA	06/18/1989 - 07/18/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	CARTHAGE, TX
B	06/23/1987 - 06/18/1989	SOUTHMARK FINANCIAL SERVICES, INC.	CRD# 6518	
B	01/19/1987 - 07/18/1987	H.D. VEST INVESTMENT SECURITIES, INC.	CRD# 13686	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2023 - Present	Calton & Associates, Inc	Finanacial Professional	Y	Carthage, TX, United States
06/2017 - Present	DOMINION PORTFOLIO MANAGEMENT, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	HORSESHOE BAY, TX, United States
07/2005 - Present	TEXAS FINANCIAL CENTER	DBA for insurance sales	Y	CARTHAGE, TX, United States
01/1985 - Present	DREW NIXON, CPA	OWNER	N	CARTHAGE, TX, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2017 - 06/2023	DOMINION INVESTOR SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	HORSESHOE BAY, TX, United States
09/2016 - 06/2017	SUMMIT BROKERAGE SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	CARTHAGE, TX, United States
09/2016 - 06/2017	SUMMIT FINANCIAL GROUP INC	IAR	Y	BOCA RATON, FL, United States
08/2007 - 11/2016	VSR ADVISORY SERVICES	IAR	Y	OVERLAND PARK, KS, United States
07/2005 - 09/2016	VSR FINANCIAL SERVICES INC	REGISTERED REPRESENTATIVE, IAR	Y	OVERLAND PARK, KS, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) D&C PROPERTIES, NOT INVESTMENT RELATED, 1509 W. PANOLA, CARTHAGE TX 75633, COMMERCIAL RENTAL PROPERTIES, PARTNER-MANAGER, 2005, 2 HOURS A MONTH, 1 HOUR DURING TRADING (2) NIXON & WOODFIN CPA'S/DREW NIXON CPA, NOT INVESTMENT RELATED, 1509 W. PANOLA, CARTHAGE TX 75633, CPA FIRM, PARTNER-OWNER, 2005/1985, 100 HOURS A MONTH, 80 HOURS A MONTH DURING TRADING, NORMAL LOCAL CPA FIRM ACTIVITIES (3) A-1 PARTY RENTALS, INC., NON INVESTMENT RELATED, 1509 W. PANOLA, CARTHAGE, TX 75633, EVENTS RENTAL COMPANY, SHAREHOLDER-PRESIDENT, 1991, 1 HOUR A MONTH WITH NONE DURING TRADING HOURS (4) WIND RIVER INVESTMENTS, NOT INVESTMENT RELATED, 1509 W. PANOLA, CARTHAGE TX 75633, PARTNER, 1994, 1 HOUR A MONTH WITH NONE DURING TRADING, PARTNER IN RANCH OPERATION (5) DREW NIXON, 1509 W. PANOLA, CARTHAGE, TX 75633, NOT INVESTMENT RELATED, REAL ESTATE, SALESMAN LICENSE, 1979, 1 HOURS A MONTH DURING TRADING (6) DBA OF TEXAS FINANCIAL CENTER / TEXAS FINANCIAL CENTER LLC, AND DREW NIXON 1509 W. PANOLA, 2005/1987 APPROXIMATELY 10 HOURS PER MONTH, APPROXIMATELY 5 HOURS DURING TRADING HOURS, DBA FOR LIFE/HEALTH/ACCIDENT/DISABILITY INSURANCE SALES (7) TIMBERLAKE INSURANCE GROUP, LLC, NOT INVESTMENT RELATED, 1507 W. PANOLA CARTHAGE TX & JASPER TX - PROPERTY AND CASUALTY INSURANCE AGENCY, SHAREHOLDER, P&C SALES, 10 HOURS A MONTH WITH 8 HOURS DURING TRADING HOURS



End of Report

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