IAPD Report

TED DELANEY SNOW

CRD# 1650430

Section Title	Page(s)

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

TED DELANEY SNOW (CRD# 1650430)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/30/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	01/03/2006
IA	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	04/21/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	NFP ADVISOR SERVICES, LLC	42046	ADDISON, TX	02/16/2006 - 09/22/2016
B	LINCOLN FINANCIAL ADVISORS CORPORATION	3978	FORT WAYNE, IN	09/17/1999 - 01/03/2006
IA	LINCOLN FINANCIAL ADVISORS CORPORATION	3978	DALLAS, TX	09/17/1999 - 01/03/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/03/2006
B	FINRA	Invest. Co and Variable Contracts	Approved	01/03/2006
B	Alaska	Agent	Approved	03/15/2017
B	California	Agent	Approved	08/02/2019
B	Colorado	Agent	Approved	01/03/2006
B	Connecticut	Agent	Approved	02/10/2015
B	Georgia	Agent	Approved	01/04/2012
B	Indiana	Agent	Approved	01/05/2022
B	Iowa	Agent	Approved	01/03/2007
B	Kansas	Agent	Approved	01/21/2025
B	Maine	Agent	Approved	07/18/2022
B	Maryland	Agent	Approved	10/27/2020
B	Massachusetts	Agent	Approved	03/21/2024

Qualifications

	Regulator	Registration	Status	Date
B	Ohio	Agent	Approved	07/16/2022
B	Oklahoma	Agent	Approved	01/05/2022
B	Texas	Agent	Approved	01/03/2006
B	Virginia	Agent	Approved	10/20/2010

Branch Office Locations

NFP ADVISOR SERVICES, LLC
12404 Park Central Drive
Suite 125-S
Dallas, TX 75251

Employment 2 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 283330

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	04/21/2016

Branch Office Locations

KESTRA ADVISORY SERVICES, LLC
12404 Park Central Drive
Suite 125-S
Dallas, TX 75251

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	10/09/1996

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/21/1987
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/19/1987

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/16/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/16/2006 - 09/22/2016	NFP ADVISOR SERVICES, LLC	CRD# 42046	ADDISON, TX
B	09/17/1999 - 01/03/2006	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
IA	09/17/1999 - 01/03/2006	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	DALLAS, TX
B	09/17/1999 - 01/03/2006	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN
B	07/30/1997 - 09/01/1999	PRINCOR FINANCIAL SERVICES CORPORATION	CRD# 1137	DES MOINES, IA
B	05/22/1987 - 07/30/1997	FIDELITY BROKERAGE SERVICES, INC.	CRD# 7784	SMITHFIELD, RI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	KESTRA ADVISORY SERVICES, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	ADDISON, TX, United States
04/2016 - Present	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REP	Y	ADDISON, TX, United States
01/2006 - Present	SNOW FINANCIAL GROUP	REGISTERED REPRESENTATIVE	Y	ADDISON, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: SNOW EDUCATIONAL RESOURCES ASSOCIATES, LLC POSITION: co-owner NATURE: To provide biblically based learning material to international friends all around the world. INVESTMENT RELATED: No #OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 01/01/2011 ADDRESS: 13500 Noel Road Apt 250, Dallas TX 75240 DESCRIPTION: Help international people build their conversational English skills while reading the bible. By donating to the Allegro portal, donors get a tax deduction. We would like to invite clients of Snow Financial Group, LLC (Kestra is the broker/dealer) to partner with us
Name: SNOW FINANCIAL GROUP, LLC POSITION: Owner NATURE: Investment advisory services through Kestra Advisory Services, LLC INVESTMENT RELATED: Yes # OF HOURS: 160 SECURITIES TRADING HOURS: 105 START DATE: 04/15/2012 ADDRESS: 12404 Park Central Drive, Suite 125-S, Dallas TX 75251 DESCRIPTION: I act as the Certified Financial Planner professional managing assets, planning for retirement, estates, insurance, business, other general financial planning

Registration & Employment History

OTHER BUSINESS ACTIVITIES

advice. provide retirement education classes.
Name: KESTRA ADVISORY SERVICES, LLC POSITION: Investment Advisor Representative NATURE: Investment advisory services through Kestra Advisory Services, LLC INVESTMENT RELATED: Yes # OF HOURS: 80 SECURITIES TRADING HOURS: 80 START DATE: 04/04/2016 ADDRESS: 5707 Southwest Parkway Building 2, Suite 400, Austin TX 78735 DESCRIPTION: Investment advisory services
Name: TED D. SNOW POSITION: Author NATURE: Author INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 02/09/2018 ADDRESS: 12404 Park Central Drive, Suite 125-S, Dallas TX 75251 DESCRIPTION: Author
Name: TED D. SNOW POSITION: Independent contractor and sales rep NATURE: Legal/Attorney/Trustee/Executor INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 05/01/2013 ADDRESS: 12404 Park Central Drive, Suite 125-S, Dallas TX 75251 DESCRIPTION: I talk to people and business owners in the course of my everyday advisory business as to the merits of having legal counsel at their finger tips.
Name: Ted Snow Invest Rel: N Addr: 3939 Beltline Rd., Ste 310-A Addison TX 75001 NOB: Other Other/None of the Above I will be providing opinions to questions of people that have hired a marketing firm to help them in research of a product or service. Similar to being in a focus group. No client or business related info will be exchanged just opinions on topics like industry software or social media sites or marketing material related to my professional industry for a nominal fee of between $150-$200/hour Position, Title or Relationship: See 2.66 above Start Date: 4/20/2020 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: See 2.66 above
Name: TED SNOW POSITION: See 2.66 above NATURE: offering opinions to research marketing companies INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 04/20/2020 ADDRESS: 12404 Park Central Drive, Suite 125-S, Dallas TX 75251 DESCRIPTION: See 2.66 above

Name: INSURACTIVE POSITION: Referrer NATURE: Referrer INVESTMENT RELATED: No # OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 09/13/2025 ADDRESS: 12404 Park Central Drive, Suite 125-S, Dallas TX 75251, United States DESCRIPTION: I would be referring Medicare aged clients to Insuractive to provide Medicare supplement plans and Medicare advantage plans to clients and advise them what would be best for my clients. This is not an area I'm competent in so I want to out source the service to professionals that do this everyday. I would receive a flat referral fee each time someone placed business through Insuractive.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;

- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	NFP SECURITIES, INC.
Allegations:	COMPLAINT ALLEGES THE INVESTMENTS SOLD BY THE REPRESENTATIVE DECEMBER 2011 WERE UNSUITABLE.
Product Type:	Equipment Leasing Real Estate Security Other: PRIVATE EQUITY
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO SPECIFIC DAMAGES ARE SET FORTH IN THE COMPLAINT, BUT NFP SECURITIES BELIEVES THEY ARE ALLEGED TO BE GREATER THAN $5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	02/27/2012
Complaint Pending?	No
Status:	Settled
Status Date:	04/05/2012

Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00
Broker Statement	WITHOUT ADMITTING OR DENYING THE CLIENT'S ALLEGATIONS, NFP SECURITIES AGREED TO SETTLE THE MATTER BY UNWINDING EACH INVESTMENT AND RETURNING THE FUNDS TO THE CLIENT.

 Report about TED DELANEY SNOW.

End of Report

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