



## IAPD Report

# DONALD HANNAHS

CRD# 1661717

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### DONALD HANNAHS (CRD# 1661717)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/10/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	OSAIC WEALTH, INC.	CRD# 23131	01/24/2025
<b>IA</b>	OSAIC WEALTH, INC.	CRD# 23131	01/24/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	OSAIC FA, INC.	3978	VIRGINIA BEACH, VA	08/19/2021 - 01/24/2025
<b>B</b>	OSAIC FA, INC.	3978	VIRGINIA BEACH, VA	08/18/2021 - 01/24/2025
<b>B</b>	WEALTH ENHANCEMENT BROKERAGE SERVICES, LLC	130139	PLYMOUTH, MN	07/29/2019 - 08/17/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**  
Main Address: 18700 N. HAYDEN ROAD  
SUITE 255  
SCOTTSDALE, AZ 85255  
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	Corporate Securities Represent	Approved	01/24/2025
B FINRA	Direct Participation Programs	Approved	01/24/2025
B FINRA	General Securities Representative	Approved	01/24/2025
B FINRA	Invest. Co and Variable Contracts	Approved	01/24/2025
B Alabama	Agent	Approved	01/24/2025
B Connecticut	Agent	Approved	01/24/2025
B Florida	Agent	Approved	01/24/2025
B Maryland	Agent	Approved	01/24/2025
B North Carolina	Agent	Approved	01/24/2025
B Pennsylvania	Agent	Approved	04/13/2026
B South Carolina	Agent	Approved	01/24/2025
B Texas	Agent	Approved	01/24/2025
IA Texas	Investment Adviser Representative	Restricted Approval	01/24/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Virginia	Agent	Approved	01/24/2025
<b>IA</b> Virginia	Investment Adviser Representative	Approved	01/24/2025

### Branch Office Locations

**OSAIC WEALTH, INC.**  
ONE COLUMBUS CENTER  
SUITE 800  
VIRGINIA BEACH, VA 23462



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	02/01/1999
<b>B</b> Corporate Securities Limited Representative Examination (S62)	Series 62	07/07/1988
<b>B</b> Direct Participation Programs Representative Examination (S22)	Series 22	08/14/1987
<b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/01/1987

#### State Securities Law Exams

Exam	Category	Date
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<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	07/07/1992
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	07/10/1987

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor



representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/19/2021 - 01/24/2025	OSAIC FA, INC.	CRD# 3978	VIRGINIA BEACH, VA
B	08/18/2021 - 01/24/2025	OSAIC FA, INC.	CRD# 3978	VIRGINIA BEACH, VA
B	07/29/2019 - 08/17/2021	WEALTH ENHANCEMENT BROKERAGE SERVICES, LLC	CRD# 130139	PLYMOUTH, MN
IA	07/25/2019 - 08/17/2021	WEALTH ENHANCEMENT ADVISORY SERVICES, LLC	CRD# 116407	VIRGINIA BEACH, VA
B	07/24/2019 - 08/17/2021	LPL FINANCIAL LLC	CRD# 6413	VIRGINIA BEACH, VA
IA	11/04/2010 - 09/10/2019	PLANNING SOLUTIONS GROUP, LLC	CRD# 154118	FULTON, MD
B	11/01/2010 - 07/23/2019	TRIAD ADVISORS LLC	CRD# 25803	Fulton, MD
IA	08/02/2011 - 02/28/2018	TRIAD ADVISORS, LLC	CRD# 25803	Fulton, MD
B	01/14/2000 - 11/05/2010	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	VIRGINIA BEACH, VA
IA	09/01/1999 - 11/05/2010	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	VIRGINIA BEACH, VA
B	02/20/2002 - 05/01/2006	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	VIENNA, VA
B	06/01/1998 - 12/31/1999	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	05/04/1987 - 06/01/1998	CIGNA FINANCIAL ADVISORS, INC.	CRD# 145	RADNOR, PA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	VIRGINIA BEACH, VA, United States
08/2021 - 01/2025	LINCOLN FINANCIAL ADVISORS CORPORATION	REGISTERED REPRESENTATIVE	Y	VIRGINIA BEACH, VA, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2019 - 08/2021	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	VIRGINIA BEACH, FL, United States
07/2019 - 08/2021	Wealth Enhancement Advisory Services, LLC	Investment Adviser Representative	Y	VIRGINIA BEACH, MN, United States
07/2019 - 08/2021	Wealth Enhancement Brokerage Services	Registered Representative	Y	PLYMOUTH, MN, United States
07/2019 - 08/2021	Wealth Enhancement Group	SVP Financial Advisor	Y	Plymouth, MN, United States
11/2010 - 07/2019	PLANNING SOLUTIONS, GROUP, LLC	PARTNER	Y	FULTON, MD, United States
11/2010 - 07/2019	TRIAD ADVISORS, INC	REGISTERED REPRESENTATIVE	Y	NORCROSS, GA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) VIRGINIA BEACH PUBLIC SCHOOL ADULT LEARNING CENTER  
 VIRGINIA BEACH, VA 41027  
 CATEGORY: TEACHER/INSTRUCTOR  
 INVESTMENT-RELATED: NO  
 TITLE: TEACHER  
 DUTIES: TEACH ADULT EDUCATION COURSES.  
 START DATE: 09/2021  
 HOURS PER MONTH: 2  
 HOURS PER MONTH DURING TRADING HOURS: 1

