



IAPD Report

John Rodney Van Selow

CRD# 1662371

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

John Rodney Van Selow (CRD# 1662371)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/01/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	06/23/1987
IA	EDWARD JONES	CRD# 250	06/27/2008

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **29** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	JOHN HANCOCK DISTRIBUTORS, INC.	468	LOCATION	06/23/1987 - 07/14/1987

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **29** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/23/1987
B	NYSE American LLC	General Securities Representative	Approved	09/13/2011
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	06/23/1987
B	Alabama	Agent	Approved	07/02/2026
B	Arizona	Agent	Approved	05/05/2004
B	California	Agent	Approved	05/23/2006
B	District of Columbia	Agent	Approved	12/19/2017
B	Florida	Agent	Approved	08/18/1987
B	Georgia	Agent	Approved	01/04/1995
B	Hawaii	Agent	Approved	11/18/2021
B	Idaho	Agent	Approved	09/21/2023
B	Illinois	Agent	Approved	09/21/1987



Qualifications

	Regulator	Registration	Status	Date
B	Indiana	Agent	Approved	02/23/2006
B	Louisiana	Agent	Approved	03/05/2004
B	Maryland	Agent	Approved	07/25/1989
B	Minnesota	Agent	Approved	10/09/2015
B	Mississippi	Agent	Approved	02/03/2026
B	Montana	Agent	Approved	02/26/2025
B	Nevada	Agent	Approved	10/27/1992
B	New Hampshire	Agent	Approved	03/21/2019
B	New Jersey	Agent	Approved	04/19/2004
B	New York	Agent	Approved	12/04/2019
B	North Carolina	Agent	Approved	01/29/1990
B	Ohio	Agent	Approved	02/04/2000
B	Oregon	Agent	Approved	06/27/2007
B	Pennsylvania	Agent	Approved	02/23/2023
B	South Carolina	Agent	Approved	10/12/2005
B	Tennessee	Agent	Approved	03/03/2010
B	Texas	Agent	Approved	12/04/2006
IA	Texas	Investment Adviser Representative	Restricted Approval	03/04/2015



Qualifications

Regulator	Registration	Status	Date
B Virginia	Agent	Approved	08/28/1987
IA Virginia	Investment Adviser Representative	Approved	06/27/2008
B Washington	Agent	Approved	06/26/1998
B Wisconsin	Agent	Approved	11/23/2010

Branch Office Locations

EDWARD JONES
200 GOLDEN OAK COURT
SUITE 160
VIRGINIA BEACH, VA 23452



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	06/20/1987

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	06/26/2008
B Uniform Securities Agent State Law Examination (S63)	Series 63	08/17/1987



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/23/1987 - 07/14/1987	JOHN HANCOCK DISTRIBUTORS, INC.	CRD# 468	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/1987 - Present	EDWARD D. JONES & CO., L.P.	NOT PROVIDED	Y	VIRGINIA BEACH, VA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: 07/2006-01/2009; THE CLIENT'S SON INDICATES THE ANNUITY THE FA RECOMMENDED WAS NOT SUITABLE DUE TO THE ASSETS WITHIN THE ANNUITY. HE STATES THE ANNUITY IS 50-60% IN STOCKS AND THE VALUE OF THE ACCOUNT IS DOWN BECAUSE IT WAS SO HEAVILY WEIGHTED IN STOCKS. HE IS ALSO NOT HAPPY WITH THE FA'S RESPONSE TO NOT LIQUIDATE WHEN THE MARKET WAS DECLINING. HE ALSO STATES THE MAIN OBJECTIVE OF THE INVESTMENT WAS TO PRESERVE THE PRINCIPAL WITH A MODEST RETURN.

