



IAPD Report

JOHN FREDERICK VANDERHYDE

CRD# 1611200

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JOHN FREDERICK VANDERHYDE (CRD# 1611200)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/15/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	04/26/1990
IA	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	02/08/2006

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	ROBERT W. BAIRD & CO. INCORPORATED	8158	MILWAUKEE, WI	04/26/1990 - 01/01/2002
B	NML EQUITY SERVICES, INC.	2881	MILWAUKEE, WI	03/06/1989 - 01/10/1990
B	ROBERT W. BAIRD & CO. INCORPORATED	8158	MILWAUKEE, WI	03/06/1989 - 01/10/1990

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC**
Main Address: **720 EAST WISCONSIN AVENUE
MILWAUKEE, WI 53202-4797**
Firm ID#: **2881**

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	04/26/1990
B	FINRA	General Securities Representative	Approved	11/11/1997
B	FINRA	General Securities Sales Supervisor	Approved	11/11/1997
B	FINRA	Operations Professional	Approved	12/14/2011
B	Alabama	Agent	Approved	01/05/2004
B	Alaska	Agent	Approved	01/05/2004
B	Arizona	Agent	Approved	01/01/2002
B	Arkansas	Agent	Approved	01/05/2004
B	California	Agent	Approved	01/05/2004
B	Colorado	Agent	Approved	01/05/2004
B	Connecticut	Agent	Approved	01/05/2004
B	Delaware	Agent	Approved	01/05/2004
B	District of Columbia	Agent	Approved	01/05/2004



Qualifications

	Regulator	Registration	Status	Date
B	Florida	Agent	Approved	01/01/2002
B	Georgia	Agent	Approved	01/05/2004
B	Hawaii	Agent	Approved	01/05/2004
B	Idaho	Agent	Approved	01/05/2004
B	Illinois	Agent	Approved	01/05/2004
B	Indiana	Agent	Approved	01/05/2004
B	Iowa	Agent	Approved	01/05/2004
B	Kansas	Agent	Approved	01/05/2004
B	Kentucky	Agent	Approved	01/05/2004
B	Louisiana	Agent	Approved	01/07/2004
B	Maine	Agent	Approved	01/01/2002
B	Maryland	Agent	Approved	01/05/2004
B	Massachusetts	Agent	Approved	01/05/2004
B	Michigan	Agent	Approved	01/05/2004
B	Minnesota	Agent	Approved	01/05/2004
B	Mississippi	Agent	Approved	01/05/2004
B	Missouri	Agent	Approved	01/05/2004
B	Montana	Agent	Approved	01/05/2004
B	Nebraska	Agent	Approved	01/05/2004



Qualifications

	Regulator	Registration	Status	Date
B	Nevada	Agent	Approved	01/05/2004
B	New Hampshire	Agent	Approved	01/05/2004
B	New Jersey	Agent	Approved	06/30/2003
B	New Mexico	Agent	Approved	01/05/2004
B	New York	Agent	Approved	01/01/2002
B	North Carolina	Agent	Approved	01/01/2002
B	North Dakota	Agent	Approved	01/05/2004
B	Ohio	Agent	Approved	01/05/2004
B	Oklahoma	Agent	Approved	01/05/2004
B	Oregon	Agent	Approved	01/05/2004
B	Pennsylvania	Agent	Approved	01/05/2004
B	Rhode Island	Agent	Approved	01/05/2004
B	South Carolina	Agent	Approved	01/05/2004
B	South Dakota	Agent	Approved	01/05/2004
B	Tennessee	Agent	Approved	04/05/2002
B	Texas	Agent	Approved	01/05/2004
B	Utah	Agent	Approved	01/05/2004
B	Vermont	Agent	Approved	01/05/2004
B	Virginia	Agent	Approved	01/01/2002



Qualifications

	Regulator	Registration	Status	Date
IA	Virginia	Investment Adviser Representative	Approved	02/08/2006
B	Washington	Agent	Approved	01/05/2004
B	West Virginia	Agent	Approved	01/05/2004
B	Wisconsin	Agent	Approved	01/05/2004
B	Wyoming	Agent	Approved	01/05/2004

Branch Office Locations

NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC

3901 WESTERRE PKWY
STE 300
RICHMOND, VA 23233






Qualifications

PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	11/05/1997

General Industry/Product Exams

	Exam	Category	Date
	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	10/29/1997
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/02/1989

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	01/20/2006
	 Uniform Securities Agent State Law Examination (S63)	Series 63	01/31/1989



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/26/1990 - 01/01/2002	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	MILWAUKEE, WI
B	03/06/1989 - 01/10/1990	NML EQUITY SERVICES, INC.	CRD# 2881	MILWAUKEE, WI
B	03/06/1989 - 01/10/1990	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	MILWAUKEE, WI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2016 - Present	Northwestern Mutual Wealth Management Company	Representative	Y	Milwaukee, WI, United States
01/2011 - Present	NORTHWESTERN MUTUAL WEALTH MANAGEMENT COMPANY	LIMITED REPRESENTATIVE	Y	MILWAUKEE, WI, United States
02/2006 - Present	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	IAR	Y	RICHMOND, VA, United States
02/1990 - Present	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	RICHMOND, VA, United States
02/1986 - Present	NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY	AGENT	Y	MILWAUKEE, WI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. PAY-BEN SOLUTIONS, INC, NON-FINANCIAL SERVICES BUSINESS, START DATE: 7/19/2006, SUBSIDIARY TO GENERAL AGENCY CORPORATION, AND DOES SECTION 125 PLANS, COBRA ADMINISTRATION, AND PAYROLL ADP FOR SEBS OPERATION.