2) OCEANSIDE FINANCIAL STRATEGIES,  
 283 CONSTITUTION DRIVE  
 SUITE #800  
 VIRGINIA BEACH, VA 23462  
 CATEGORY: INSURANCE  
 INVESTMENT-RELATED: YES  
 TITLE: AGENT  
 DUTIES: OFFER AND SERVICE FIXED INSURANCE PRODUCTS SUCH AS FIXED ANNUITIES, HEALTH SAVINGS ACCOUNTS, GROUP HEALTH BENEFITS, FIXED LIFE SETTLEMENTS, DISABILITY, LONG-TERM CARE, AND TRADITIONAL LIFE INSURANCE.  
 START DATE: 08/2021  
 HOURS PER MONTH: 1  
 HOURS PER MONTH DURING TRADING HOURS: 1

3) DONALD HANNAHS LLC,  
 VIRGINIA BEACH VA



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

CATEGORY: LEGAL ENTITY/LLC  
INVESTMENT RELATED: NO  
TITLE: OWNER  
DUTIES: ENTITY CREATED TO PAY BUSINESS EXPENSES  
START DATE: 01/2023  
HOURS PER MONTH: 2  
HOURS PER MONTH DURING TRADING HOURS: 0

4) DONALD HANNAHS LLC,  
VIRGINIA BEACH VA  
CATEGORY: LEGAL ENTITY/LLC  
INVESTMENT RELATED: NO  
TITLE: OWNER  
DUTIES: ENTITY CREATED TO PAY BUSINESS EXPENSES  
START DATE: 01/2023  
HOURS PER MONTH: 1  
HOURS PER MONTH DURING TRADING HOURS: 0



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

**DISCLOSURE EVENT DETAILS**

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

**Customer Dispute**

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

**Disclosure 1 of 3**

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	LINCOLN FINANCIAL ADVISORS CORPORATION
<b>Allegations:</b>	THE CLIENT'S POA ALLEGED THAT UNAUTHORIZED TRADES WERE CONDUCTED IN SEPTEMBER 2009 AND THAT INSTRUCTIONS WERE NOT FOLLOWED TO RETURN AFTER-TAX MONIES THAT WERE MISTAKENLY PLACED INTO THE TRUST ACCOUNT.
<b>Product Type:</b>	Equity Listed (Common & Preferred Stock)
<b>Alleged Damages:</b>	\$0.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	DAMAGES ARE BELIEVED TO BE GREATER THAN \$5000.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

**Customer Complaint Information**

<b>Date Complaint Received:</b>	09/14/2009
<b>Complaint Pending?</b>	No
<b>Status:</b>	Closed/No Action
<b>Status Date:</b>	10/12/2009

