User Guidance

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IAPD Report

George B Georgiades

CRD# 1624125

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

George B Georgiades (CRD# 1624125)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/14/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	SANCTUARY SECURITIES, INC.	CRD# 205	06/28/2019
IA	SANCTUARY ADVISORS, LLC	CRD# 226606	06/28/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	COLLEGE STATION, TX	03/26/1987 - 07/02/2019
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	COLLEGE STATION, TX	02/24/1987 - 07/02/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **SANCTUARY SECURITIES, INC.**

Main Address: 1450 BRICKELL AVENUE
SUITE 2610
MIAMI, FL 33131

Firm ID#: 205

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/28/2019
B	FINRA	General Securities Sales Supervisor	Approved	06/28/2019
B	Arizona	Agent	Approved	11/08/2024
B	California	Agent	Approved	06/28/2019
B	Colorado	Agent	Approved	06/10/2025
B	Connecticut	Agent	Approved	06/28/2019
B	Florida	Agent	Approved	06/28/2019
B	Georgia	Agent	Approved	03/28/2022
B	Idaho	Agent	Approved	06/28/2019
B	Indiana	Agent	Approved	06/28/2019
B	Louisiana	Agent	Approved	06/28/2019
B	Mississippi	Agent	Approved	06/28/2019
B	New York	Agent	Approved	06/28/2019

Qualifications

	Regulator	Registration	Status	Date
B	Oklahoma	Agent	Approved	06/28/2019
B	Pennsylvania	Agent	Approved	01/10/2023
B	South Carolina	Agent	Approved	06/28/2019
B	Texas	Agent	Approved	09/16/2019

Branch Office Locations

DAVID A. NOYES & COMPANY
1470 Copperfield Parkway
College Station, TX 77845

DAVID A. NOYES & COMPANY
1470 Copperfield Parkway
College Station, TX 77845

Employment 2 of 2

Firm Name: **SANCTUARY ADVISORS, LLC**

Main Address: 1450 BRICKELL AVENUE
SUITE 2610
MIAMI, FL 33131

Firm ID#: 226606

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	06/28/2019

Branch Office Locations

SANCTUARY ADVISORS, LLC
1470 Copperfield Parkway
College Station, TX 77845

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	02/23/2000
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	02/23/2000

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	11/26/2003
B	General Securities Representative Examination (S7)	Series 7	02/21/1987

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	03/17/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/26/1987 - 07/02/2019	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	COLLEGE STATION, TX
B	02/24/1987 - 07/02/2019	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	COLLEGE STATION, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2011 - Present	Bank of America,N.A.	Sr Resident Dir - Intl WM	Y	COLLEGE STATION, TX, United States
12/1986 - Present	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	NOT PROVIDED	Y	COLLEGE STA., TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Name of business: Houston Livestock & Rodeo Address: 3 NRG Park, Houston. Nature: Board position. Title: Oversight of Charitable Activity- Two meetings/year Investment-related: No Approx number of hours/month: 5 Hours during securities trading hours: 0 Start date: 6/16 (2) 100 Club Address: Houston Board Position Title: Lead & organize activities for their law enforcement support organization Investment-related: No. Hours: 1 Securities trading hours: 1 8/16 (3) G Squared Ventures, Inc. Address: 1752 Twin Pond Cir, College Station, TX Holding co that owns my personal OBA's. Title- Lead & organize activities for their law enforcement support organization. Investment-related: NO Hours: 2 Trading hours: 2. 8/16 (4) Oil and Gas Interest (LLC) 1752 Twin Pond Circle, College Station, TX. Holds mineral interests in oil and gas production. Title, Owner. Investment-related: NO Hours: 1 Hours securities trading hours: 1 Start 4/1991 (5) NLH Farms (LLC): 1752 Twin Pond Cir, College Station, TX. : Own 50% share of airplane Title: Co-owner Investment-related: NO Hours/month 1 Securities trading hours: 1 6/2002. (6) NLH Residential (LLC) 1752 Twin Pond Cir, College Station, TX Holding Co One property 1752 Twin Pond Cir, College Station, TX Owner Investment related: NO Hrs/mo- 1 Hrs, Trading hrs- 1 6/2015 (7) NLH Ranches (LLC) Address: 1752 Twin Pond Cir, College Station, TX Nature of the business: Holding Co. Horses, Longhorn cattle Title : Owner Investment-related: NO 6/2015 (8) NLH Aviation, LLC. College Station, TX Sales/leasing of aircraft Owner/manager. Not invest related. 4 hr/mo. 2016 (9) NLH Ranches, LLC Position: Owner Nature: Holding Company. Horses, cattle Investment Related: No Hours: 1 Trading Hours: 1 Start Date: 6/1/15 Address: 1470 Copperfield Pkwy, College Station TX 77845 Description: Owner (10) Name: Oil and Gas Interest, LLC Position: Owner Nature: Holds mineral interests in oil and gas production Investment Related: No Start Date: 4/1/91 Address: 1470 Copperfield Pkwy, College Station TX 77845 Description: Owner (11)Corner Capital Position: Independent Contractor Nature: Not a broker dealer or is it an RIA or registered firm. Provides services to businesses & individuals in investment banking situations Investment Related: Yes Hours: 5 Securities Hours: 2 Start Date: 1/21 Address: 101 E Figueroa St #204, Santa Barbara CA 93101 Description: Provide financial planning consulting (12) Name: 1983 Lands, LLC Position: Manager/owner Nature: Holding company to limit liability of owning Ranch Real Estate Investment Related: Hours: 1 Trading Hours: Date: 7/21 (13) Name: G Squared Asset Mgmt, Position: owner Nature: Asset Managementt. Investment Related: Yes. Hours: 1: Securities

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Trading Hours: 1 : 6/7/2021 Address: 1470 Copperfield Pkwy, College Station TX 77845 Description: idea exchange (14) Name: Continuum 22, LLC Position: Secretary Nature: LLC created to facilitate the ability to do a consulting contract. Investment Related: Yes Hours: 2 Securities Trading Hours: 2 Start Date: 08/19/2022 Address: 1470 Copperfield Parkway, College Station TX 77845 (15) Name: MSB SWD, LLC. Position: LP. Nature: Salt water disposal from petrochemical production. Investment Related: No Start Date: 5/28/1997 Address: HW E, Bryan TX 77802 Description: LP. (16) Name: 6 Degrees Wealth Position: Founding Partner Nature: Partnership to acquire new practices &retiring advisor Investment Related: Yes Hours: 50 Securities: 40 Start Date: 9/15/2020. Address: 15377 Memorial Dr, STE 340 Houston TX 77024 Description: Portfolio Management Operations (17) Name: G Squared Media, LLC Position: Owner Nature: CNBC Media appearance by Victoria Greene Investment Related: No Hours: 1 Start Date: 12/15/22 Support (18) WG HOLDINGS LLC POSITION: owner NATURE: Real Estate Holding company owns building that G Squared Private Wealth uses START DATE: 5/1/2024 ADDRESS: 1470 Copperfield Parkway, College Station TX 77845

6 DEGREES WEALTH PERSHING MONEY MARKET ACCOUNT

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations:	THE CUSTOMER ALLEGES MISREPRESENTATION AND UNSUITABLE INVESTMENT RECOMMENDATIONS.
Product Type:	Insurance
Alleged Damages:	$0.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	03/23/2009
Complaint Pending?	No
Status:	Denied
Status Date:	08/14/2009
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE CLIENT'S ALLEGATIONS WERE FOUND TO BE WITHOUT MERIT AND

THE COMPLAINT WAS DENIED.

End of Report

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