Product Type: Annuity-Variable

Alleged Damages: \$5,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

**Is this an arbitration/CFTC
reparation or civil litigation?** No

Customer Complaint Information

Date Complaint Received: 03/15/2009

Complaint Pending? No

Status: Denied

Status Date: 05/15/2009

**Settlement Amount:****Individual Contribution
Amount:****Broker Statement**

OUR RECORDS INDICATE THE CLIENT TRANSFERRED HER ASSETS TO EDWARD JONES IN THE SUMMER OF 2006. IT IS OUR UNDERSTANDING THE CLIENT WAS INTERESTED IN HAVING HER INVESTMENTS PROVIDE INCOME. THE FA RECOMMENDED THE LINCOLN AMERICAN LEGACY POLICY TO HELP PROVIDE INCOME; UNTIL THE INCOME WAS NEEDED, THE INCOME RECEIVED FOR THE ANNUITY WAS INVESTED IN FRANKLIN TEMPLETON FUNDS CLASS A. THE INCOME FROM LINCOLN AMERICAN LEGACY IS STILL BEING INVESTED INTO THIS FUND. OUR RECORDS INDICATE THE ANNUITY HAS THE I4LIFE ADVANTAGE RIDER; THIS RIDER ALLOWS THE CLIENT TO CREATE A LIFETIME PAYMENT STREAM THAT HAS POTENTIAL TO GROW. BASED ON OUR REVIEW, THE INVESTMENTS APPEAR TO BE WITHIN THE SCOPE OF THE CLIENT'S STATED ACCOUNT OBJECTIVE OF GROWTH AND INCOME. THE CLIENT WAS SATISFIED WITH PERFORMANCE OF THE ANNUITY WHILE THE VALUE INCREASED. WHILE WE UNDERSTAND THE FRUSTRATION WITH THE PERFORMANCE OF THE INVESTMENTS, THE PERFORMANCE IS ATTRIBUTED TO CIRCUMSTANCES BEYOND OUR CONTROL AND MARKET FLUCTUATION, WHICH IS A RISK ASSOCIATED WITH INVESTING.

Disclosure 2 of 3**Reporting Source:**

Individual

**Employing firm when
activities occurred which led
to the complaint:**

EDWARD JONES

Allegations:

ALLEGATIONS OF VIOLATIONS OF 10B-5, INTENTIONAL NEGLIGENT AND FRAUDULENT MISREPRESENTATION, UNSUITABILITY, VIOLATION OF STATE SECURITIES LAWS AND RESPONDEAT SUPERIOR IN THE ADVISE GIVEN TO CLAIMANT BY VAN SELOW BETWEEN '93 AND '95 WHICH RESULTED IN A DROP IN VALUE IN THE SECURITIES OF CLAIMANT'S ACCOUNT. (AMOUNT CLAIMED: \$150,000 PLUS \$50,000 IN INTEREST AND ATTORNEY'S FEES AND \$35,000 IN PUNITIVE DAMAGES).

Product Type:

Other

Alleged Damages:

\$150,000.00

Customer Complaint Information**Date Complaint Received:****Complaint Pending?****Status:**

Arbitration/Reparation

Status Date:**Settlement Amount:****Individual Contribution
Amount:****Arbitration Information**



Arbitration/Reparation Claim filed with and Docket/Case No.: NASD: CASE NO: 04-08133

Date Notice/Process Served: 12/02/2004

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/16/2006

Monetary Compensation Amount: \$5,000.00

Individual Contribution Amount: \$0.00

Broker Statement SETTLED FOR \$5,000.00

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: CUSTOMER CLAIMS THAT IR EMPLOYED BY EDWARD JONES MISREPRESENTED THE INTEREST RATE BEING PAID ON THE FIXED ACCOUNT IN AN ANNUITY. SHE INDICATES IR SAID IT WAS 10% AND CLAIMS THE RATE WAS ACTUALLY 4%. SHE CLAIMS SHE WANTED TO TRANSFER HER FUNDS BACK INTO FOUR GROWTH ACCOUNTS BUT INDICATES SHE AGREED TO TRANSFER THE ASSETS INTO THE FIXED ACCOUNT BASED ON HIS STATEMENT. REQUESTS AN APPROPRIATE CORRECTION. NO AMOUNT MENTIONED BUT DAMAGES COULD EXCEED \$5,000.

ARBITRATION CASE DESCRIPTION: ALLEGATIONS OF NEGLIGENCE, MISREPRESENTATION AND VIOLATION OF FEDERAL AND STATE SECURITIES LAWS IN TRANSFERRING FUNDS FROM A MONEY MARKET TO A PUTNAM ANNUITY ACCOUNT. (AMOUNT CLAIMED: \$20,158.69 PLUS INTEREST, ATTORNEYS' FEES AND TREBLE DAMAGES)

Product Type: Money Market Fund(s)

Alleged Damages: \$20,158.69

Customer Complaint Information

Date Complaint Received: 12/16/1998

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 02/08/1999

Settlement Amount:

Individual Contribution Amount:

**Arbitration Information**

**Arbitration/Reparation Claim
filed with and Docket/Case
No.:** NASD
99-04553

Date Notice/Process Served: 12/07/1999

Arbitration Pending? No

Disposition: Settled

Disposition Date: 03/08/2001

**Monetary Compensation
Amount:** \$12,000.00

**Individual Contribution
Amount:** \$0.00

Broker Statement

THE IR ACKNOWLEDGED THERE WAS A MISUNDERSTANDING ON THE INTEREST THAT WOULD BE PAID ON THE FIXED ACCOUNT AND HE DID ADVISE THE CUSTOMER IT WOULD BE 10% WHEN IT WAS ACTUALLY 4%. THE CUSTOMER WAS REIMBURSED \$1,464.23 FOR THE DIFFERENCE IN INTEREST SHE WOULD HAVE EARNED BETWEEN THE TWO RATES.

ARBITRATION CASE DISPOSITION: SETTLED FOR \$12,000.00



End of Report

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