**Settlement Amount:****Individual Contribution Amount:****Disclosure 2 of 3****Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:** LINCOLN FINANCIAL ADVISORS CORP**Allegations:** IN AN ELECTRONIC COMMUNICATION, EXPRESSING DISTRESS DUE TO NOT RECEIVING TRANSACTION CONFIRMATION STATES FOR NOVEMBER 9, 2007 TRADES, THE CLIENT CLAIMED THE REPRESENTATIVE "STEALS PEOPLES PENSION MONEY".**Product Type:** No Product**Alleged Damages:** \$0.00**Customer Complaint Information****Date Complaint Received:** 12/06/2007**Complaint Pending?** No**Status:** Denied**Status Date:** 01/07/2008**Settlement Amount:****Individual Contribution Amount:****Broker Statement** CLAIM DENIED. AFTER EXTENSIVE REVIEW BY LINCOLN, NO EVIDENCE OF DISCREPANCIES OR INAPPROPRIATE ACTIVITIES BY REPRESENTATIVE WERE FOUND. ADDITIONALLY, LINCOLN'S REVIEW REVEALED THAT THE REPRESENTATIVE'S ACTIONS IN REGARDS TO DEALING WITH THE CLIENT WERE ETHICAL, PROFESSIONAL AND IN ACCORDANCE WITH STANDARDS GOVERNING INVESTMENT PLANNING FUNDAMENTALS AND PRACTICES.**Disclosure 3 of 3****Reporting Source:** Regulator**Employing firm when activities occurred which led to the complaint:** CIGNA FINANCIAL ADVISORS, INC.**Allegations:** EXECUTIONS-OTHER; MISREPRESENTATION**Product Type:****Alleged Damages:** \$113,616.00**Arbitration Information****Arbitration/Reparation Claim filed with and Docket/Case No.:** UNKNOWN - CASE #96-00669**Date Notice/Process Served:** 02/27/1996**Arbitration Pending?** No



**Disposition:** Settled

**Disposition Date:** 10/10/1996

**Disposition Detail:** CASE CLOSED,SETTLED/OTHER  
 ACTUAL/COMPENSATORY DAMAGES, RELIEF  
 REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND  
 SEVERALLY; ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 ACTUAL/COMPENSATORY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 PUNITIVE/EXEMPLARY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 PUNITIVE/EXEMPLARY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 PUNITIVE/EXEMPLARY DAMAGES, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 OTHER COSTS, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD  
 AMOUNT JOINTLY AND SEVERALLY; OTHER COSTS, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 OTHER COSTS, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD  
 AMOUNT JOINTLY AND SEVERALLY; INTEREST, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 INTEREST, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC, AWARD  
 AMOUNT  
 JOINTLY AND SEVERALLY; INTEREST, RELIEF REQUEST IS  
 WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 ATTORNEY'S FEES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC,  
 AWARD  
 AMOUNT JOINTLY AND SEVERALLY; ATTORNEY'S FEES, RELIEF REQUEST  
 IS WITHDRAWN/SETTLED/ETC, AWARD AMOUNT JOINTLY AND SEVERALLY;  
 ATTORNEY'S FEES, RELIEF REQUEST IS WITHDRAWN/SETTLED/ETC,  
 AWARD  
 AMOUNT JOINTLY AND SEVERALLY

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CIGNA FINANCIAL ADVISORS,INC.

**Allegations:** INAPPROPRIATE RECOMMENDATION TO DIVEST  
 \$100,000.00 OF INTEREST FROM FIDELITY MAGELLAN FUND.

**Product Type:** Mutual Fund(s)

**Alleged Damages:** \$113,616.00

**Customer Complaint Information**

**Date Complaint Received:** 02/27/1996

**Complaint Pending?** No

**Status:** Arbitration/Reparation

**Status Date:** 10/10/1996

**Settlement Amount:**



**Individual Contribution  
Amount:**

**Arbitration Information**

**Arbitration/Reparation Claim  
filed with and Docket/Case  
No.:** NATIONAL ASSOC. OF SECURITIES DEALERS; 96-00669

**Date Notice/Process Served:** 02/27/1996

**Arbitration Pending?** No

**Disposition:** Settled

**Disposition Date:** 10/10/1996

**Monetary Compensation  
Amount:** \$45,000.00

**Individual Contribution  
Amount:** \$0.00

**Broker Statement** CASE SETTLED FOR \$45,000.00 RECEIVED STIPULATION  
OF DISMISSAL WITH PREJUDICE.  
NOT PROVIDED



## End of Report

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