2. 100% OWNER, BLDG TWO, LLC, 849 SABOT HILL RD, MANAKIN-SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 3/26/2018, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, OWNER AND PRESIDENT...I COLLECT LEASE PAYMENTS FOR LEASED EQUIPMENT AND PAY THE BANK FOR FINANCING COSTS

3. OWNS SABOT HILL FARM RENTAL PROPERTIES, RENTS OUT PASTURE LAND AND PROVIDES WATER TO NEIGHBORS.

4. MAY EARN COMMISSIONS FROM INSURANCE COMPANIES NOT AFFILIATED WITH NORTHWESTERN MUTUAL FOR



Registration & Employment History



OTHER BUSINESS ACTIVITIES

SALES OF NON-VARIABLE LIFE, HEALTH, ANNUITY AND /OR DISABILITY INCOME INSURANCE PRODUCTS.

5. 100% OWNER, RENTAL PROPERTY (RESIDENTIAL), 5907 LOWER LAKE RD, CAYUGA, , NEW YORK, 13034, UNITED STATES OF AMERICA, INVESTMENT PROPERTY, START DATE: 5/1/2011, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, RENT VACATION HOME

6. PERSONAL LOAN, A LINE OF CREDIT EXTENDED TO PERSONAL FRIENDS, PROMISSORY NOTE ESTABLISHED FOR THE AMOUNT OF \$50,000 WITH 6% INTEREST RATE, DUE AND PAYABLE ON APRIL 3, 2013.

7. BOARD MEMBER, DAVID ORTIZ CHILDREN'S FUND, 7201 GLEN FOREST DRIVE STE 304 RICHMOND VA 23226, CO-SIGNER CHECKS BOARD DETERMINES HOW TO ALLOCATE FUNDS TO ORGANIZATIONS, APPROX 1 HR/MONTH, START DATE 9/4/15, NON-INVESTMENT RELATED.

8. 100% OWNER, BLDG TWO, LLC, 849 SABOT HILL RD, MANAKIN-SABOT,VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 3/26/2018, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, FARM EQUIPMENT

9. 100% OWNER, VDH, LLC, MP GENERAL AGENT LEGAL ENTITY, START DATE: 01/17/2020, HOURS PER MONTH: 41-79, HOURS DURING SECURITIES TRADING HOURS: 41-79, WEALTH MANAGEMENT DISTRIBUTION OVERRIDES

10. OWNER, JOHN F VAN DER HYDE & ASSOCIATES, INC., 3901 WESTERRE PKWY, RICHMOND, , VIRGINIA, 23233, UNITED STATES OF AMERICA, LEGAL ENTITY - RECEIVING WMC COMPENSATION FROM PERSONAL PRODUCTION, START DATE: 5/1/1998, HOURS PER MONTH: 80+, HOURS DURING SECURITIES TRADING HOURS: 80+, LEGAL ENTITY ESTABLISHED FOR BUSINESS PURPOSES, INVESTMENT RELATED.

11. GLOBAL ENERGY, INC., 849 SABOT HILL RD, MANAKIN SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 02/24/2025, HOURS PER MONTH: 41-79, HOURS DURING SECURITIES TRADING HOURS: 21-40, NON-INVESTMENT RELATED.

12. BUILDING FOUR LLC, 849 SABOT HILL RD, MANAKIN SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 8/4/2025, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, MANAGER. NON-INVESTMENT RELATED.

13. GENERATION TWO LLC, 849 SABOT HILL RD, MANAKIN SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 8/4/2025, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, NON-INVESTMENT RELATED.

14. FRACKELTON LLC, 849 SABOT HILL RD, MANAKIN SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 8/4/2025, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, MANAGER OF LLC; NON INVESTMENT-RELATED.

15. VAN DER HYDE LIQUIDITY TRUST LLC, 849 SABOT HILL RD, MANAKIN SABOT, , VIRGINIA, 23103, UNITED STATES OF AMERICA, NON-FINANCIAL SERVICES BUSINESS, START DATE: 8/5/2025, HOURS PER MONTH: 0-5, HOURS DURING SECURITIES TRADING HOURS: 0-5, MANAGER OF LLC; NON INVESTMENT-RELATED.



End of Report

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