User Guidance

www.adviserinfo.sec.gov

IAPD Report

SANDRA LEE HAY MAGDALENO

CRD# 1635367

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

SANDRA LEE HAY MAGDALENO (CRD# 1635367)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/04/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VANDERBILT SECURITIES, LLC	CRD# 5953	01/03/2022
IA	CONSOLIDATED PORTFOLIO REVIEW CORP	CRD# 112694	01/11/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CASCADIA ADVISORY SERVICES, LLC	290231	Portland, OR	09/05/2019 - 01/04/2022
B	CETERA ADVISORS LLC	10299	PORTLAND, OR	02/28/2012 - 01/04/2022
IA	CETERA ADVISORS LLC	10299	PORTLAND, OR	02/28/2012 - 02/11/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **7** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **VANDERBILT SECURITIES, LLC**
Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
Firm ID#: 5953

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/03/2022
B	Alaska	Agent	Approved	01/24/2022
B	California	Agent	Approved	01/03/2022
B	Idaho	Agent	Approved	01/03/2022
B	Iowa	Agent	Approved	01/03/2022
B	New Mexico	Agent	Approved	01/03/2022
B	Oregon	Agent	Approved	01/03/2022
B	Washington	Agent	Approved	01/12/2022

Branch Office Locations

5112 SE POWELL BLVD
PORTLAND, OR 97206

Employment 2 of 2

Firm Name: **CONSOLIDATED PORTFOLIO REVIEW CORP**
Main Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
Firm ID#: 112694

Qualifications

	Regulator	Registration	Status	Date
IA	Oregon	Investment Adviser Representative	Approved	01/11/2022

Branch Office Locations

CONSOLIDATED PORTFOLIO REVIEW CORP
5112 SE POWELL BLVD
PORTLAND, OR 97206

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	03/21/1987

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	06/08/2000
B	Uniform Securities Agent State Law Examination (S63)	Series 63	02/02/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/05/2019 - 01/04/2022	CASCADIA ADVISORY SERVICES, LLC	CRD# 290231	Portland, OR
B	02/28/2012 - 01/04/2022	CETERA ADVISORS LLC	CRD# 10299	PORTLAND, OR
IA	02/28/2012 - 02/11/2020	CETERA ADVISORS LLC	CRD# 10299	PORTLAND, OR
IA	06/09/2006 - 02/28/2012	PACIFIC WEST FINANCIAL CONSULTANTS INC	CRD# 108728	RENTON, WA
B	05/17/2006 - 02/28/2012	PACIFIC WEST SECURITIES, INC.	CRD# 6390	PORTLAND, OR
B	04/06/2001 - 05/04/2006	EDWARD JONES	CRD# 250	PORTLAND, OR
B	03/25/1987 - 04/06/2001	PRINCOR FINANCIAL SERVICES CORPORATION	CRD# 1137	DES MOINES, IA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2022 - Present	CONSOLIDATED PORTFOLIO REVIEW CORP	INVESTMENT ADVISOR REPRESENTATIVE	Y	PORTLAND, OR, United States
01/2022 - Present	VANDERBILT SECURITIES, LLC	REGISTERED REPRESETATIVE	Y	PORTLAND, OR, United States
01/2019 - Present	LEGACY SOLUTIONS WEALTH MANAGEMENT	INVESTMENT ADVISOR REPRESENTATIVE	Y	PORTLAND, OR, United States
01/2019 - Present	LEGACY SOLUTIONS WEALTH MANAGEMENT	PRESIDENT / OWNER	Y	PORTLAND, OR, United States
10/1986 - Present	PRINCIPAL MUTUAL LIFE INS. CO.	AGENT - AGENT	N	DES MOINES, IA, United States
08/2019 - 01/2022	Cascadia Advisory Services, LLC	Investment Adviser Representative	Y	Portland, OR, United States
02/2012 - 01/2022	Cetera Advisors	REGISTERED REPRESENTATIVE	Y	Portland, OR, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) PORTLAND OPEN BIBLE COMMUNITY PANTRY; NOT INVESTMENT RELATED: ADDRESS: 3223 SOUTHEAST 92ND AVE PORTLAND, OR 97266;
NATURE OF BUSINESS: NON PROFIT / FOOD BANK; START DATE: 10/2019; POSITION/TITLE/RELATIONSHIP: BOARD MEMBER; APX NUMBER OF HOURS PER MONTH. 3 HOURS WITH NONE DURING TRADING HOURS.; BRIEF DESCRIPTION OF DUTIES: BOARD DUTIES, OVERSEE BUDGET, VISION, DIRECTION OF PANTRY.

2) HEALTHY LEGACIES, NOT INVESTMENT RELATED, 5110 SE POWELL BLVD, PORTLAND, OR 97206, PRODUCT BROKERAGE AND INTERNET MARKETING - PRIMARILY VITAMINS, SUPPLEMENTS, SKIN CARE, HOUSEHOLD SUPPLIES., 4 HRS/MONTH - NOT DURING TRADING HOURS, OWNER/OPERATOR. START DATE: 02/13/2013

3) LEGACY SOLUTIONS WEALTH MANAGEMENT LLC ; INVESTMENT RELATED: YES ; 5110 SE POWELL BLVD, PORTLAND, OR 97206, NATURE OF BUSINESS: BUSINESS AND ACCOUNTING ;START DATE: 06/2020 ; APX NUMBER OF HOURS PER MONTH: 8 HOURS WHICH MIGHT BE DURING SECURITIES TRADING HOURS.; POSITION/TITLE/RELATIONSHIP: PRESIDENT / OWNER ; BRIEF DESCRIPTION OF DUTIES: MANAGEMENT, OPERATIONS, ACCOUNTING AND TAX REPORTING SERVICES FOR INSURANCE INVESTMENT SERVICES, FINANCIAL PLANNING AND SERVICES.

4) FIXED INSURANCE SALES. NOT INVESTMENT RELATED. START DATE: 05/01/1987. ADDRESS: 5112 SE Powell Blvd, Portland OR, 97206. TITLE: OWNER/MANAGER. DUTIES: LIFE, DISABILITY, LONG TERM CARE, HEALTH, FIXED & INDEXED ANNUITY SALES. TIME SPENT DURING REGULAR HOURS: 10%.

5) Portland Open Bible Church. Not Investment related. Start date: 07/01/2025. Address: 3223 SE 92nd Ave. Portland OR, 97266. Title: Board Member Oversee the direction of the Pantry. Duties: Oversee the operations and direction of the pantry. Time spent during regular hours: 0%.

6) Fixed Insurance Sales. Not Investment Related. Start date: 01/01/2021. Address: 5112 SE Powell Blvd, Portland OR, 97206. Title: Agent. Duties: Life, LTC and DI insurance and fixed and indexed annuities.. Time spent during regular hours: 5%.

7) Mach96. Start date: 01/10/2025. Address: 5112 SE Powell Blvd. Portland, OR 97206. Title: Agent. Duties: Insurance and Annuity. Time spent during regular hours: 1%.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	EDWARD JONES
Allegations:	THE CLIENT STATES WHEN SHE TRANSFERRED THE ACCOUNT TO THE IR, THEY AGREED THE CLIENT WOULD HOLD HER STJ STOCK UNTIL THE END OF 2005. THE CLIENT STATES THE IR WAS SUPPOSE TO WATCH THE STOCK FROM OCT. 2005 TO JAN. 2006 AND NOTIFY HER OF ANY PERTINENT CHANGES. THE CLIENT STATES WHEN SHE RECENTLY VIEWED HER ACCOUNT ONLINE, THE STOCK HAD DROPPED TO $38 PER SHARE. THE CLIENT STATES SHE CONTACTED THE IR TO INQUIRE WHY SHE WAS NOT CONTACTED; THE CLIENT CLAIMS THE IR STATED SHE BECAME MORE INVOLVED WITH GETTING THE CLIENT A JOB THAN WATCHING HER ACCOUNT. THE CLIENT STATES SHE LOST $9,000.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$9,000.00

Customer Complaint Information

Date Complaint Received:	05/26/2006
Complaint Pending?	No
Status:	Denied
Status Date:	06/23/2006
Settlement Amount:	

Individual Contribution Amount:

Firm Statement THE INITIAL RESPONSE TO THE COMPLAINT WAS HANDED VIA THE VERBAL COMPLAINT PROCESS AND WAS SENT TO THE CLIENT ON 5/24/06. AT THAT TIME, IT WAS UNDERSTOOD THE CLIENT WAS ALLEGING THAT SHE PROVIDED INSTRUCTIONS TO HER FORMER IR TO SELL THE SHARES IN JANUARY 2006 AT AND/OR AROUND $50.00 PER SHARE. OUR RECORDS REFLECT THE IR SPOKE WITH THE CLIENT REGARDING THE STOCK AS LATE AS APRIL 17, 2006. AS SUCH, IT APPEARS THE CLIENT WAS AWARE THE STOCK WAS BEING HELD IN THE ACCOUNT. IN ADDITION, THE CLIENT HAS RECEIVED STATEMENTS WHICH PROVIDE INFORMATION REGARDING THE INVESTMENTS HELD IN THE ACCOUNT (INCLUDING APPROXIMATE MARKET VALUES) AS WELL AS REFLECTING ACCOUNT ACTIVITY. AT THIS TIME, NO NEW INFORMATION HAS BEEN PROVIDED WHICH WARRANTS A CHANGE IN THE FIRM'S POSITION REGARDING THIS MATTER. CLAIM DENIED.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: THE CLIENT STATES WHEN SHE TRANSFERRED THE ACCOUNT TO THE IR, THEY AGREED THE CLIENT WOULD HOLD HER STJ STOCK UNTIL THE END OF 2005. THE CLIENT STATES THE IR WAS SUPPOSE TO WATCH THE STOCK FROM OCT. 2005 TO JAN. 2006 AND NOTIFY HER OF ANY PERTINENT CHANGES. THE CLIENT STATES WHEN SHE RECENTLY VIEWED HER ACCOUNT ONLINE, THE STOCK HAD DROPPED TO $38 PER SHARE. THE CLIENT STATES SHE CONTACTED THE IR TO INQUIRE WHY SHE WAS NOT CONTACTED; THE CLIENT CLAIMS THE IR STATED SHE BECAME MORE INVOLVED WITH GETTING THE CLIENT A JOB THAN WATCHING HER ACCOUNT. THE CLIENT STATES SHE LOST $9,000.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: $9,000.00

Customer Complaint Information

Date Complaint Received: 06/12/2006

Complaint Pending? No

Status: Denied

Status Date: 06/23/2006

Settlement Amount:

Individual Contribution Amount:

Broker Statement UPON REVIEW OF THEIR RECORDS, EDWARD JONES DETERMINED THAT THE IR HAD SPOKEN TO THE CLIENT REGARDING THE STOCK AS LATE AS APRIL 17, 2006. IN ADDITION, THE CLIENT HAD RECEIVED STATEMENTS WHICH PROVIDED INFORMATION ON HER INVESTMENTS, INCLUDING APPROXIMATE MARKET VALUES. CLAIM WAS DENIED.

End of Report

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